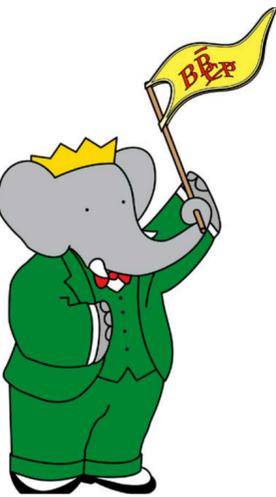


$|V_{cb}|$ and $|V_{ub}|$ at the B -factories

Racha Cheaib
DESY

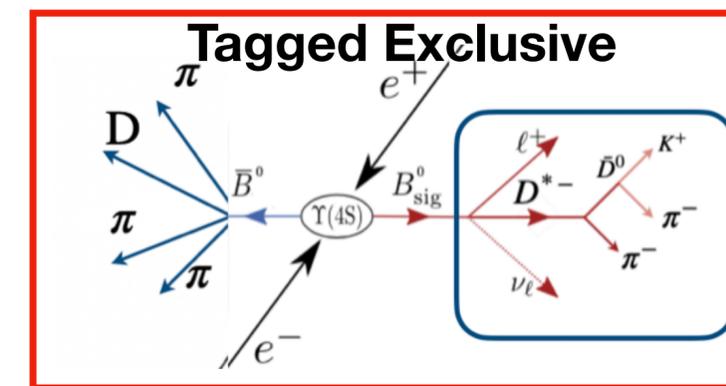
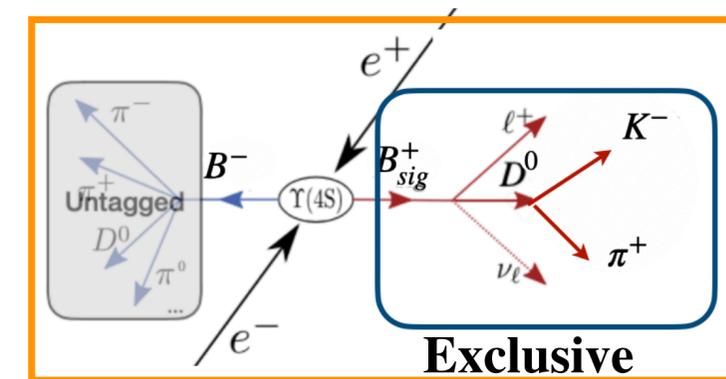
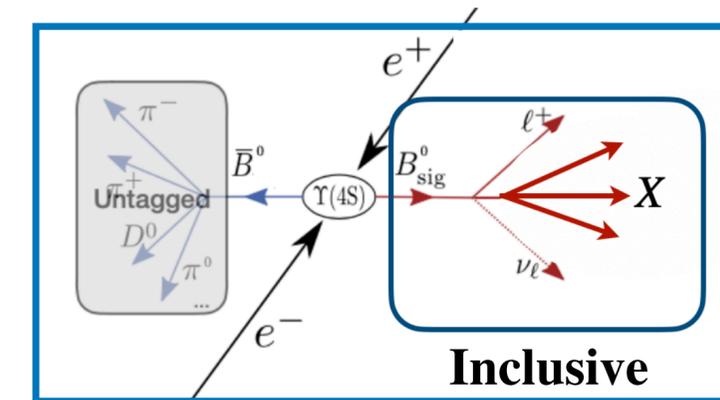
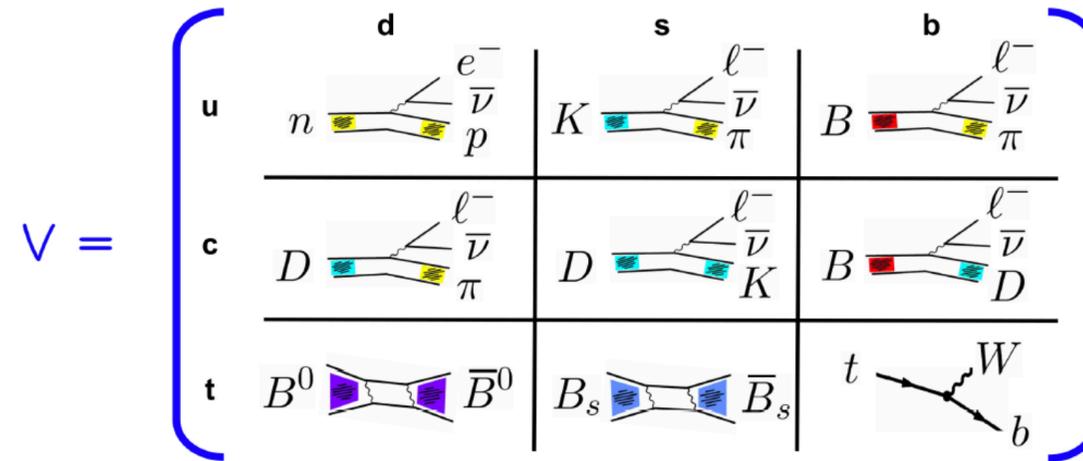
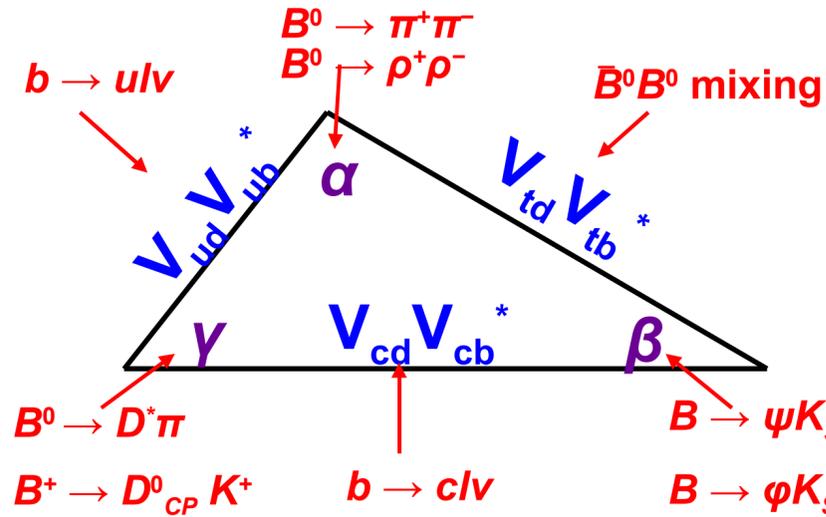
Theory meets Experiment on $|V_{ub}|$ and $|V_{cb}|$ workshop
Jan. 11, 2021

On behalf of the B-Factories

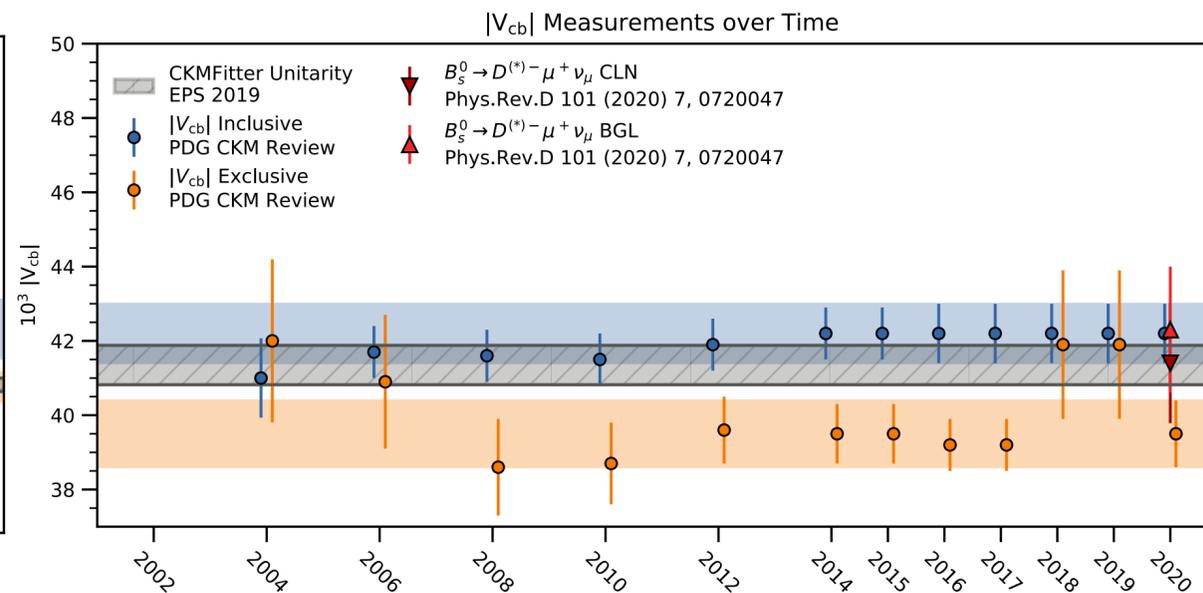
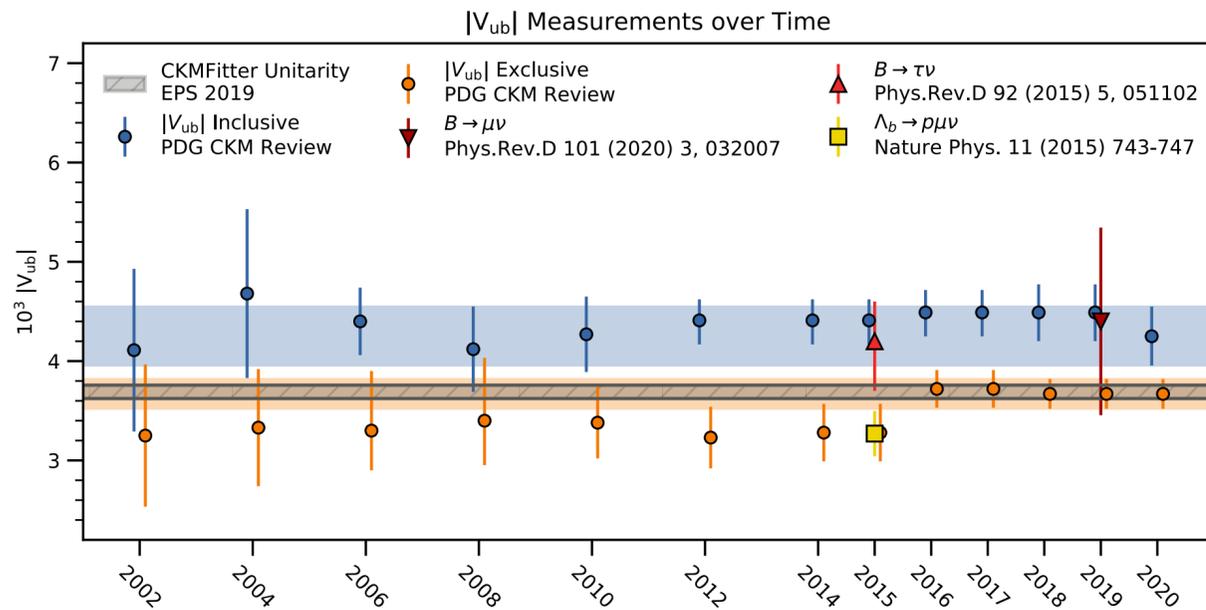


$|V_{cb}|$ and $|V_{ub}|$

Precision measurements of CKM matrix at the core of the physics program at B - factories



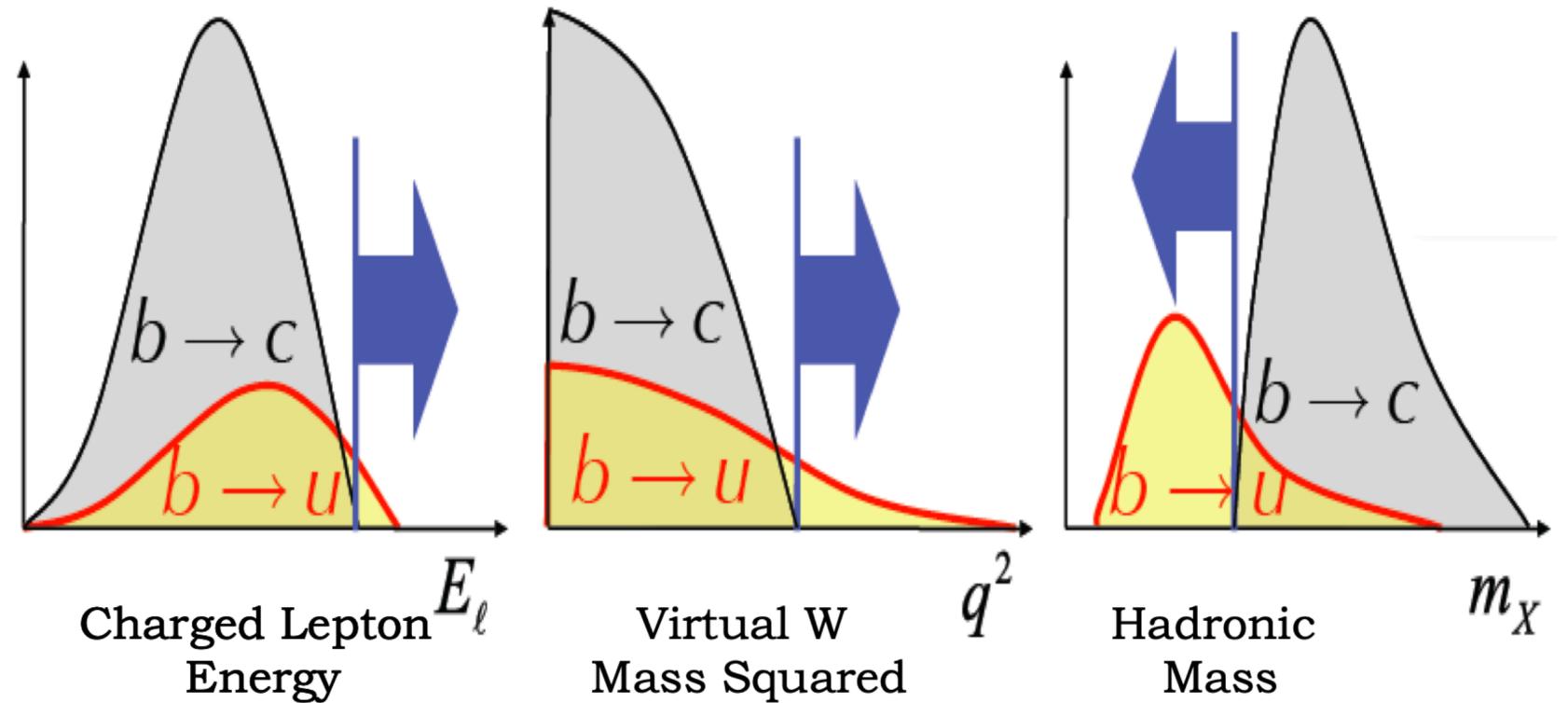
$|V_{ub}|$ and $|V_{cb}|$ mainly from semileptonic decays of B mesons



Tension between exclusive and inclusive $|V_{ub}|$ and $|V_{cb}|$ measurements along with other related B -anomalies.

$|V_{ub}|$

- Experimentally challenging due to dominant $B \rightarrow X_c \ell \nu$ background.
- Only certain kinematic regions allow for clean separation: lepton momentum endpoint spectrum or low m_X .
- Inclusive via $B \rightarrow X_u \ell \nu$:
 - Precision of ($\sim 7\%$)
 - Operator Product Expansion (OPE) = Heavy Quark Expansion.
 - HQE breaks down and a non-perturbative shape function is required.



$$d\Gamma = d\Gamma_0 + d\Gamma_2 \left(\frac{\Lambda_{\text{QCD}}}{m_b} \right)^2 + d\Gamma_3 \left(\frac{\Lambda_{\text{QCD}}}{m_b} \right)^3 + d\Gamma_4 \left(\frac{\Lambda_{\text{QCD}}}{m_b} \right)^4$$

- Exclusive via $B \rightarrow \pi \ell \nu$
 - Most precise determination of $|V_{ub}|$ ($\sim 4\%$)
 - Form factor determined non-perturbative from lattice QCD (high q^2) or LCSR ($q^2 \sim 0$).

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2 |V_{ub}|^2}{24\pi^3} |p_\pi|^3 |f_+(q^2)|^2$$

$$|V_{ub}| = (4.25 \pm 0.12^{+0.15}_{-0.14} \pm 0.23) \times 10^{-3} \quad \text{PDG inclusive}$$

$$|V_{ub}| = (3.70 \pm 0.10 \pm 0.12) \times 10^{-3} \quad \text{PDG exclusive}$$

**Current $\sim 3\sigma$ tension between
inclusive and exclusive
determinations**

Inclusive $|V_{ub}|$

Phys. Rev. Lett. 88, 231803 (2002)
 Phys. Lett. B621, 28 (2005)
 Phys. Rev. D95, 7, 072001 (2017)
 Phys. Rev. D73, 012006 (2006)
 Phys. Rev. Lett. 95, 111801 (2005)

Based on HQE of $B \rightarrow X_u \ell \nu$ with 5% theoretical uncertainty and requires parametrization of the shape function (SF) using various theoretical approaches:

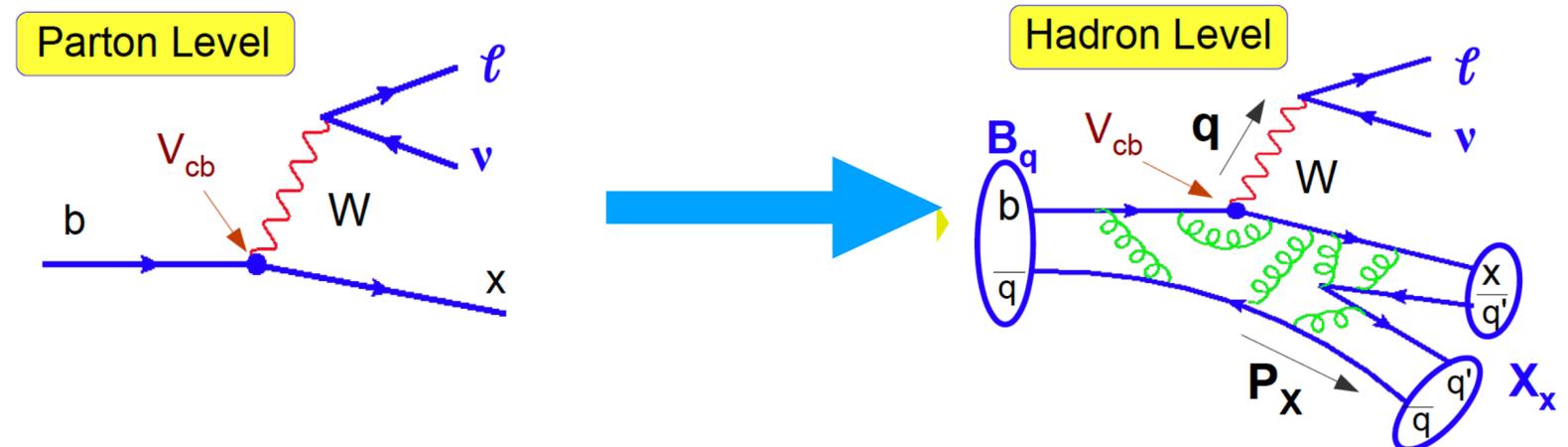
- **BLNP**: B. O. Lange, M. Neubert and G. Paz, Phys. Rev. D 72, 073006 (2005)
- **DGE**: J. R. Andersen and E. Gardi JHEP 0601 097 (2006)
- **GGOU**: P. Gambino et al., JHEP 0710 058 (2007)

Shape function accounts for the motion of the b quark inside the B meson and should be universal for all b transitions to light quarks.

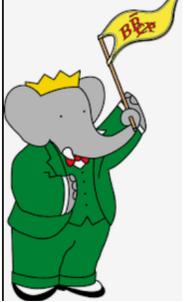
3 main approaches to measuring inclusive $|V_{ub}|$:

1. Lepton endpoint spectrum:
 Reconstruct a single charged electron and measure the partial rate near the kinematic endpoint
2. Untagged “neutrino reconstruction”
 Reconstruct lepton + missing momentum vector
3. Tagged reconstruction, where one B is fully constrained using hadronic modes.

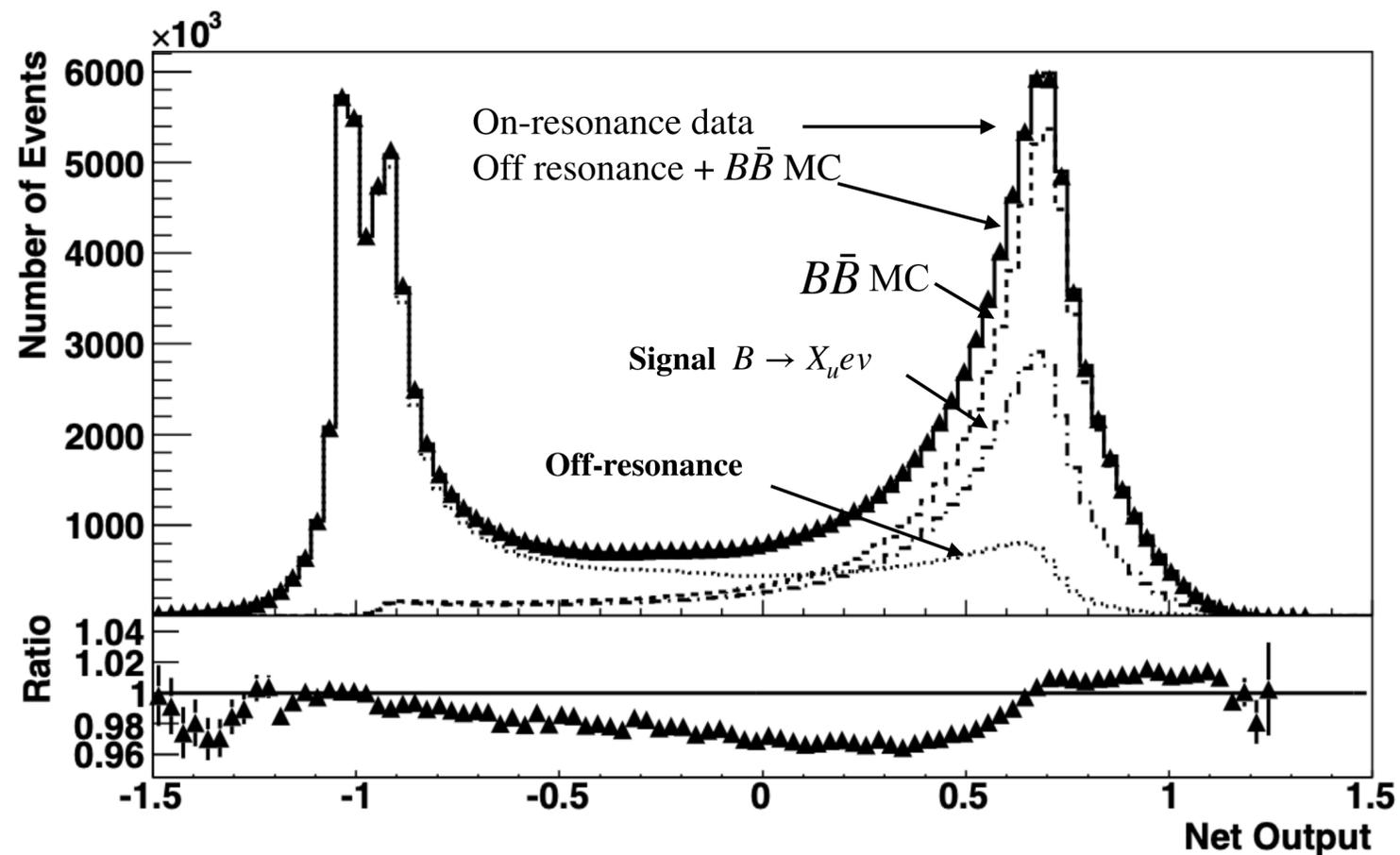
Ref.	cut (GeV)	BLNP	GGOU	DGE
CLEO	$E_e > 2.1$	$422 \pm 49 \begin{smallmatrix} +29 \\ -34 \end{smallmatrix}$	$423 \pm 49 \begin{smallmatrix} +22 \\ -31 \end{smallmatrix}$	$386 \pm 45 \begin{smallmatrix} +25 \\ -27 \end{smallmatrix}$
BABAR	$E_e - q^2$	$471 \pm 32 \begin{smallmatrix} +33 \\ -38 \end{smallmatrix}$	not available	$435 \pm 29 \begin{smallmatrix} +28 \\ -30 \end{smallmatrix}$
BABAR	$E_e > 2.0$	$452 \pm 26 \begin{smallmatrix} +26 \\ -30 \end{smallmatrix}$	$452 \pm 26 \begin{smallmatrix} +17 \\ -24 \end{smallmatrix}$	$430 \pm 24 \begin{smallmatrix} +23 \\ -25 \end{smallmatrix}$
Belle	$E_e > 1.9$	$493 \pm 46 \begin{smallmatrix} +26 \\ -29 \end{smallmatrix}$	$495 \pm 46 \begin{smallmatrix} +16 \\ -21 \end{smallmatrix}$	$482 \pm 45 \begin{smallmatrix} +23 \\ -23 \end{smallmatrix}$
BABAR	$E_e > 0.8$	$441 \pm 12 \begin{smallmatrix} +27 \\ -27 \end{smallmatrix}$	$396 \pm 10 \begin{smallmatrix} +17 \\ -17 \end{smallmatrix}$	$385 \pm 11 \begin{smallmatrix} +8 \\ -7 \end{smallmatrix}$
BABAR	$q^2 > 8$ $m_X < 1.7$	$432 \pm 23 \begin{smallmatrix} +26 \\ -28 \end{smallmatrix}$	$433 \pm 23 \begin{smallmatrix} +24 \\ -27 \end{smallmatrix}$	$424 \pm 22 \begin{smallmatrix} +18 \\ -21 \end{smallmatrix}$
BABAR	$P_+ < 0.66$	$409 \pm 25 \begin{smallmatrix} +25 \\ -25 \end{smallmatrix}$	$425 \pm 26 \begin{smallmatrix} +26 \\ -27 \end{smallmatrix}$	$417 \pm 25 \begin{smallmatrix} +28 \\ -37 \end{smallmatrix}$
BABAR	$m_X < 1.7$	$403 \pm 22 \begin{smallmatrix} +22 \\ -22 \end{smallmatrix}$	$410 \pm 23 \begin{smallmatrix} +16 \\ -17 \end{smallmatrix}$	$422 \pm 23 \begin{smallmatrix} +21 \\ -27 \end{smallmatrix}$
BABAR	$E_\ell > 1$	$433 \pm 24 \begin{smallmatrix} +19 \\ -21 \end{smallmatrix}$	$444 \pm 24 \begin{smallmatrix} +9 \\ -10 \end{smallmatrix}$	$445 \pm 24 \begin{smallmatrix} +12 \\ -13 \end{smallmatrix}$
Belle	$E_\ell > 1$	$450 \pm 27 \begin{smallmatrix} +20 \\ -22 \end{smallmatrix}$	$462 \pm 28 \begin{smallmatrix} +9 \\ -10 \end{smallmatrix}$	$462 \pm 28 \begin{smallmatrix} +13 \\ -13 \end{smallmatrix}$
HFLAV	Combination	$444 \begin{smallmatrix} +13 \\ -14 \end{smallmatrix} \begin{smallmatrix} +21 \\ -22 \end{smallmatrix}$	$432 \pm 12 \begin{smallmatrix} +12 \\ -13 \end{smallmatrix}$	$399 \pm 10 \begin{smallmatrix} +9 \\ -10 \end{smallmatrix}$



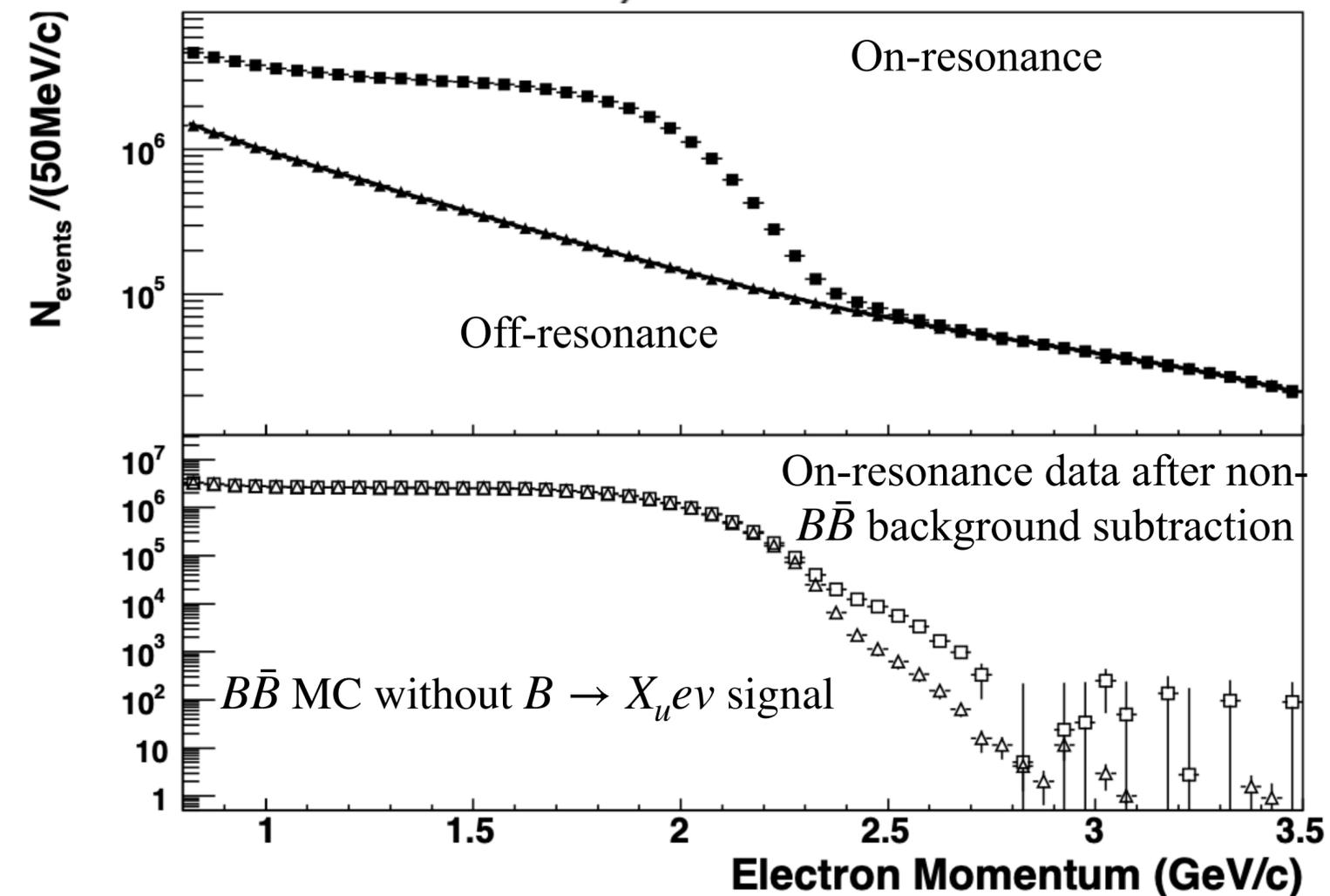
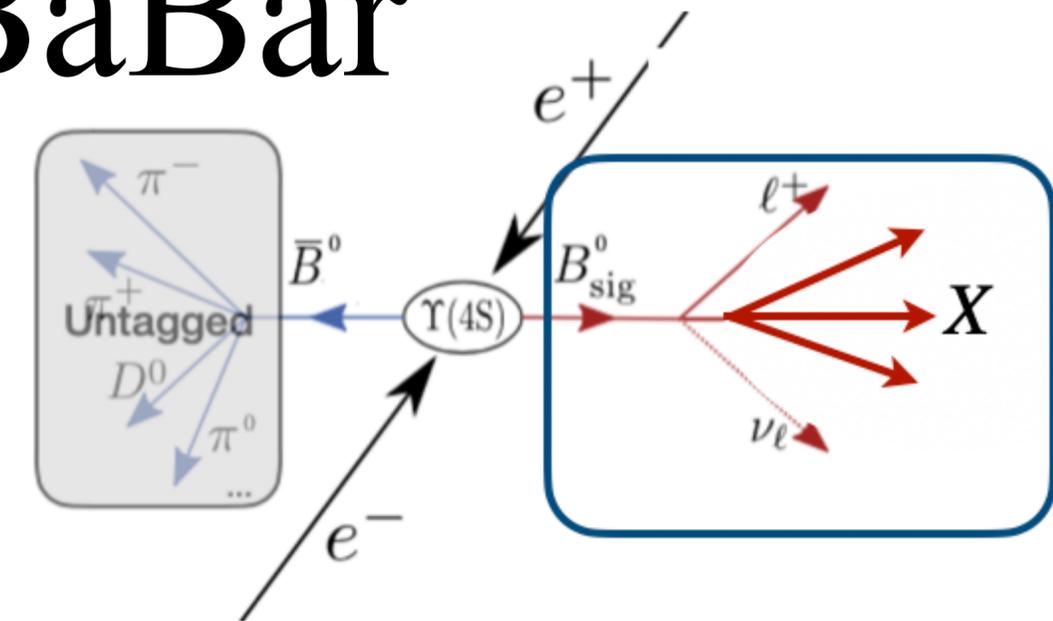
Inclusive $|V_{ub}|$ at BaBar



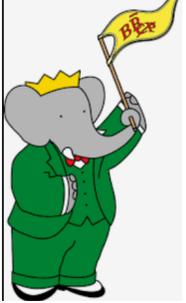
- Using 470 fb^{-1} extract $|V_{ub}|$ and measure $B \rightarrow X_u e \nu$
- Identify electron with $0.8 < p_{\text{CMS}} < 5.0 \text{ GeV}/c$
- Suppress non- $B\bar{B}$ background using Neural Network.



- Subtract continuum background using χ^2 fit to off-resonance data
- Subtract BB background using simultaneous χ^2 fit to off and on resonance data, relying on MC simulation for the inclusive Xc spectra.



Inclusive $|V_{ub}|$ at BaBar



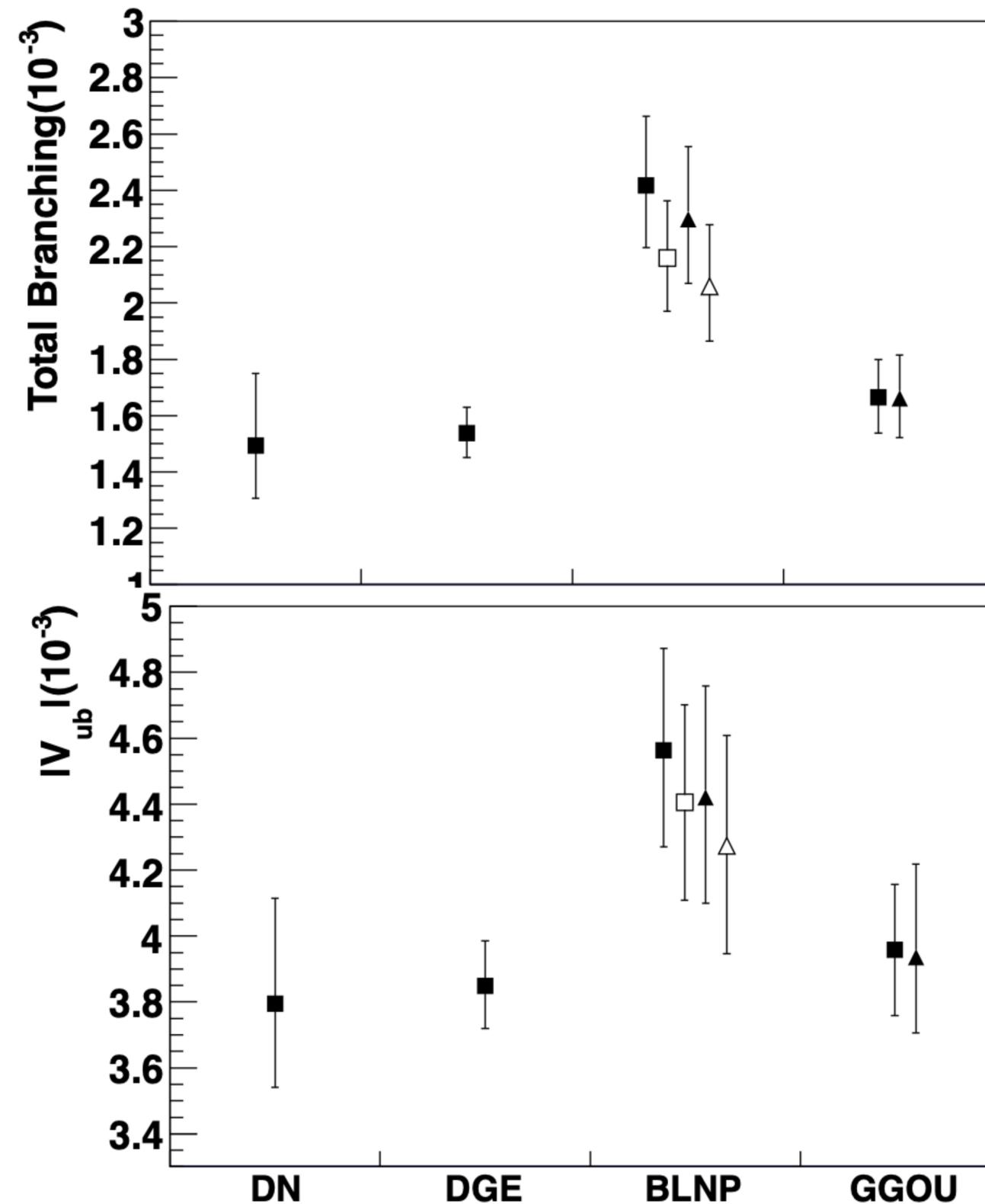
- Extract $|V_{ub}|$ using:

$$|V_{ub}| = \sqrt{\frac{\Delta\mathcal{B}(\Delta p)}{\tau_b \Delta\zeta(\Delta p)}}$$

↙ PDG value of B lifetime
↘ Input from 4 theoretical models

- Main uncertainties due to:

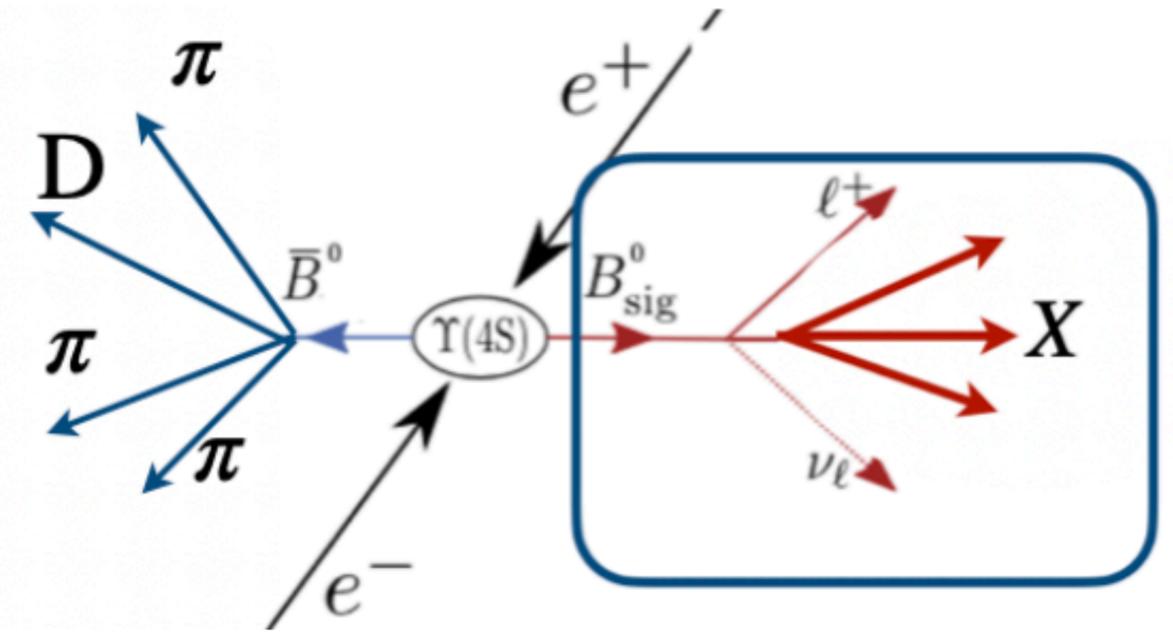
- Simulation of the electron signal spectrum
- Background subtraction: shape of the signal and background spectra
- uncertainty on the SF parameters and theoretical predictions of the rate (both perturbative and non-perturbative)



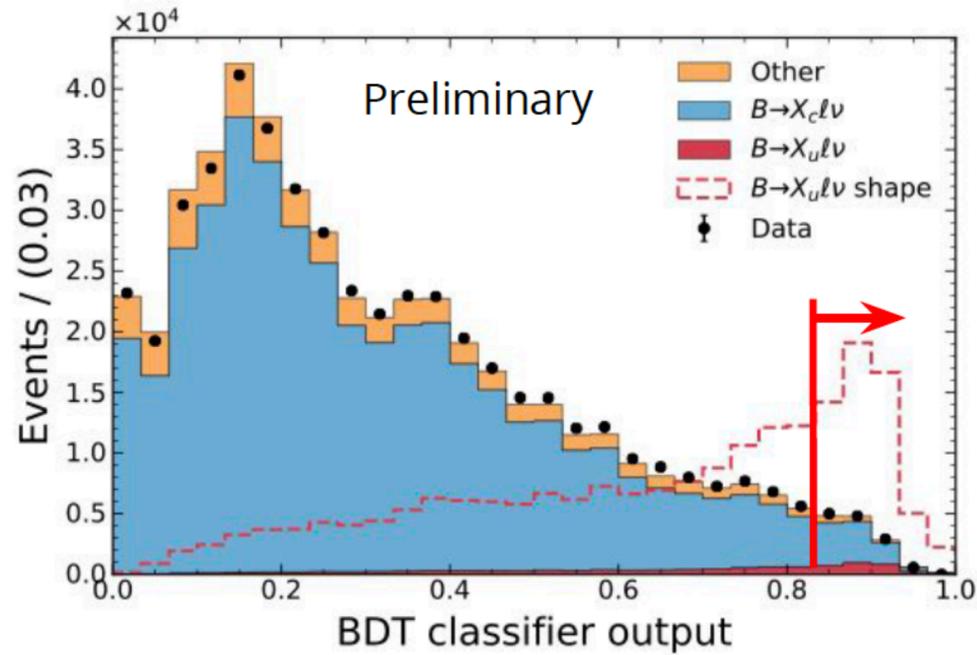


Inclusive $|V_{ub}|$ at Belle

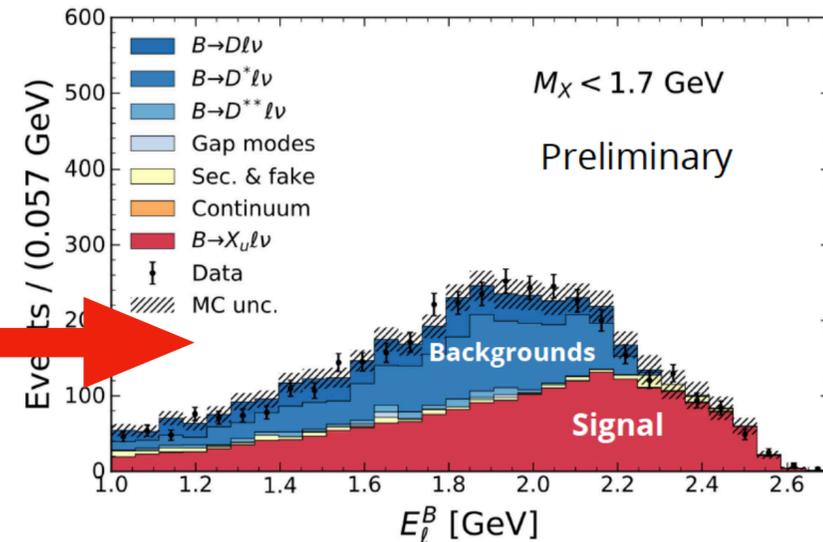
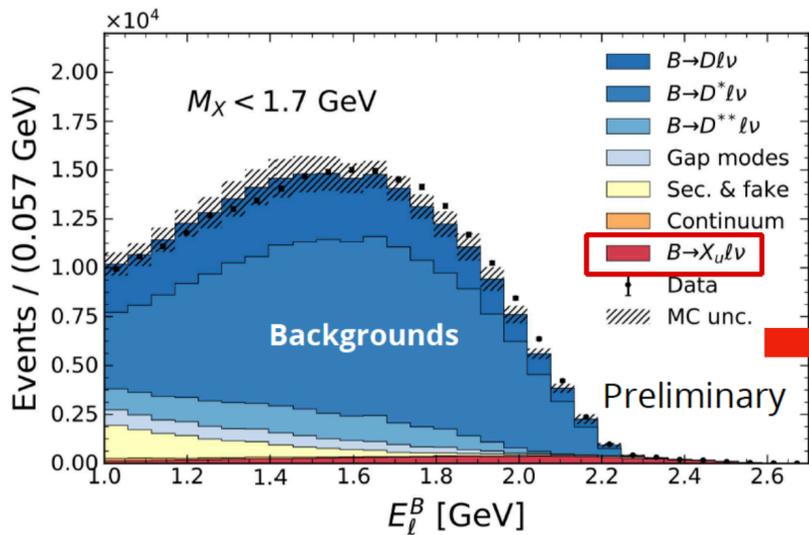
Using 711 fb⁻¹ of Belle data
 Hadronic Tagging with Neural Network (0.2-0.3% efficiency)
 Reconstruct X system using remaining tracks and clusters in the event
 Suppress backgrounds using Boosted Decision Tree



Extract signal using binned likelihood to 3 variables in 3 phase space regions :



Fit var	Phase space	Additional cut
M_X	$E_\ell^B > 1 \text{ GeV}, M_X < 1.7 \text{ GeV}$	
q^2	$E_\ell^B > 1 \text{ GeV}, M_X < 1.7 \text{ GeV}, q^2 > 8 \text{ GeV}^2$	$M_X^{\text{reco}} < 1.7 \text{ GeV}$
E_ℓ^B	$E_\ell^B > 1 \text{ GeV}, M_X < 1.7 \text{ GeV}$	$M_X^{\text{reco}} < 1.7 \text{ GeV}$
E_ℓ^B	$E_\ell^B > 1 \text{ GeV}$	$M_X^{\text{reco}} < 1.7 \text{ GeV}$
2D-fit $M_X - q^2$	$E_\ell^B > 1 \text{ GeV}$	



Additional kinematic cuts to reduce impact of $B \rightarrow X_c \ell \nu$ modelling



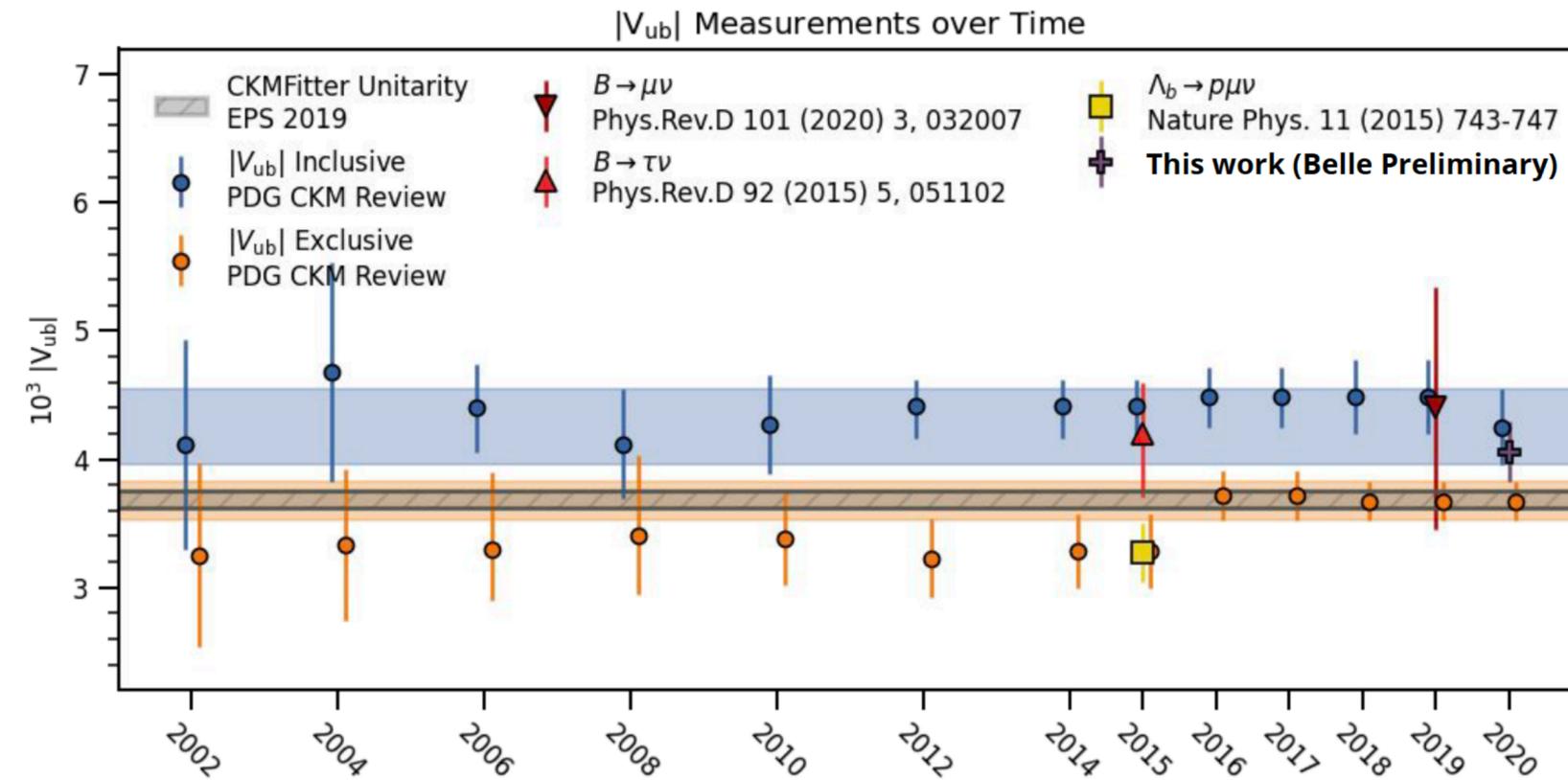
Inclusive $|V_{ub}|$ at Belle

$$|V_{ub}| = \sqrt{\frac{\Delta\mathcal{B}(B \rightarrow X_u \ell^+ \nu_\ell)}{\tau_B \cdot \Delta\Gamma(B \rightarrow X_u \ell^+ \nu_\ell)}}$$

Preliminary

Fit	$10^{-3} V_{ub} $ (\pm stat \pm sys \pm theo.)			
	BLNP	DGE	GGOU	ADFR
(a)	$3.81^{+0.08,+0.13,+0.21}_{-0.08,-0.13,-0.21}$	$3.99^{+0.08,+0.14,+0.20}_{-0.08,-0.14,-0.26}$	$3.88^{+0.08,+0.13,+0.15}_{-0.08,-0.14,-0.16}$	$3.55^{+0.07,+0.12,+0.17}_{-0.07,-0.12,-0.17}$
(b)	$4.35^{+0.18,+0.26,+0.26}_{-0.18,-0.28,-0.28}$	$4.27^{+0.17,+0.26,+0.18}_{-0.18,-0.28,-0.21}$	$4.36^{+0.18,+0.27,+0.24}_{-0.18,-0.28,-0.27}$	$3.77^{+0.15,+0.23,+0.17}_{-0.16,-0.24,-0.17}$
(c1)	$3.90^{+0.09,+0.17,+0.21}_{-0.10,-0.18,-0.21}$	$4.08^{+0.10,+0.18,+0.20}_{-0.10,-0.19,-0.26}$	$3.97^{+0.09,+0.18,+0.15}_{-0.10,-0.19,-0.16}$	$3.63^{+0.09,+0.16,+0.17}_{-0.09,-0.17,-0.17}$
(c2)	$4.14^{+0.10,+0.20,+0.18}_{-0.10,-0.22,-0.20}$	$4.25^{+0.10,+0.21,+0.11}_{-0.10,-0.22,-0.12}$	$4.24^{+0.10,+0.21,+0.09}_{-0.10,-0.22,-0.10}$	$4.14^{+0.10,+0.20,+0.18}_{-0.10,-0.22,-0.18}$
(d)	$4.01^{+0.08,+0.15,+0.18}_{-0.08,-0.16,-0.19}$	$4.12^{+0.08,+0.16,+0.11}_{-0.09,-0.16,-0.12}$	$4.11^{+0.08,+0.16,+0.08}_{-0.09,-0.16,-0.09}$	$4.01^{+0.08,+0.15,+0.18}_{-0.08,-0.16,-0.18}$

Highest precision achieved with 2D fit!



Result compatible within 1.4σ with exclusive determinations.

$$|V_{ub}| \text{ (avg)} = (4.06 \pm 0.09_{\text{stat}} \pm 0.16_{\text{sys}} \pm 0.15_{\text{theo}}) \times 10^{-3}$$

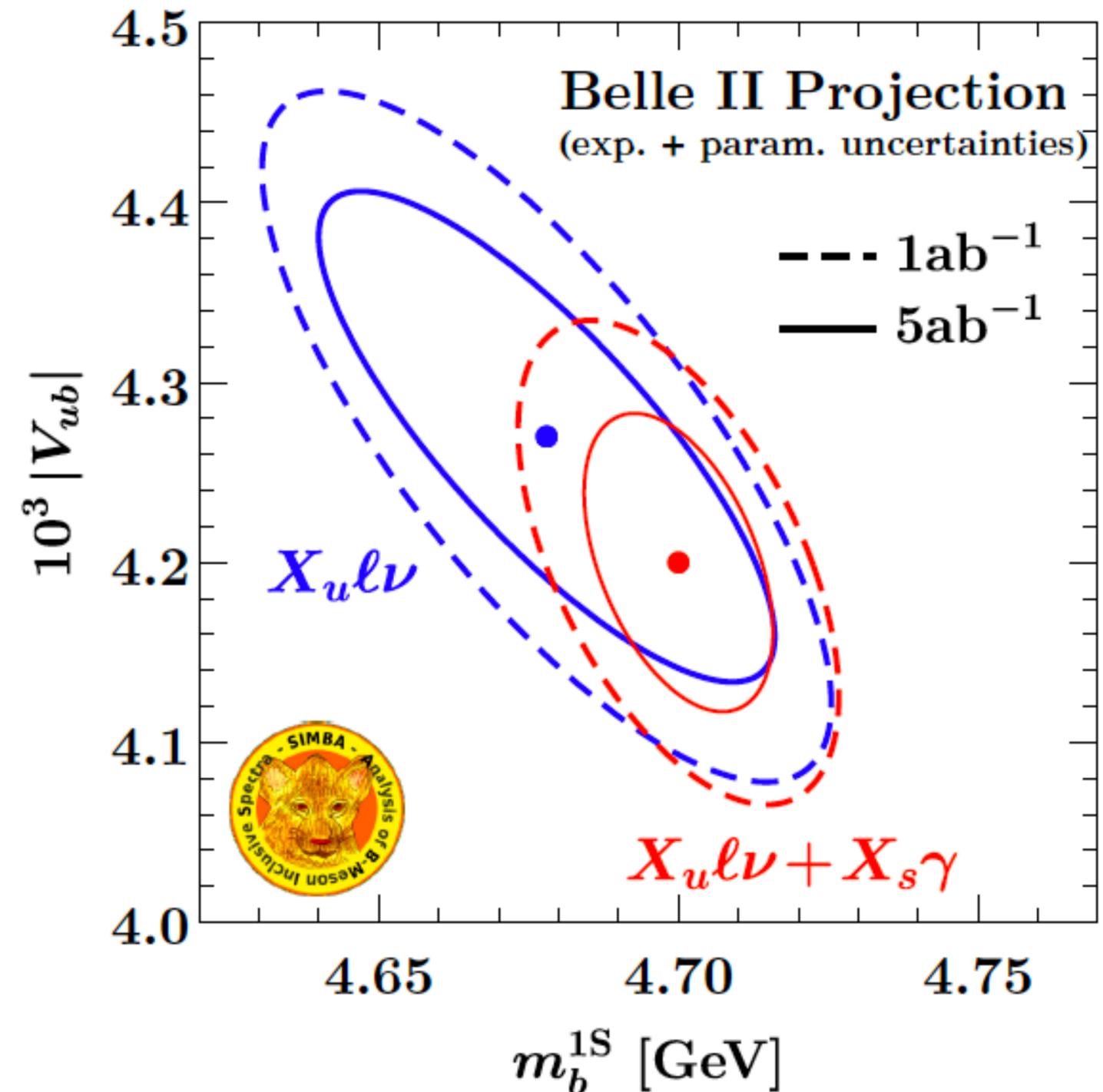
Arithmetic average over 4 determinations!

Inclusive $|V_{ub}|$ at Belle II

- Maximize shape function information by measuring a large number of differential spectra
- Global fit to the full spectrum, combining $B \rightarrow X_u \ell \nu$ and $B \rightarrow X_s \gamma$ with constraints on HQE parameters from $B \rightarrow X_c \ell \nu$ simultaneously
- This has been demonstrated by SIMBA, **A**nalysis of **B**-Meson, **I**nclusive **S**pectra, group.

	Statistical	Systematic (reducible, irreducible)	Total Exp	Theory	Total
$ V_{ub} $ inclusive 605 fb ⁻¹ (old B tag)	4.5	(3.7, 1.6)	6.0	2.5–4.5	6.5–7.5
5 ab ⁻¹	1.1	(1.3, 1.6)	2.3	2.5–4.5	3.4–5.1
50 ab ⁻¹	0.4	(0.4, 1.6)	1.7	2.5–4.5	3.0–4.8

- Systematic uncertainties related to tracking and PID will be improved by Belle II upgrades:
 - New and improved PID in the barrel region (time of propagation counter)
 - Smaller drift chamber cell size .
 - Improved detector performance





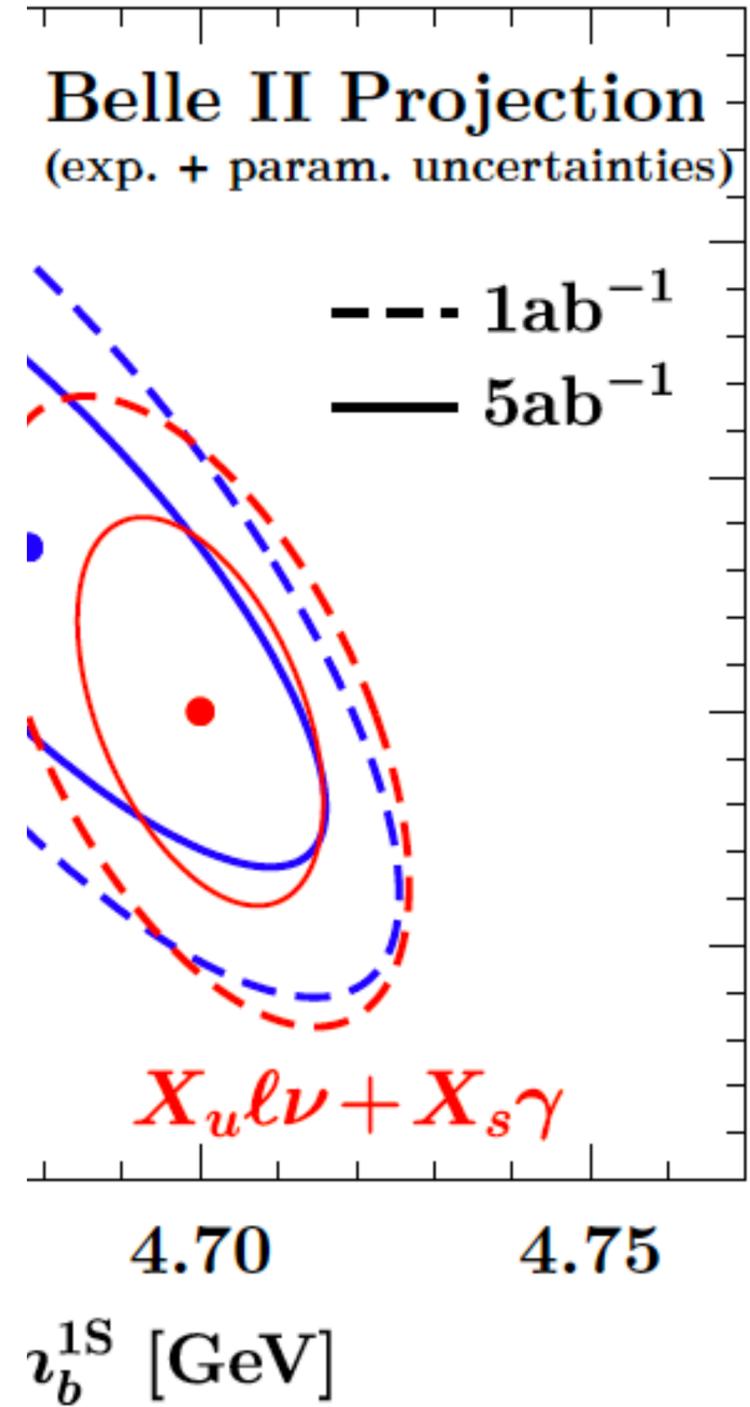
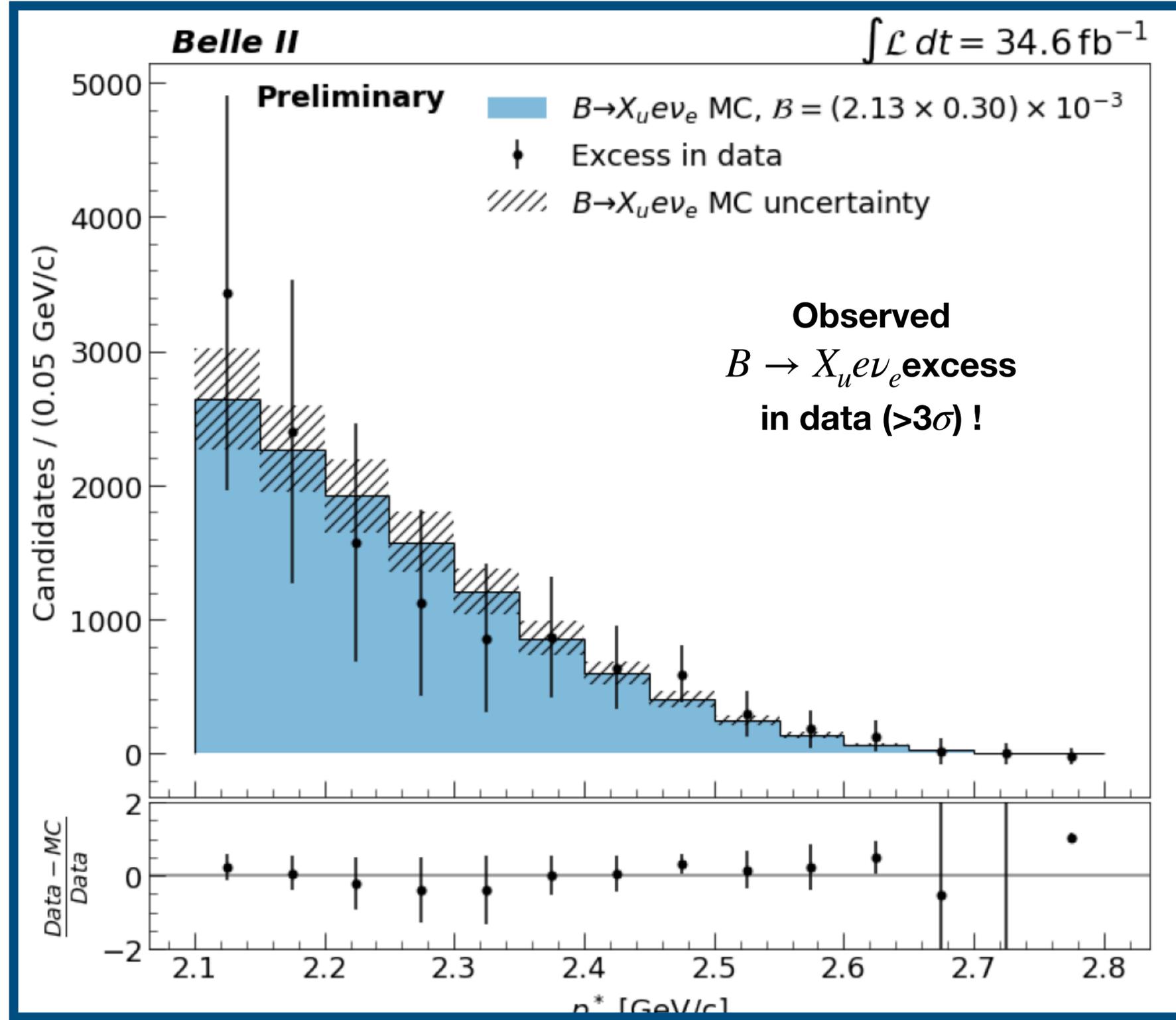
Inclusive $|V_{ub}|$ at Belle II

- Maximize shape funct of differential spectra
- Global fit to the full sp with constraints on HC simultaneously
- This has been demons

Statis

$ V_{ub} $ inclusive	
605 fb ⁻¹ (old B tag)	4.5
5 ab ⁻¹	1.1
50 ab ⁻¹	0.4

- Systematic uncertainty improved by Belle II up
 - New and improved counter)
 - Smaller drift chamber
 - Improved detector



Exclusive $|V_{ub}|$

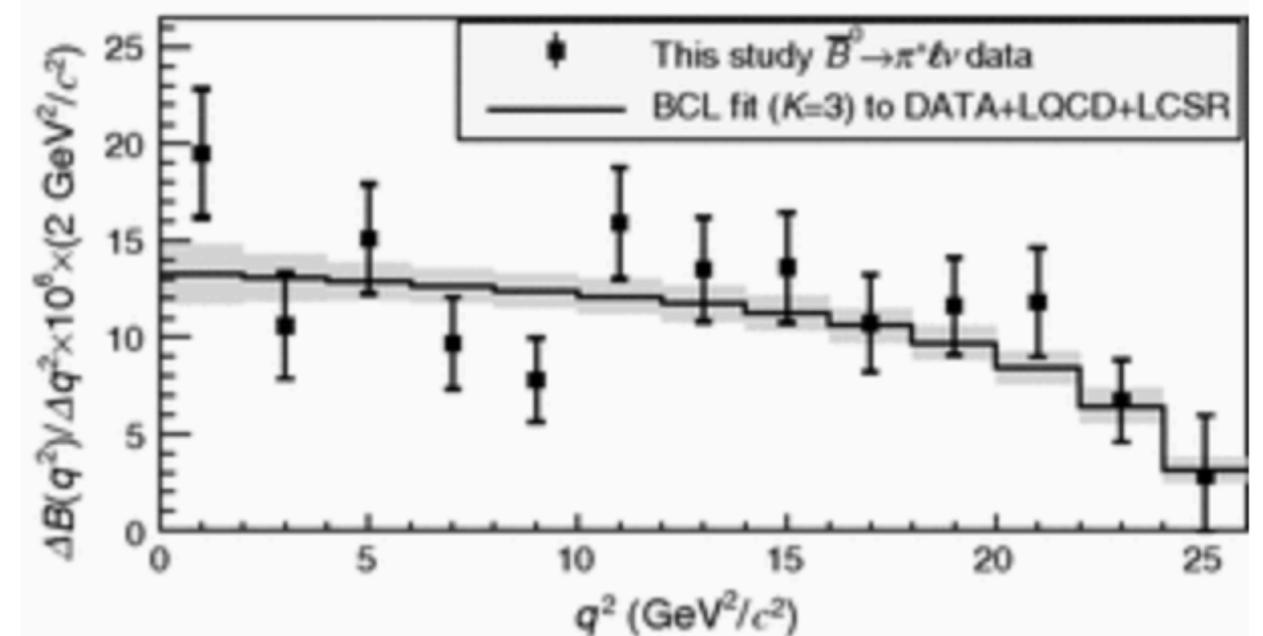
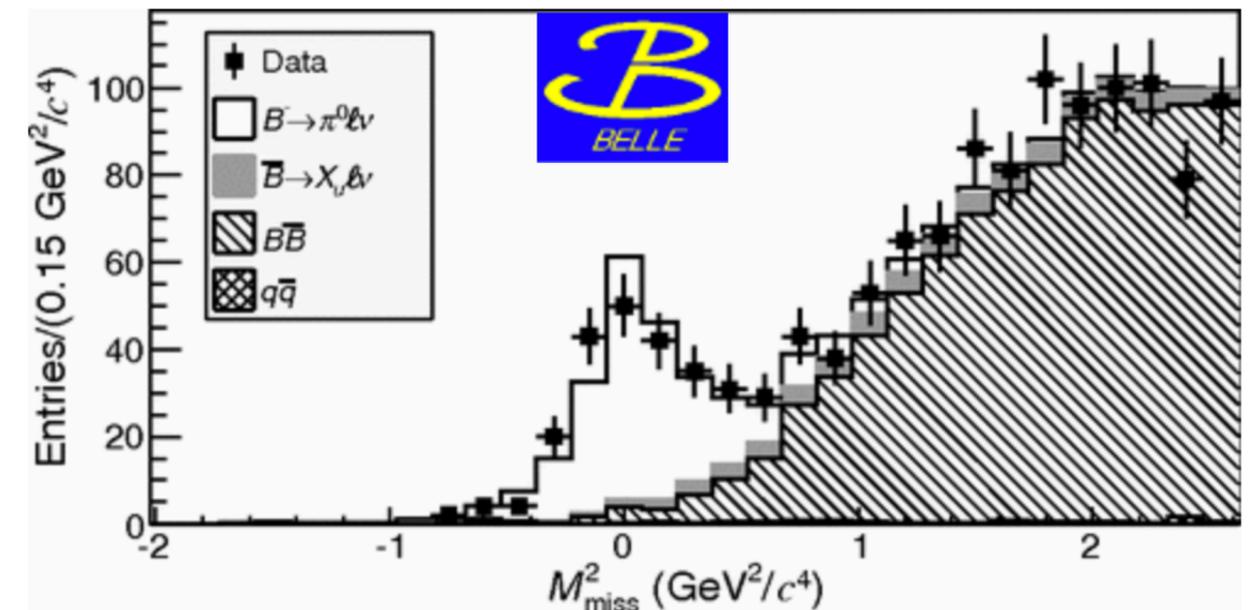
- Using tagged and untagged $B \rightarrow \pi \ell \nu$
 - Tagged analyses: high q^2 resolution, lower statistics. Main systematic: calibration of tagging efficiency.
 - Untagged analyses: higher background, more restrictive kinematic cuts. Main systematic: determination of the missing neutrino momentum

- Hadronic reconstruction with 711 fb^{-1} of Belle data.
- Determine M_{miss}^2 by subtracting $B_{tag}, \pi_{sig}, \ell_{sig}$ from $\Upsilon(4S)$
- Extract yields from fit to M_{miss}^2 .
- Extract $|V_{ub}|$ using different theoretical parametrization of the form factor f_{+0}

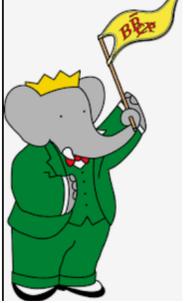
$$\frac{d\Gamma}{dq^2} = \frac{G_F^2 |V_{ub}|^2}{24\pi^3} |p_\pi|^3 |f_+(q^2)|^2$$

X_u	Theory	$q^2, \text{ GeV}^2/c^2$	$ V_{ub} \times 10^3$
π^0	LCSR1	< 12	$3.30 \pm 0.22 \pm 0.09^{+0.35}_{-0.30}$
	LCSR2	< 16	$3.62 \pm 0.20 \pm 0.10^{+0.60}_{-0.40}$
	HPQCD	> 16	$3.45 \pm 0.31 \pm 0.09^{+0.58}_{-0.38}$
	FNAL/MILC	> 16	$3.30 \pm 0.30 \pm 0.09^{+0.36}_{-0.30}$
π^+	LCSR1	< 12	$3.38 \pm 0.14 \pm 0.09^{+0.36}_{-0.32}$
	LCSR2	< 16	$3.57 \pm 0.13 \pm 0.09^{+0.59}_{-0.39}$
	HPQCD	> 16	$3.86 \pm 0.23 \pm 0.10^{+0.66}_{-0.44}$
	FNAL/MILC	> 16	$3.69 \pm 0.22 \pm 0.09^{+0.41}_{-0.34}$

$$|V_{ub}| = (3.52 \pm 0.29) \times 10^{-3}$$



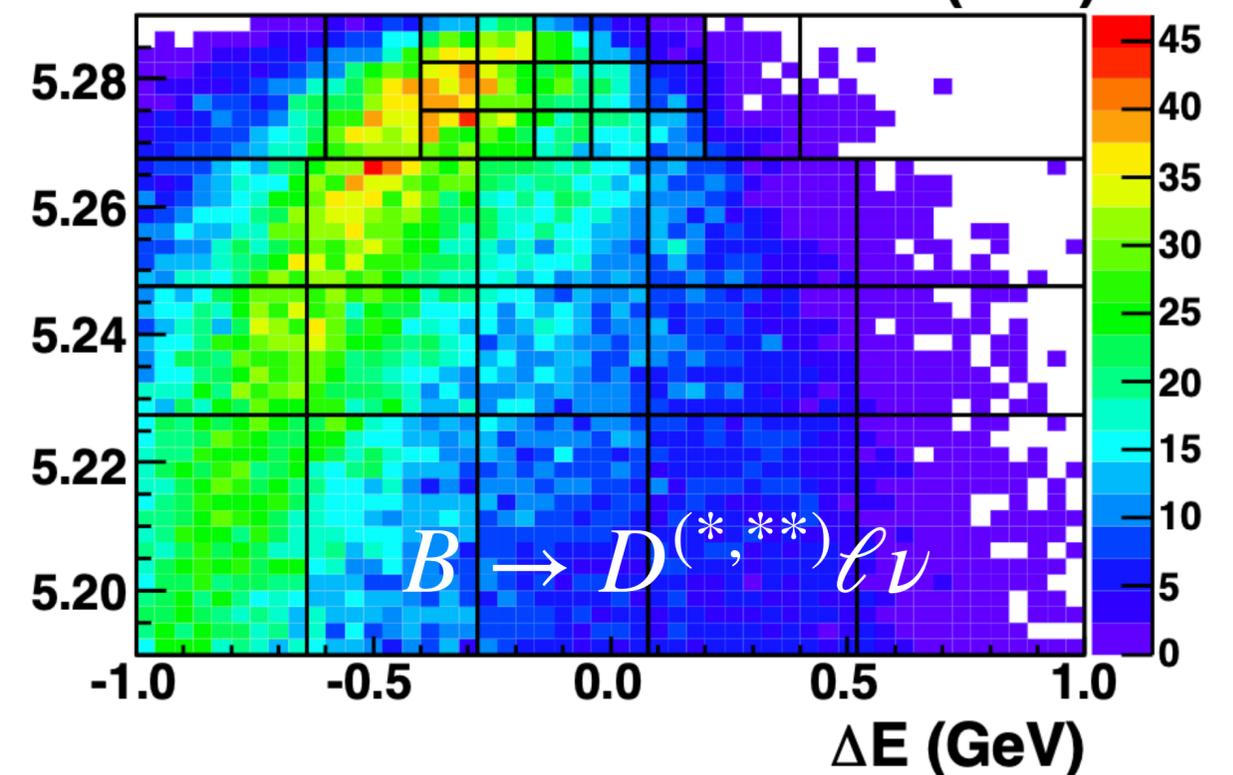
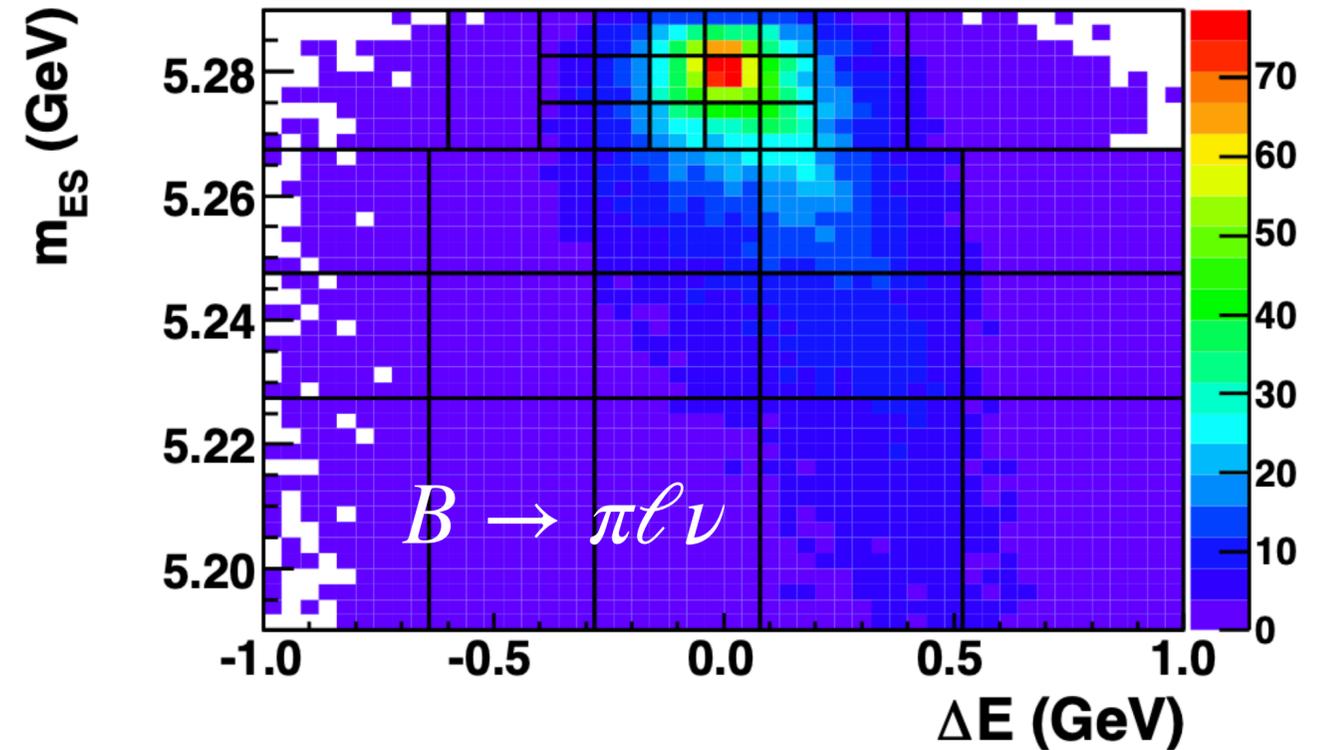
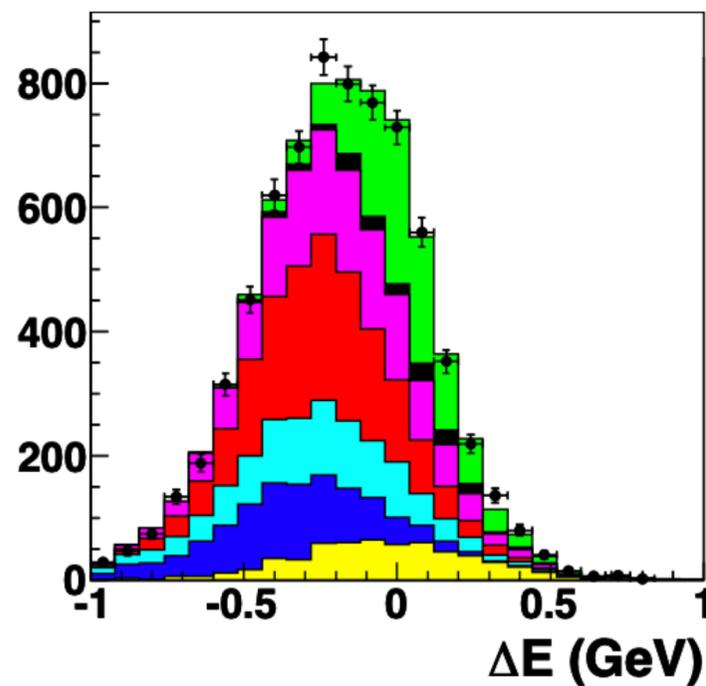
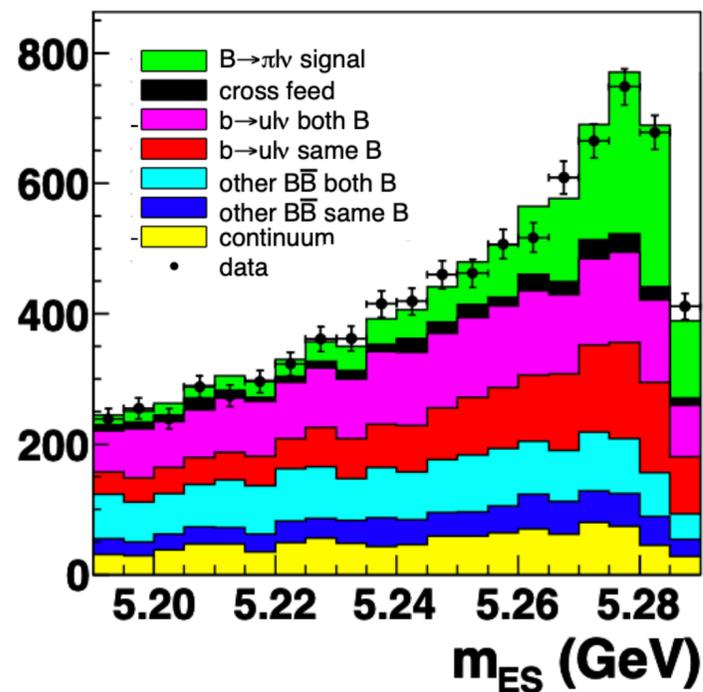
Untagged $B \rightarrow \pi \ell \nu$



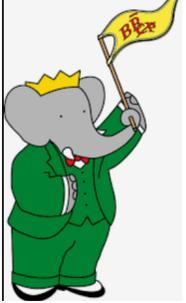
- Measure partials BF's of $B^0 \rightarrow \pi^- \ell \nu$, $B^+ \rightarrow \pi^0 \ell \nu$, $B^+ \rightarrow \omega \ell \nu$, $B^+ \rightarrow \eta^{(\prime)} \ell \nu$ in bins of q^2 .
- Reconstruct $Y = \text{lepton} + \text{charmless meson}$ system.
- Infer neutrino 4-momentum from $\vec{p}_{miss}^* = \vec{p}_{beams}^* - \vec{p}_{tot}^*$ where the latter is determined from the sum of all detected particles in the $Y(4S)$ frame
- $q^2 = (P_B - P_{meson})^2$ calculated as an average over 4 angles B momentum known up to an angle ψ about the momentum.
- Apply q^2 dependent selections to suppress backgrounds
- Extract signal and background yields in a 2D fit to the $\Delta E - m_{ES}$

$$\Delta E = (P_B \cdot P_{beams} - s/2) / \sqrt{s} \quad m_{ES} = \sqrt{(s/2 + \vec{p}_B \cdot \vec{p}_{beams})^2 / E_{beams}^2 - \vec{p}_B^2}$$

$q^2 > 16$



Untagged $B \rightarrow \pi \ell \nu$

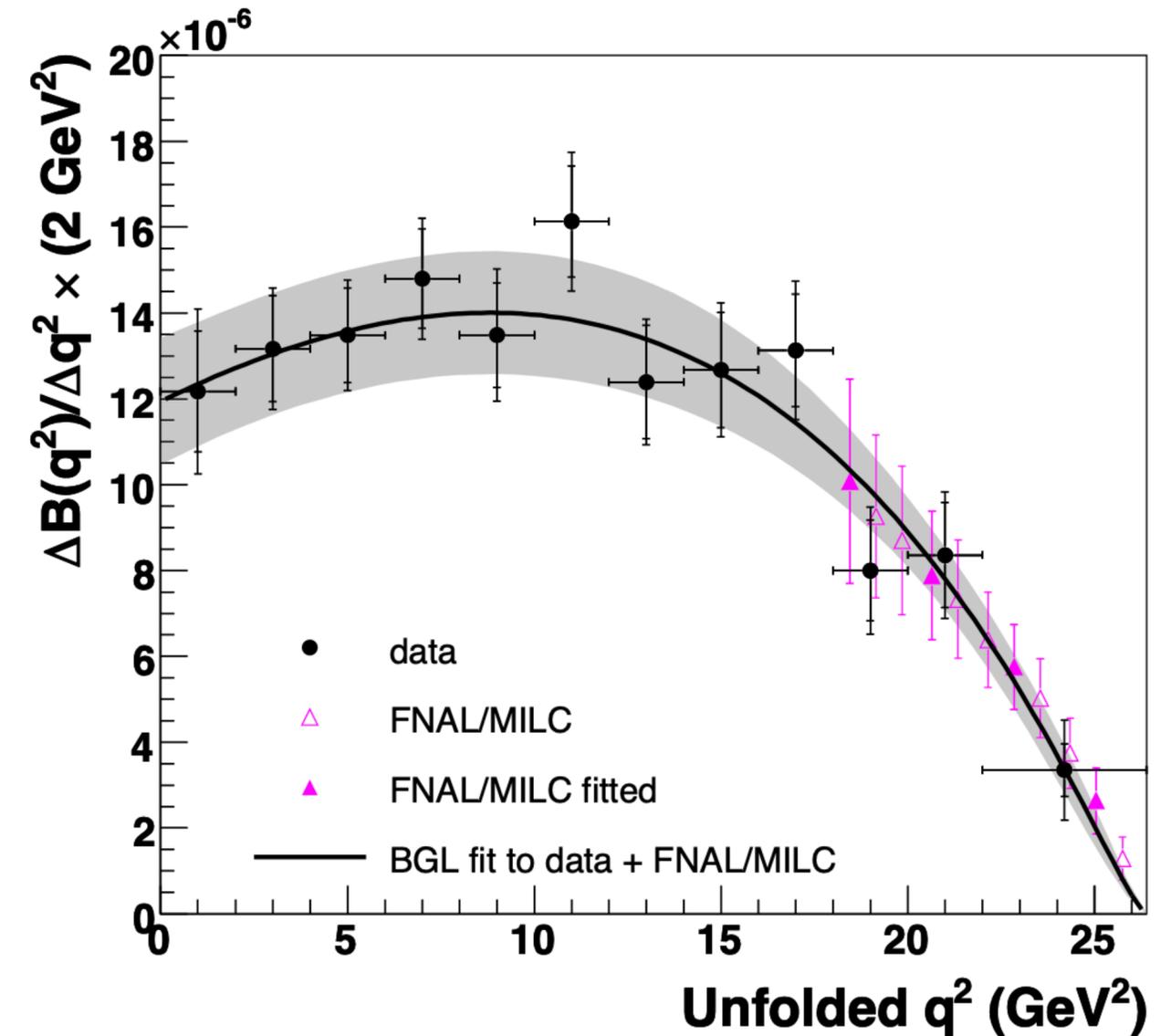


- Extract $|V_{ub}|$ from the combined $B \rightarrow \pi \ell \nu \Delta \mathcal{B}(q^2)$ using 3 different theoretical parametrization of the form factors.

$$|V_{ub}| = \sqrt{\Delta \mathcal{B} / (\tau_{B^0} \Delta \zeta)}$$

	q^2 (GeV ²)	$\Delta \mathcal{B}$ (10 ⁻⁴)	$\Delta \zeta$ (ps ⁻¹)	$ V_{ub} $ (10 ⁻³)	χ^2/ndf	$\text{Prob}(\chi^2)$
$B \rightarrow \pi \ell^+ \nu$						
HPQCD [5]	16 – 26.4	$0.37 \pm 0.02 \pm 0.02$	2.02 ± 0.55	$3.47 \pm 0.10 \pm 0.08^{+0.60}_{-0.39}$	2.7/4	60.1%
FNAL [6]	16 – 26.4	$0.37 \pm 0.02 \pm 0.02$	$2.21^{+0.47}_{-0.42}$	$3.31 \pm 0.09 \pm 0.07^{+0.37}_{-0.30}$	3.9/4	41.5%
LCSR [3]	0 – 12	$0.83 \pm 0.03 \pm 0.04$	$4.59^{+1.00}_{-0.85}$	$3.46 \pm 0.06 \pm 0.08^{+0.37}_{-0.32}$	8.0/6	24.0%
LCSR2 [34]	0			$3.34 \pm 0.10 \pm 0.05^{+0.29}_{-0.26}$		
$B^+ \rightarrow \omega \ell^+ \nu$						
LCSR3 [18]	0 – 20.2	$1.19 \pm 0.16 \pm 0.09$	14.2 ± 3.3	$3.20 \pm 0.21 \pm 0.12^{+0.45}_{-0.32}$	2.24/5	81.5%

- Simultaneous fit of the BGL parametrization and 4 points in the FNAL/MILC lattice data yield $|V_{ub}| = (3.25 \pm 0.31) \times 10^{-3}$
- Result compatible with alternative determinations using LCSR and compatible with previous Belle tagged results



$$|V_{ub}| = (3.25 \pm 0.31) \times 10^{-3}$$

Still in tension with inclusive determination!

LCSR: Phys. Rev. D83, 094031 (2011).

HPQCD: Phys. Rev. D73,074502 (2006); Erratum ibid. D75, 119906 (2007).

FNAL J. A. FNAL: Phys. Rev. D79, 054507 (2009)

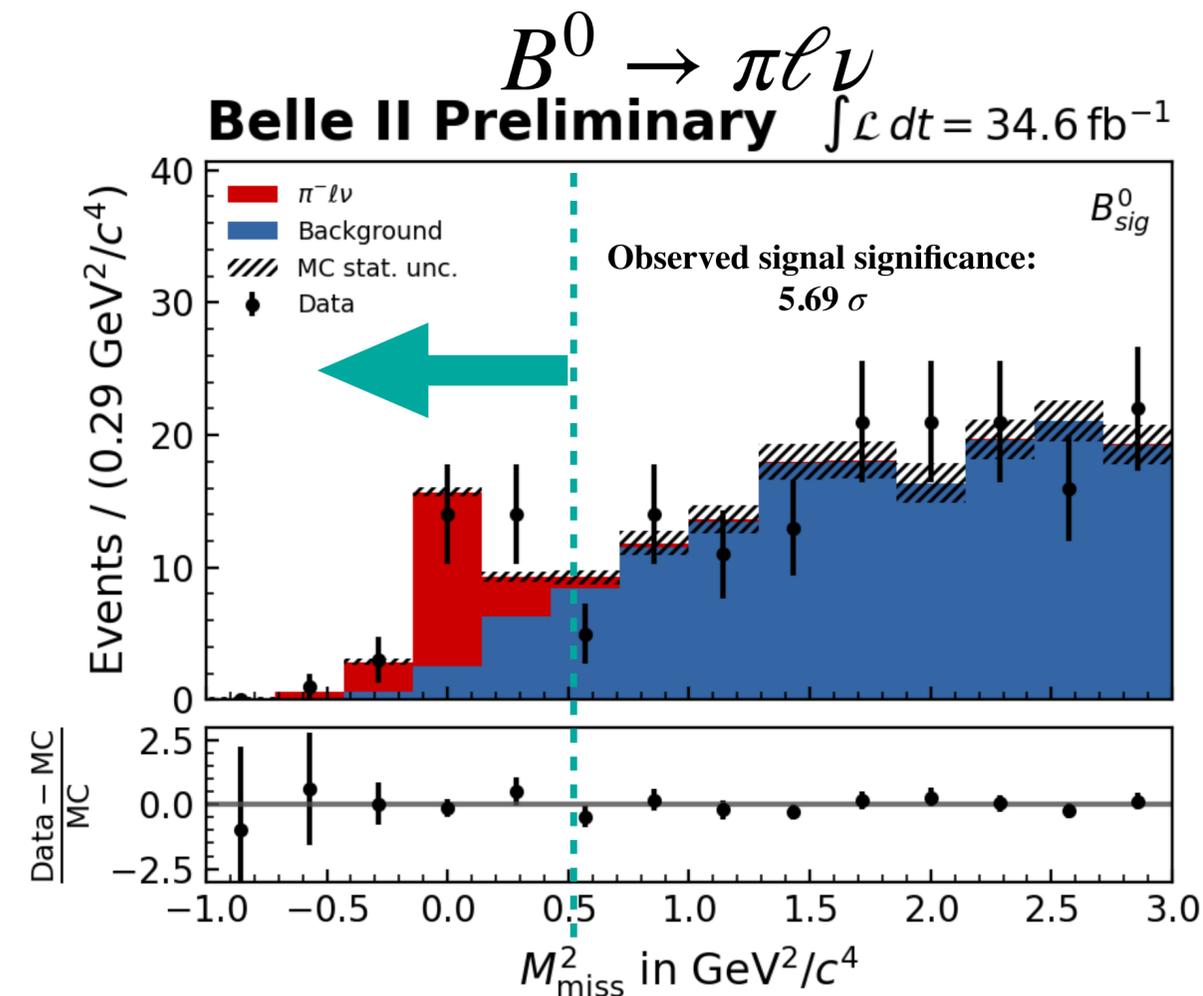
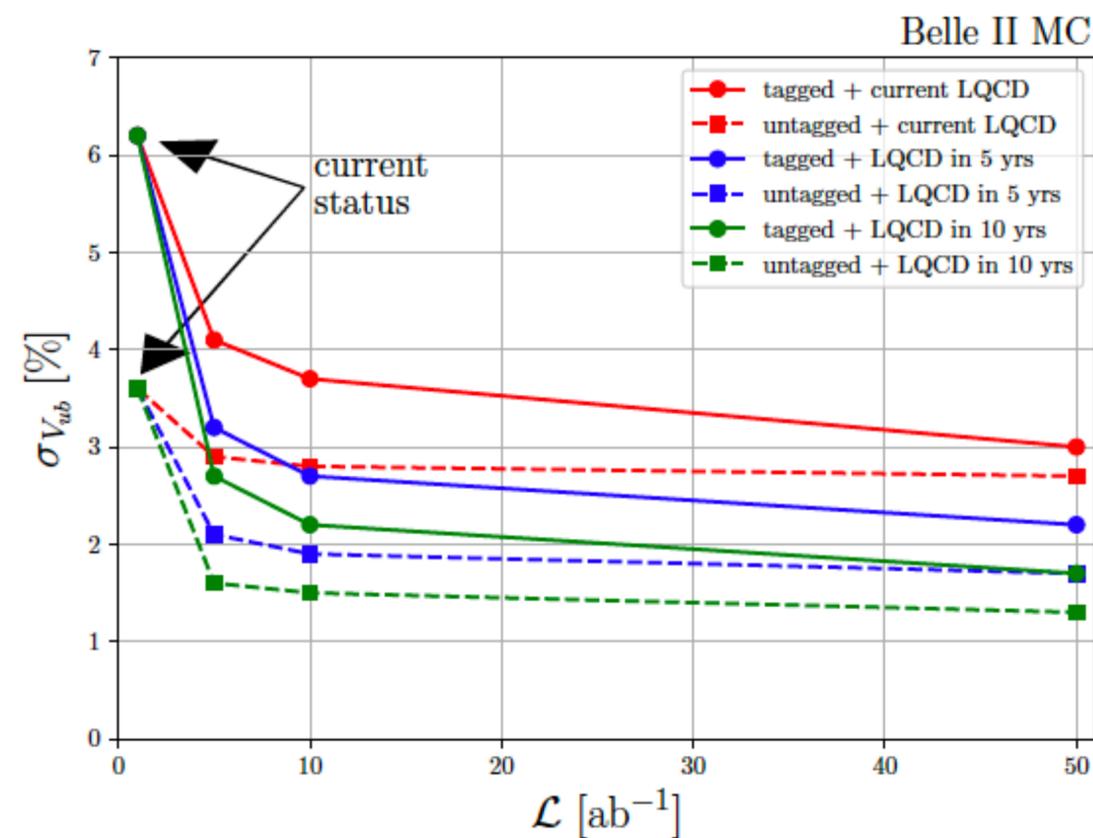
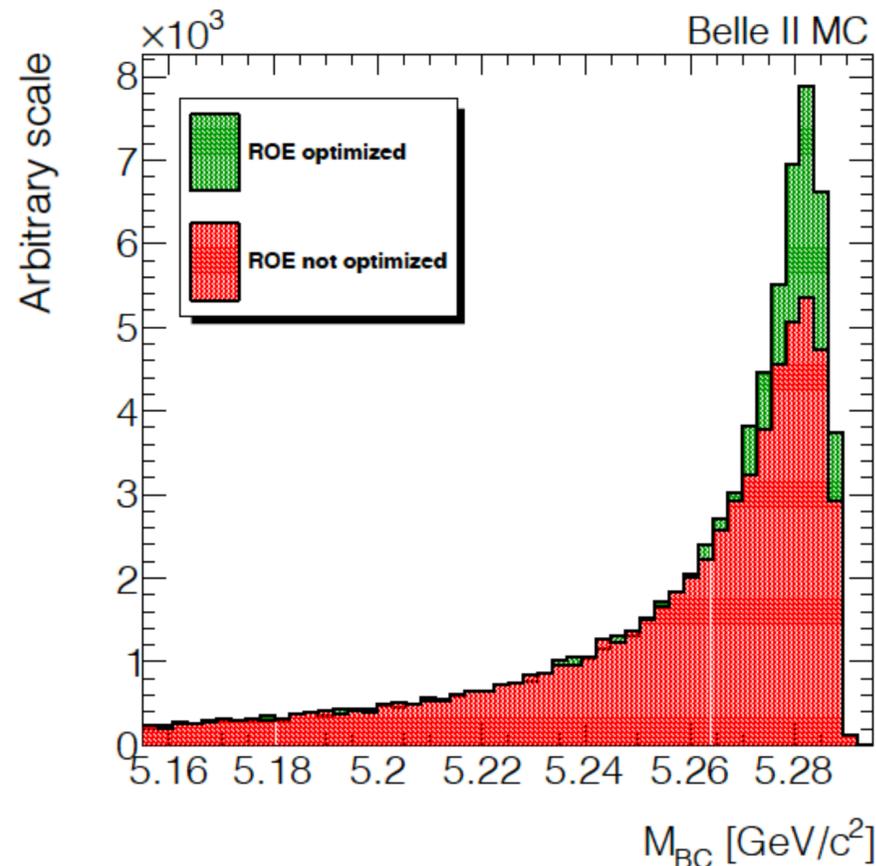
LCSR2:A. Bharucha, 10.1007/JHEP05(2012)092.

LCSR3: Phys. Rev. D71, 014029 (2005)

Exclusive $|V_{ub}|$ at Belle II

- Larger data sample will improve statistical power.
- Combined with lattice QCD determinations in the full kinematic range.
- Expect reduced systematics on $N_{B\bar{B}}$ and f_{00}/f_{+0} for untagged analyses.
- Improved tagging techniques Full Event Interpretation(see backup) is expected to increase efficiency by $\sim 2\%$
- Implement multivariate rest-of-event optimization to improve ΔE and m_{ES} resolution in untagged analyses.

	Statistical	Systematic (reducible, irreducible)	Total Exp	Theory	Total
$ V_{ub} $ exclusive (had. tagged)					
711 fb^{-1}	3.0	(2.3, 1.0)	3.8	7.0	8.0
5 ab^{-1}	1.1	(0.9, 1.0)	1.8	1.7	3.2
50 ab^{-1}	0.4	(0.3, 1.0)	1.2	0.9	1.7
$ V_{ub} $ exclusive (untagged)					
605 fb^{-1}	1.4	(2.1, 0.8)	2.7	7.0	7.5
5 ab^{-1}	1.0	(0.8, 0.8)	1.2	1.7	2.1
50 ab^{-1}	0.3	(0.3, 0.8)	0.9	0.9	1.3



$|V_{cb}|$

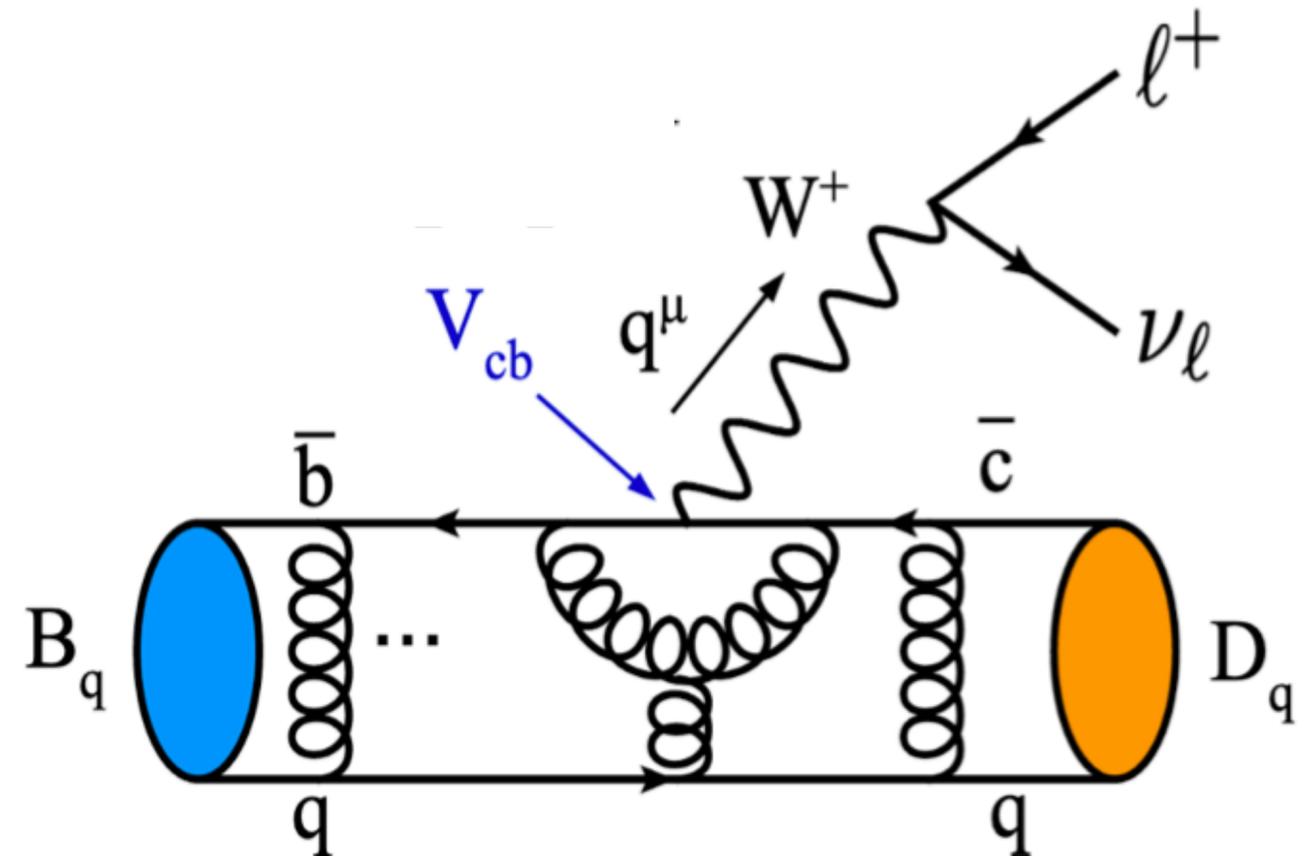
- Inclusive via $B \rightarrow X_c \ell \nu$:

$$\Gamma = \frac{G_F^2 m_b^5}{192\pi^3} |V_{cb}|^2 \left(1 + \frac{c_5(\mu) \langle O_5 \rangle(\mu)}{m_b^2} + \frac{c_6(\mu) \langle O_6 \rangle(\mu)}{m_b^3} + \mathcal{O}\left(\frac{1}{m_b^4}\right) \right)$$

- Heavy Quark expansion of decay rate with non-perturbative matrix elements and perturbative coefficients.
 - Non-perturbative parameters determined using the lepton energy or hadronic mass moments of $B \rightarrow X_c \ell \nu$
- Exclusive via $B \rightarrow D^{(*)} \ell \nu$:
 - Clean experimental modes with low background.
 - Decay rate requires input on the form factor parametrization.

$ V_{cb} = (42.2 \pm 0.8) \times 10^{-3}$ (inclusive) PDG value $ V_{cb} = (39.5 \pm 0.9) \times 10^{-3}$ (exclusive) PDG value
--

Tension between exclusive and inclusive determinations.



$$B \rightarrow D^* \ell \nu$$

$$\frac{d\Gamma}{dw}(\bar{B} \rightarrow D^* \ell \bar{\nu}_\ell) = \frac{G_F^2 m_B^5}{48\pi^3} |V_{cb}|^2 (w^2 - 1)^{1/2} P(w) (\eta_{ew} \mathcal{F}(w))^2, \quad w = \frac{m_B^2 + m_D^2 - q^2}{2m_B m_D}$$

- Requires input on form factor parametrization:

$$f_i(z) = \frac{1}{P_i(z)\phi_i(z)} \sum_{n=0}^N a_{i,n} z^n, \quad z(w) = \frac{\sqrt{w+1} - \sqrt{2}}{\sqrt{w+1} + \sqrt{2}}$$

Boyd, Grinstein, Lebed parametrization

Phys. Rev. Lett. 74, 4603 (1995)

$$h_{A_1}(w) = h_{A_1}(1) [1 - 8\rho^2 z + (53\rho^2 - 15)z^2 - (231\rho^2 - 91)z^3],$$

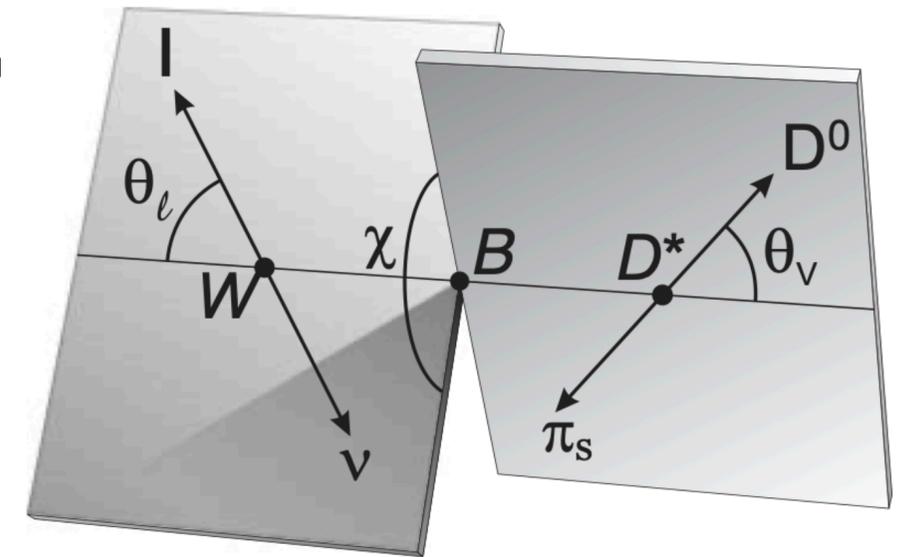
$$R_1(w) = R_1(1) - 0.12(w-1) + 0.05(w-1)^2,$$

$$R_2(w) = R_2(1) + 0.11(w-1) - 0.06(w-1)^2,$$

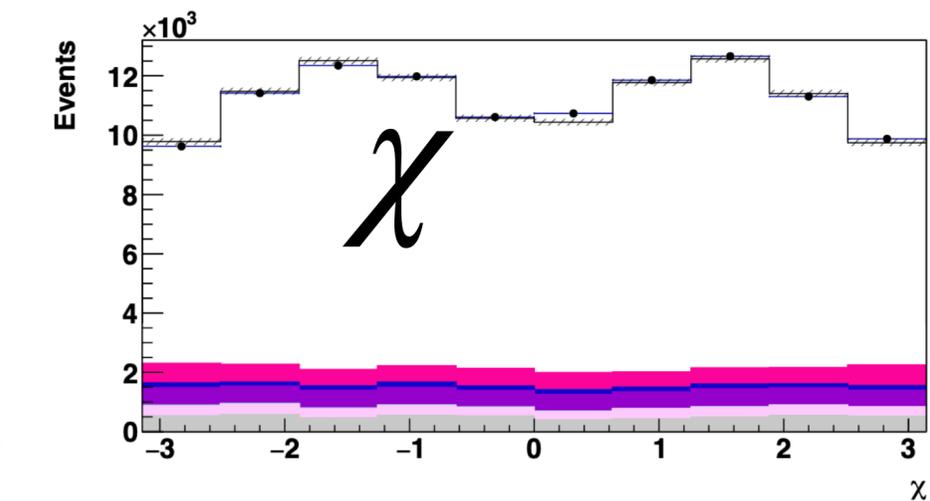
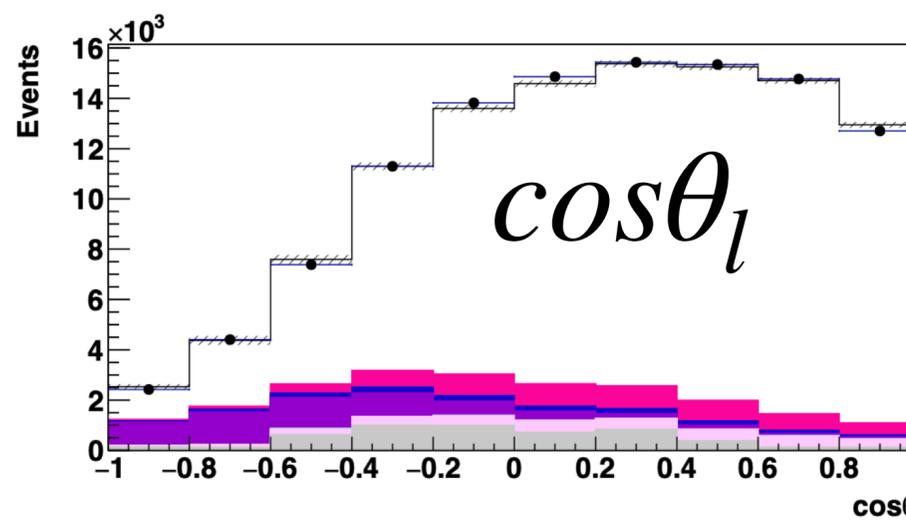
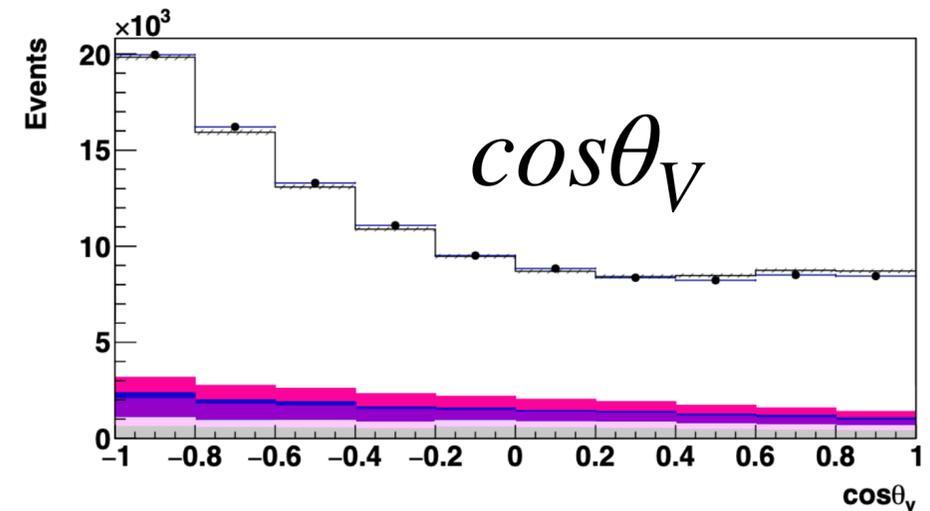
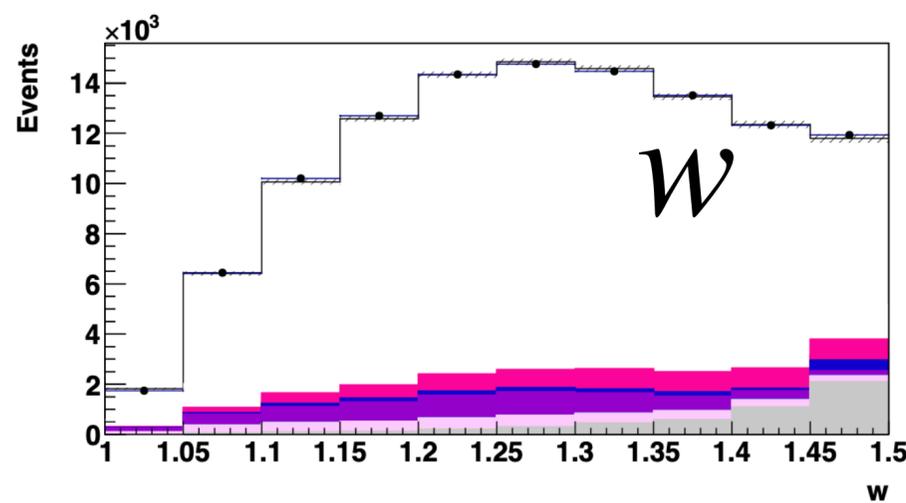
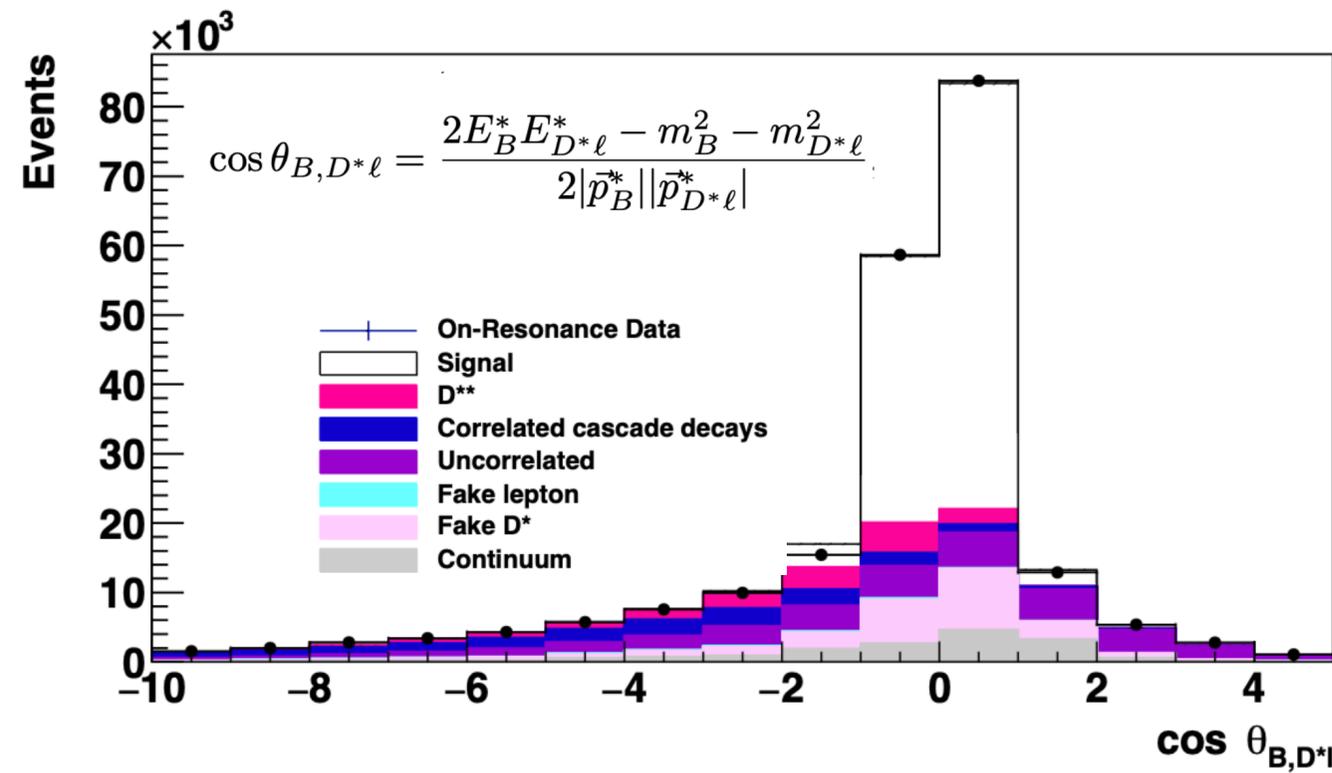
Caprini, Lellouch, Neubert parametrization

Nucl. Phys. B530, 153(1998)

- Extract $|V_{cb}|$ at zero recoil, $w = 1$ by measuring the differential rate.
- Use measured differential rate as input to a fit for the form factors and $\eta_{EW} \mathcal{F}(1) |V_{cb}|$.
- Lattice calculations available only at zero-recoil $\mathcal{F}(1) = 0.895 \pm 0.026$ (Phys. Rev. D 97, 054502 (2018)) or $\mathcal{F}(1) = 0.906 \pm 0.013$ (Phys.Rev.D89, 115404 (2014)). Work in progress towards calculations at larger recoil.



- Untagged analysis using Full Belle dataset: 711 fb⁻¹
- Reconstruct D* and identify lepton candidate
- Estimate backgrounds using fits $\cos\theta_{B,D^*\ell}$, ΔM , p_ℓ .
- Determine direction of non-signal B using residual tracks and clusters not used in the reconstruction.



- Perform binned χ^2 fit using 1-D projections of w , $\cos\theta_\ell$, $\cos\theta_\nu$, χ to extract form factor parameters and $|V_{cb}|$



$$B^0 \rightarrow D^{*-} \ell \nu$$

CLN parametrization

$$\rho^2 = 1.106 \pm 0.031 \pm 0.007,$$

$$R_1(1) = 1.229 \pm 0.028 \pm 0.009,$$

$$R_2(1) = 0.852 \pm 0.021 \pm 0.006,$$

$$\mathcal{F}(1)|V_{cb}|\eta_{EW} \times 10^3 = 35.06 \pm 0.15 \pm 0.56$$

$$\mathcal{B}(B^0 \rightarrow D^{*-} \ell^+ \nu_\ell) = (4.90 \pm 0.02 \pm 0.16)\%,$$

BGL parametrization

$$\tilde{a}_0^f \times 10^3 = -0.506 \pm 0.004 \pm 0.008,$$

$$\tilde{a}_1^f \times 10^3 = -0.65 \pm 0.17 \pm 0.09,$$

$$\tilde{a}_1^{F_1} \times 10^3 = -0.270 \pm 0.064 \pm 0.023,$$

$$\tilde{a}_2^{F_1} \times 10^3 = +3.27 \pm 1.25 \pm 0.45,$$

$$\tilde{a}_0^g \times 10^3 = -0.929 \pm 0.018 \pm 0.013,$$

$$\mathcal{F}(1)|V_{cb}|\eta_{EW} \times 10^3 = 34.93 \pm 0.23 \pm 0.59$$

$$\mathcal{B}(B^0 \rightarrow D^{*-} \ell^+ \nu_\ell) = (4.90 \pm 0.02 \pm 0.16)\%.$$

BF is consistent with different parametrization

$$\frac{\mathcal{B}(B^0 \rightarrow D^{*-} e^+ \nu)}{\mathcal{B}(B^0 \rightarrow D^{*-} \mu^+ \nu)} = 1.01 \pm 0.01 \pm 0.03$$

$$|V_{cb}| = (42.5 \pm 0.3 \pm 0.7 \pm 0.6) \times 10^{-3} \quad \text{Exclusive } |V_{cb}| \text{ (BGL)}$$

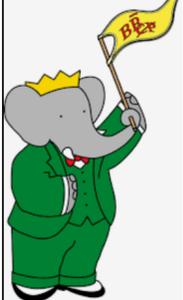
$$|V_{cb}| = (38.4 \pm 0.2 \pm 0.6 \pm 0.6) \times 10^{-3} \quad \text{Exclusive } |V_{cb}| \text{ (CLN)}$$

Lattice QCD uncertainty

**Model independent
measurement of exclusive
 $\mathcal{F}(1)|V_{cb}|$**

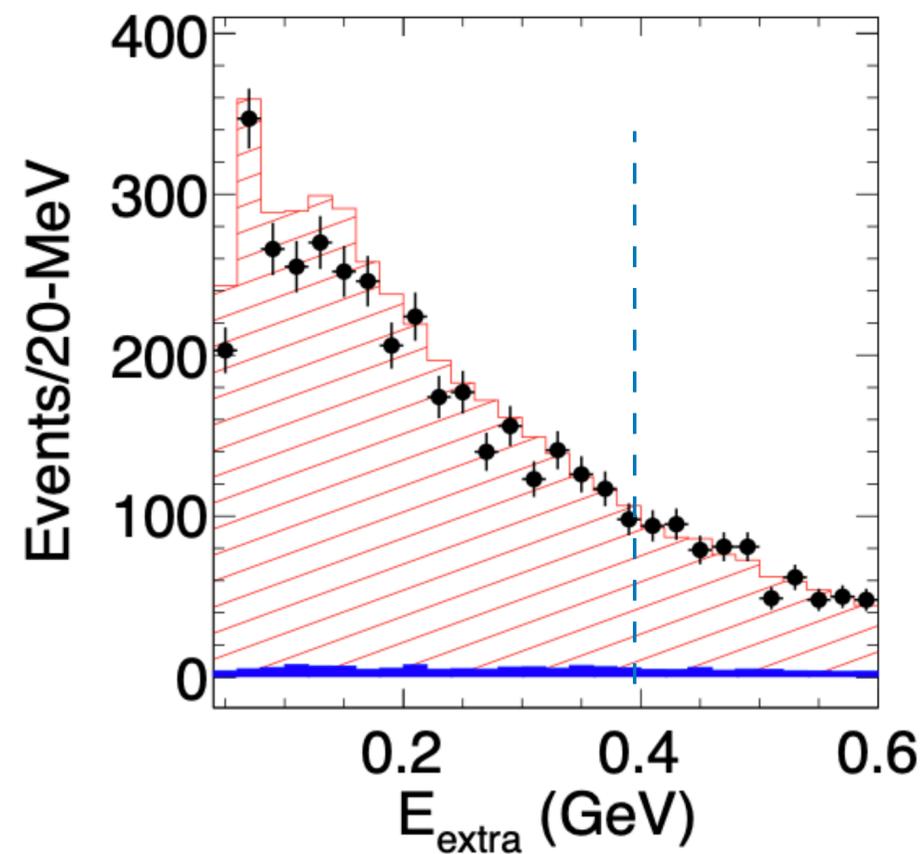
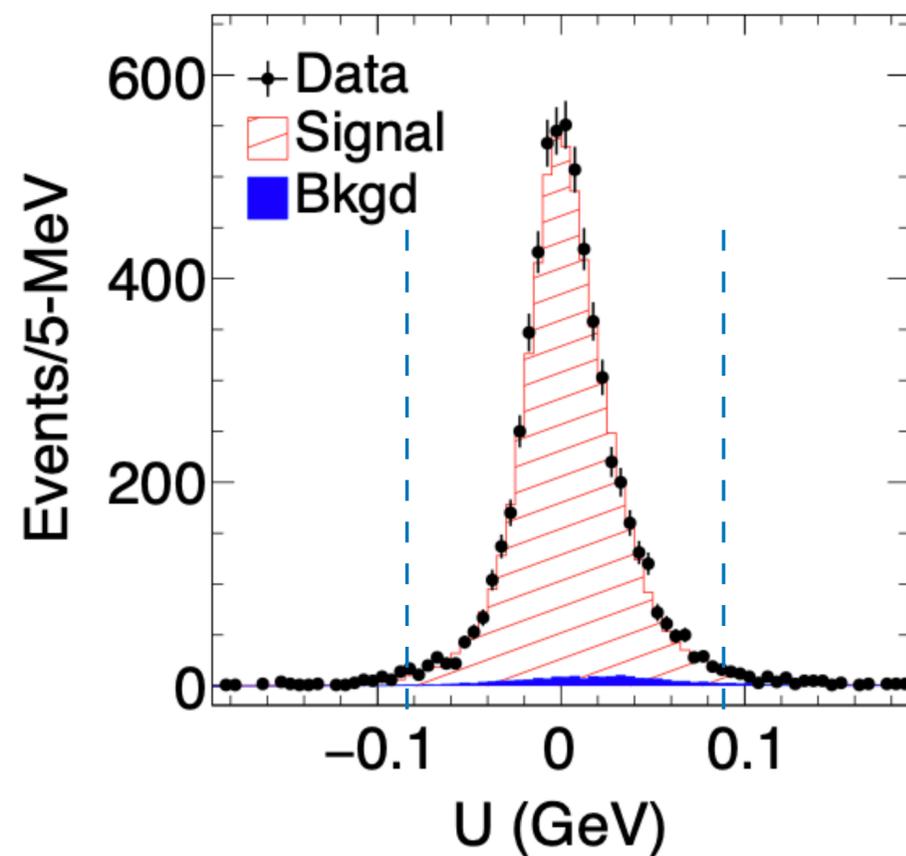
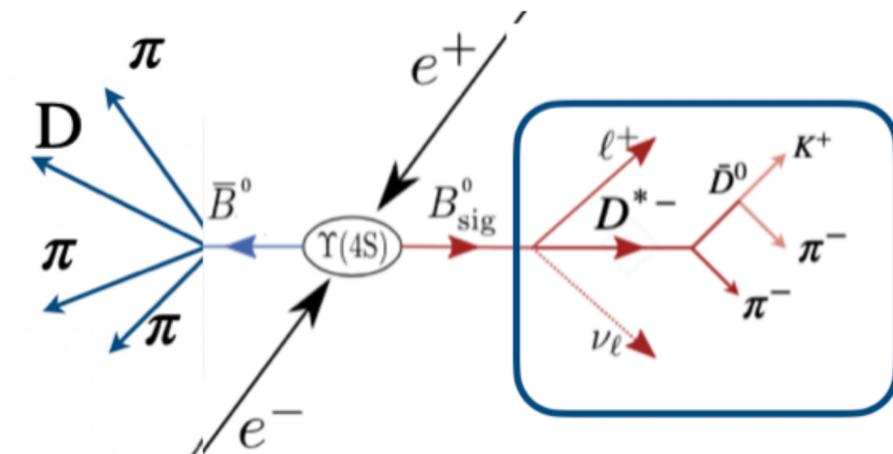
**Consistent $|V_{cb}|$ result between
BGL and CLN**

Dominant systematic uncertainties
track reconstruction & lepton ID



$B \rightarrow D^* \ell \nu$

- Exploit hadronic reconstruction using BaBar dataset.
- Reconstruct $D^0 \rightarrow K^- \pi^+, K^- \pi^- \pi^0, K^- \pi^+ \pi^- \pi^+$ and form a D^{*0}, D^{*+}
- Suppress background using cuts on E_{extra} and $U = E_{\text{miss}} - p_{\text{miss}}$



Background < 3%

Unbinned maximum likelihood fit to the four-dimensional decay rate using all selected events:

- Not-extended fit: extract form factors only
- Extended fit: Extract by constraining the integrated decay rate to world average values of the \mathcal{B} and τ

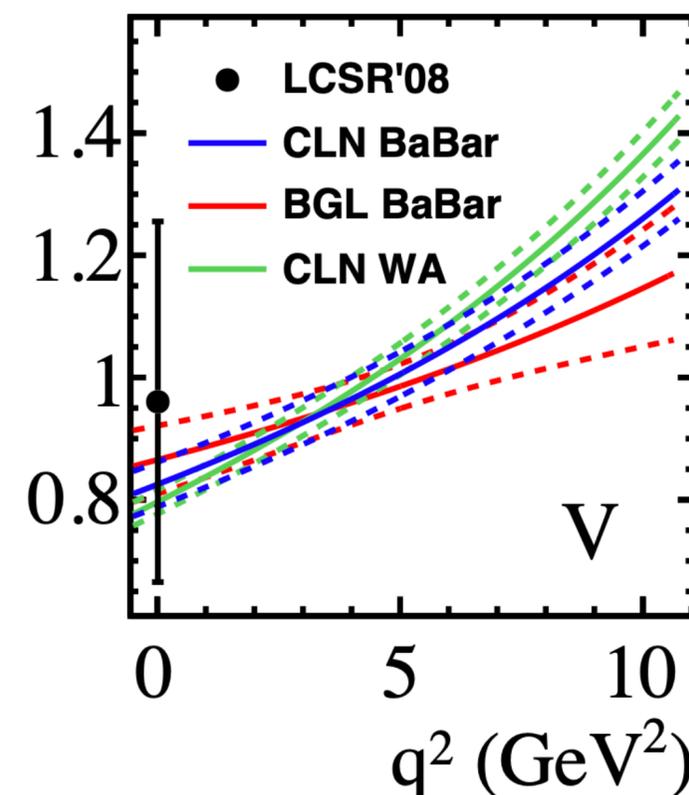
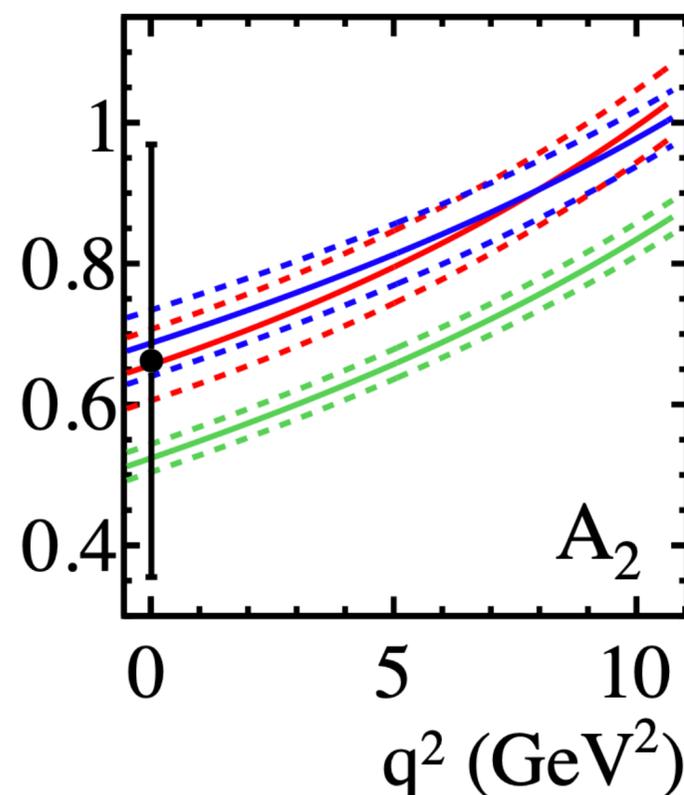
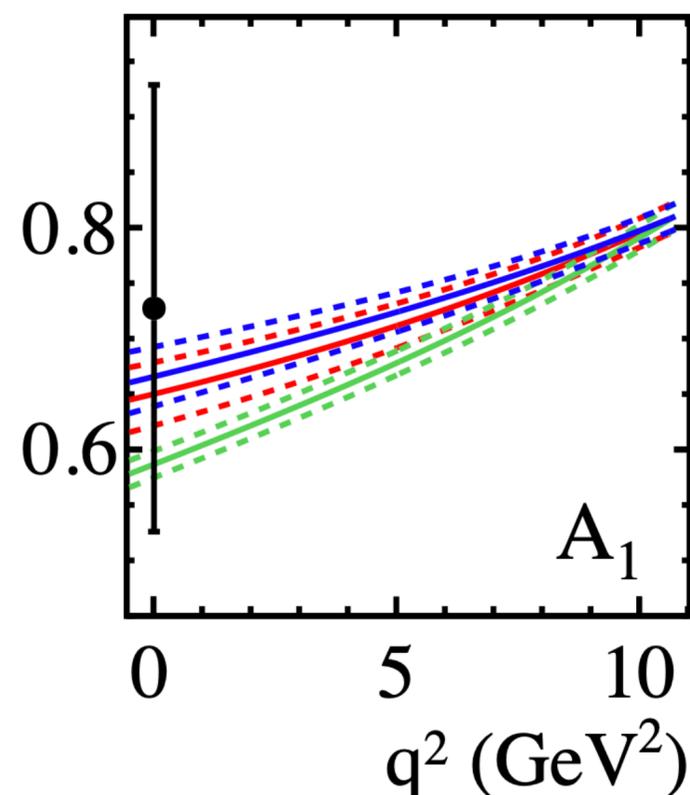
$$\int \frac{d\Gamma}{dq^2 d\Omega} dq^2 d\Omega = \frac{\text{Br}(B \rightarrow D^* l \nu_l)}{\tau(B)}$$

$$B \rightarrow D^* \ell \nu$$

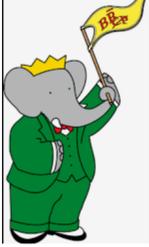
- $|V_{cb}|$ results lower than recent Belle BGL result.
- Discrepancy between CLN BaBar result and CLN world average.
- Does not solve current $|V_{cb}|$ puzzle.

$\rho_{D^*}^2$	$R_1(1)$	$R_2(1)$	$ V_{cb} \times 10^3$
0.96 ± 0.08	1.29 ± 0.04	0.99 ± 0.04	38.40 ± 0.84

$a_0^f \times 10^2$	$a_1^f \times 10^2$	$a_1^{F_1} \times 10^2$	$a_0^g \times 10^2$	$a_1^g \times 10^2$	$ V_{cb} \times 10^3$
1.29	1.63	0.03	2.74	8.33	38.36
± 0.03	± 1.00	± 0.11	± 0.11	± 6.67	± 0.90



Similar $B \rightarrow D \ell \nu$ BaBar analysis currently in progress!
Plan is to perform a combined HQE fit to D and D*.

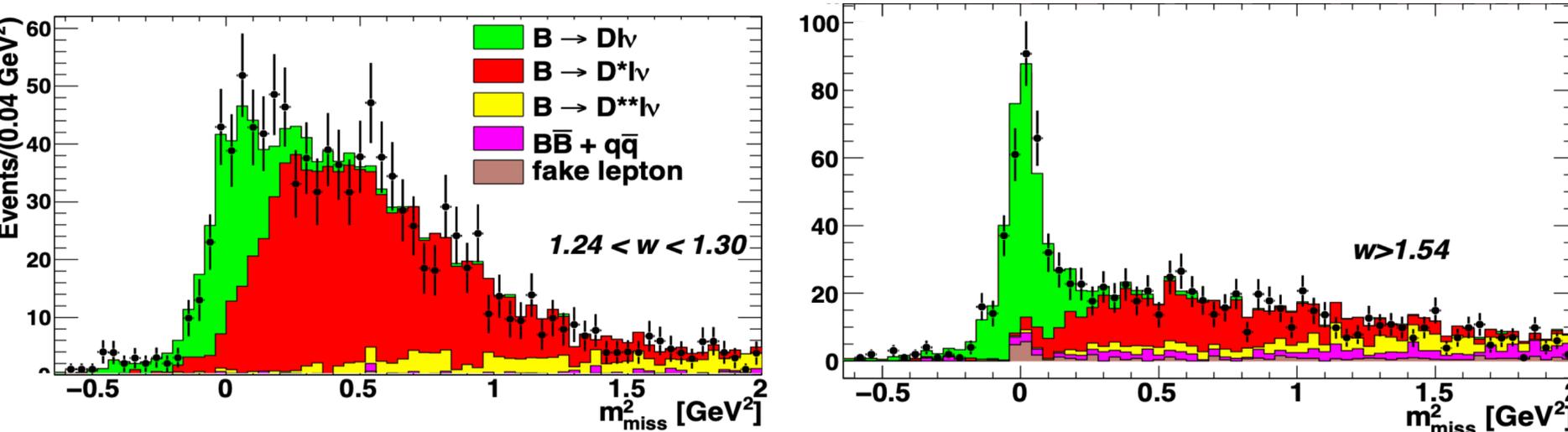
 $\bar{B} \rightarrow D \ell \nu$

$$\frac{d\Gamma(\bar{B} \rightarrow D \ell \nu)}{dw} = \frac{G_F^2}{48\pi^3 \hbar} M_D^3 (M_B + M_D)^2 (w^2 - 1)^{3/2} |V_{cb}|^2 \mathcal{G}^2(w)$$

CLN Parametrization

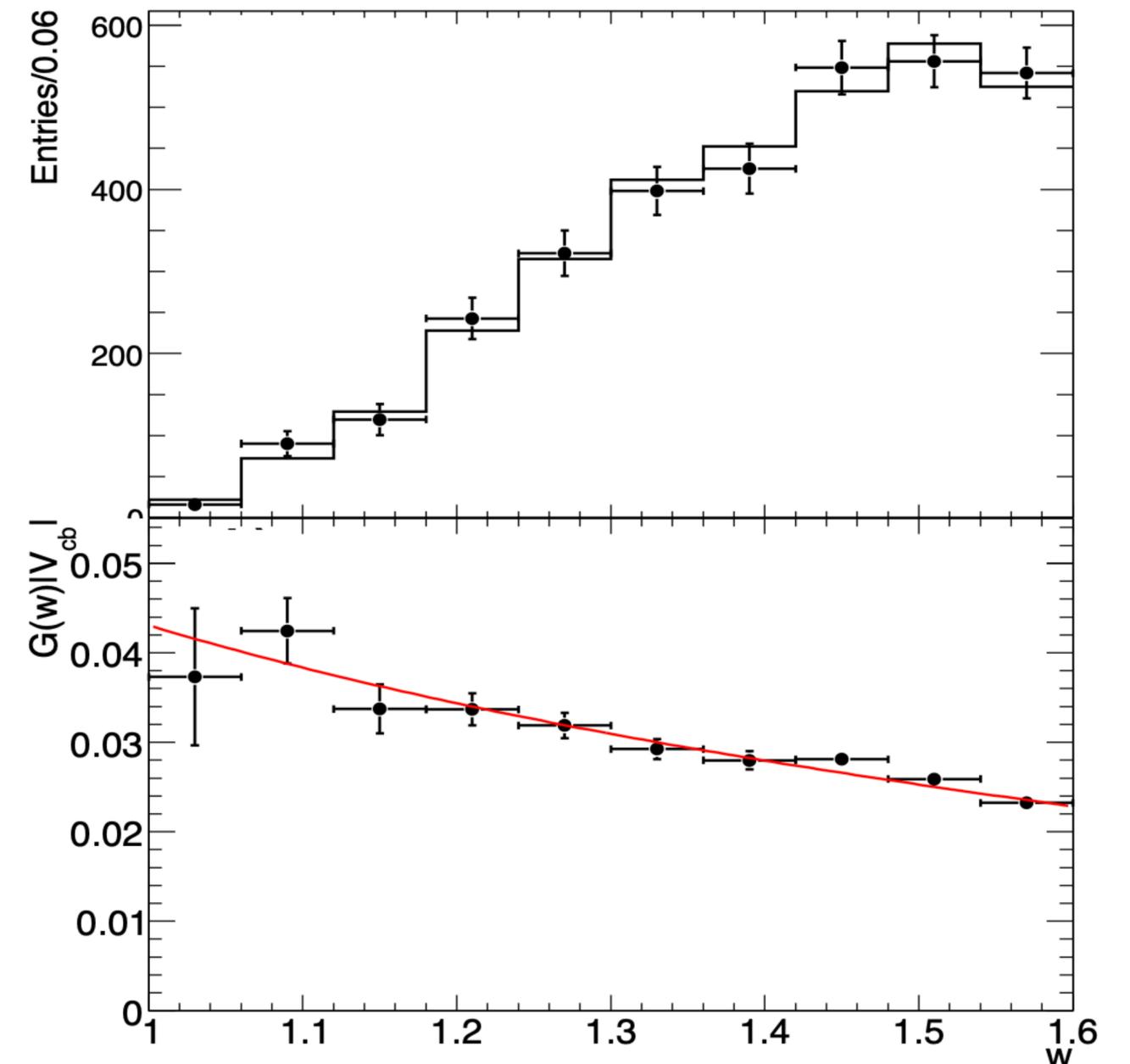
$$\mathcal{G}(z) = \mathcal{G}(1)(1 - 8\rho^2 z + (51\rho^2 - 10)z^2 - (252\rho^2 - 84)z^3)$$

- Hadronic reconstruction with full BaBar dataset.
- Reconstruct signal D meson and identify lepton
- Extract signal yield using an un-binned maximum likelihood fit to the M_{miss}^2 distribution
- Main systematic uncertainty: tagging efficiency



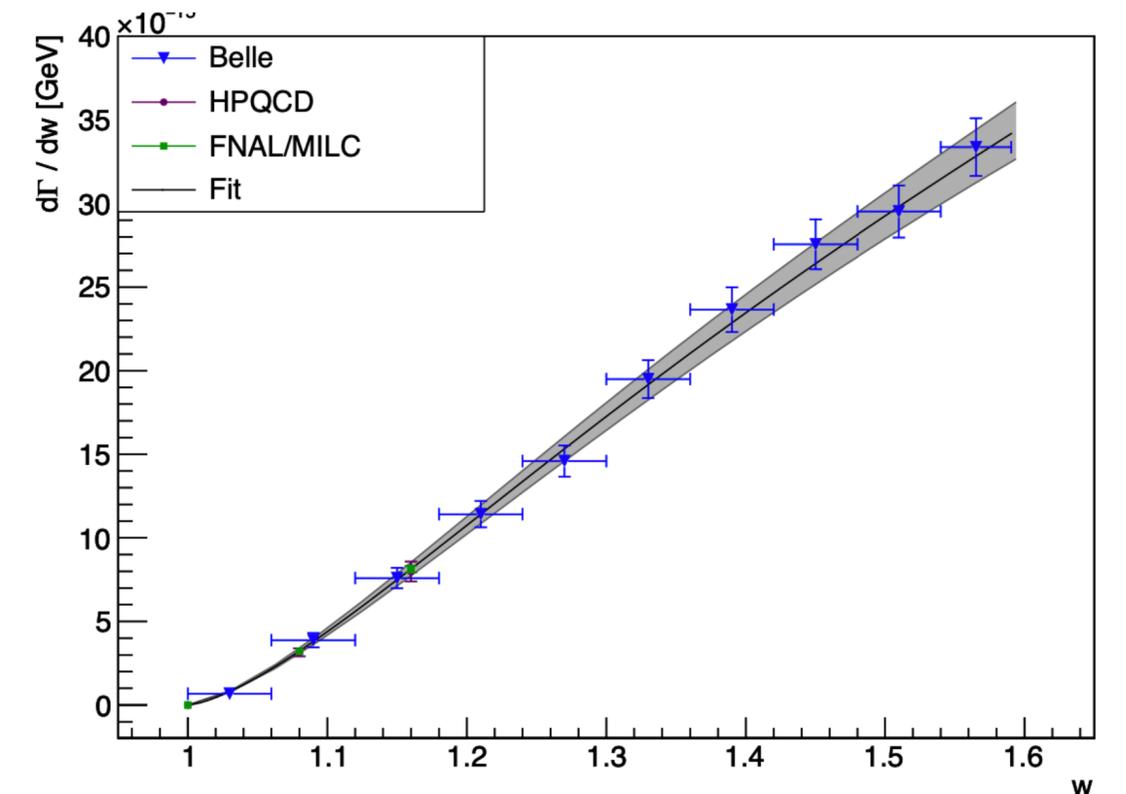
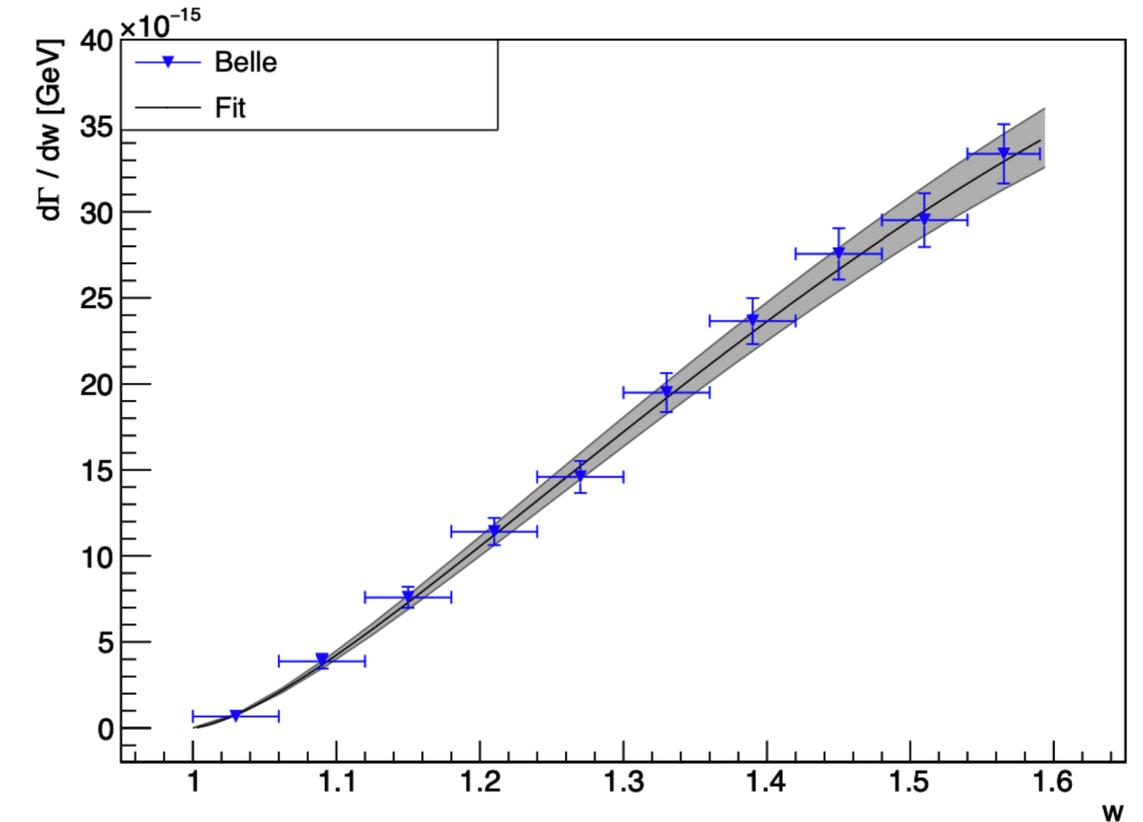
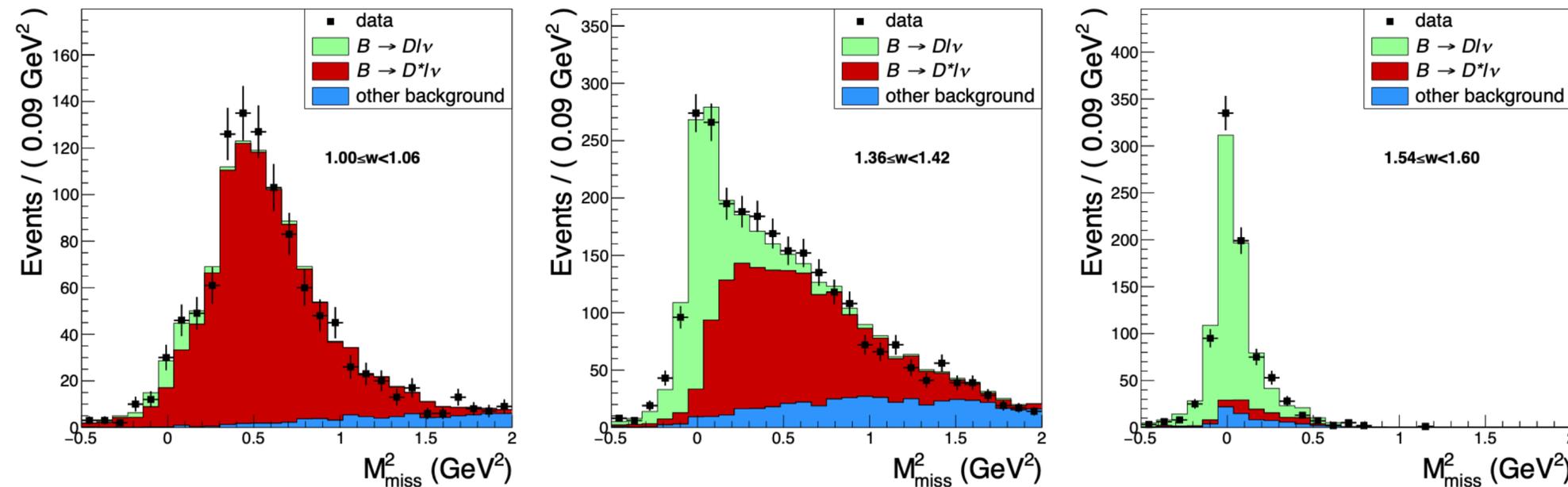
- Measure $|V_{cb}|$ and ρ^2 using a least square fit in ten bins of w .

$$|V_{cb}| = (41.6 \pm 1.8 \pm 1.4 \pm 0.7_{FF}) \times 10^{-3}$$



$\bar{B} \rightarrow D \ell \nu$

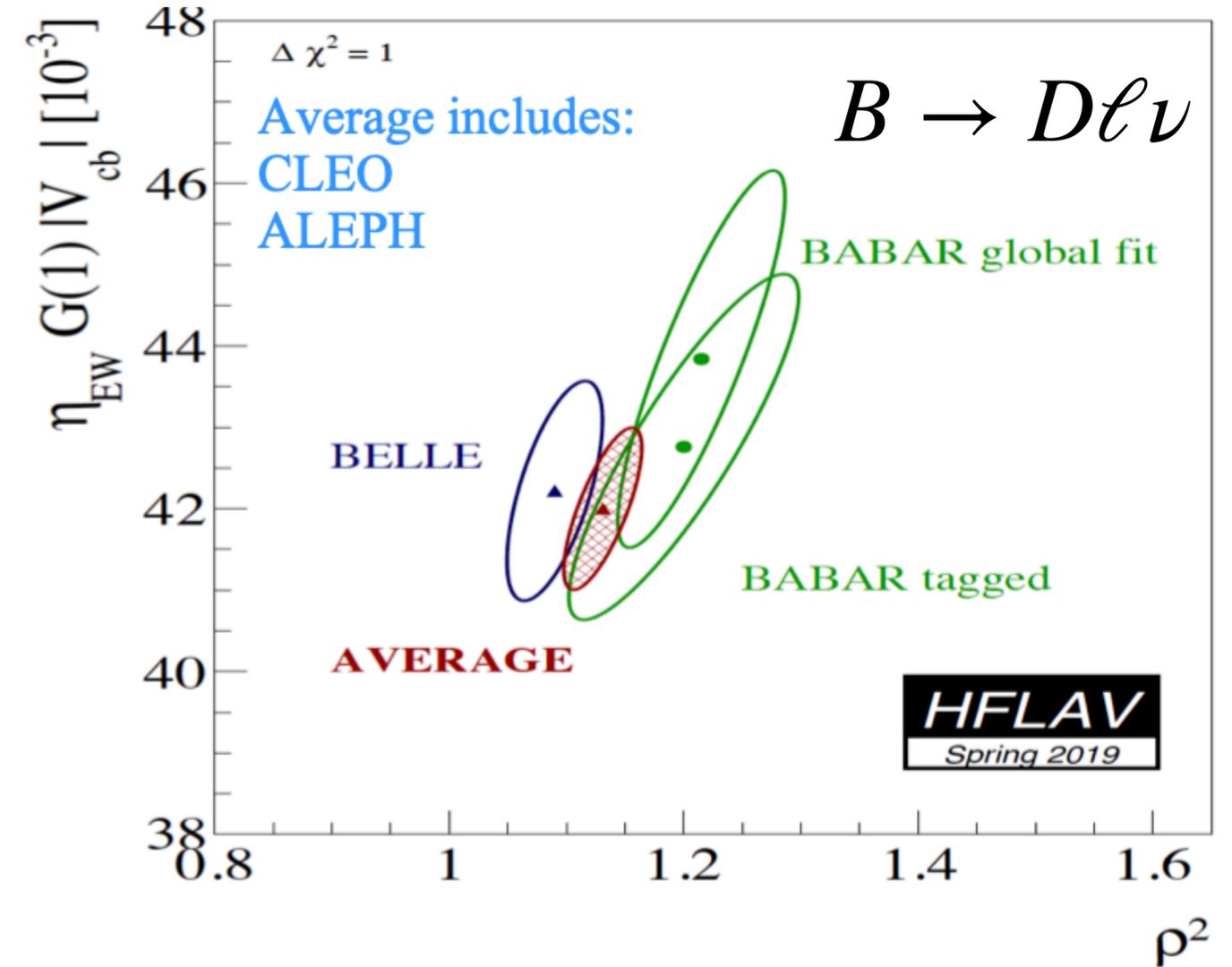
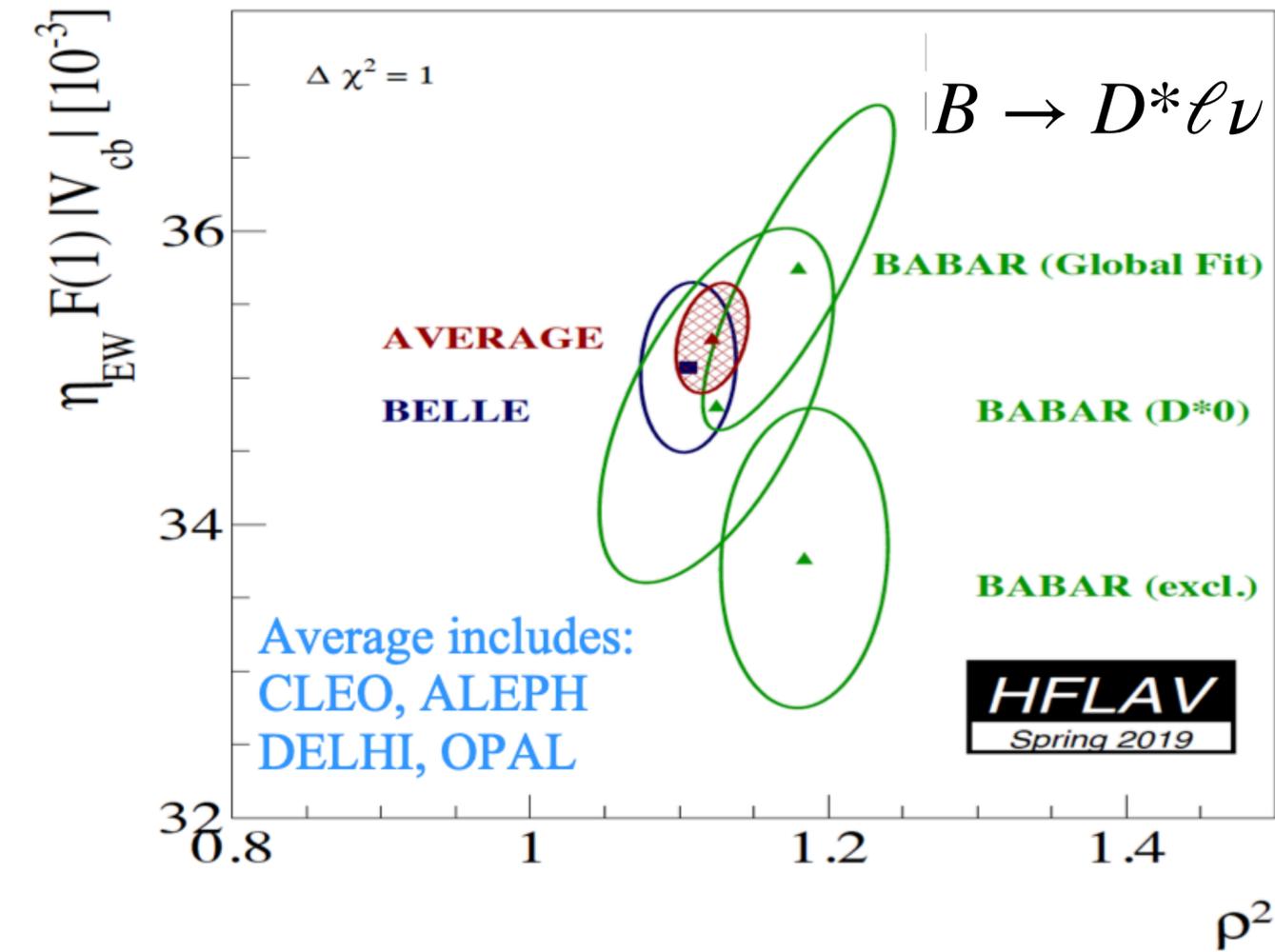
- Updated analysis with hadronic tagging at Belle.
- 10 D^+ and 13 D^0 modes, 5 times the width reconstructed by BaBar
- Signal yield extracted from a fit to M_{miss}^2



- Perform fit to CLN parametrization and use $\mathcal{G}(1) = 1.0541 \pm 0.0083$, determine $|V_{cb}| = (39.86 \pm 1.33) \times 10^{-3}$
- Repeat with BGL parametrization and lattice calculations to find $|V_{cb}| = (40.83 \pm 1.13) \times 10^{-3}$
- Consistent with $B \rightarrow D^* \ell \nu$, smaller deviation from inclusive $|V_{cb}|$

Exclusive $|V_{cb}|$

CLN parametrization only



$$|V_{cb}| = (38.76 \pm 0.42_{exp} \pm 0.55_{th}) \cdot 10^{-3}$$

$$|V_{cb}| = (39.58 \pm 0.94_{exp} \pm 0.37_{th}) \cdot 10^{-3}$$

Inclusive $|V_{cb}|$

- HQE in powers of $1/m_b$
- Determine parameters of HQE using moments of the differential rate.

$$\langle E^n \rangle_{\text{cut}} = \frac{\int_{E_\ell > E_{\text{cut}}} dE_\ell E_\ell^n \frac{d\Gamma}{dE_\ell}}{\int_{E_\ell > E_{\text{cut}}} dE_\ell \frac{d\Gamma}{dE_\ell}} \quad \langle (M_X^2)^n \rangle_{\text{cut}} = \frac{\int_{E_\ell > E_{\text{cut}}} dM_X^2 (M_X^2)^n \frac{d\Gamma}{dM_X^2}}{\int_{E_\ell > E_{\text{cut}}} dM_X^2 \frac{d\Gamma}{dM_X^2}} \quad R^*(E_{\text{cut}}) = \frac{\int_{E_\ell > E_{\text{cut}}} dE_\ell \frac{d\Gamma}{dE_\ell}}{\int_0 dE_\ell \frac{d\Gamma}{dE_\ell}}$$

$$\mu_\pi^2, \mu_G^2, \rho_D^3, \rho_{LS}^3, m_b, (m_c)$$

- Using the branching fraction, determine $|V_{cb}|$

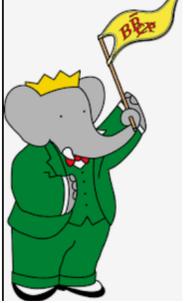
$$\text{Br}(\bar{B} \rightarrow X_c l \bar{\nu}) \propto \frac{|V_{cb}|^2}{\tau_B} \left[\Gamma_0 + \Gamma_{\mu_\pi} \frac{\mu_\pi^2}{m_b^2} + \Gamma_{\mu_G} \frac{\mu_G^2}{m_b^2} + \Gamma_{\rho_D} \frac{\rho_D^3}{m_b^3} \right]$$

	Kinetic scheme	1S scheme
$O(1)$	m_b, m_c	m_b
$O(1/m_b^2)$	μ_π^2, μ_G^2	λ_1, λ_2
$O(1/m_b^3)$	ρ_D^3, ρ_{LS}^3	ρ_1, τ_{1-3}

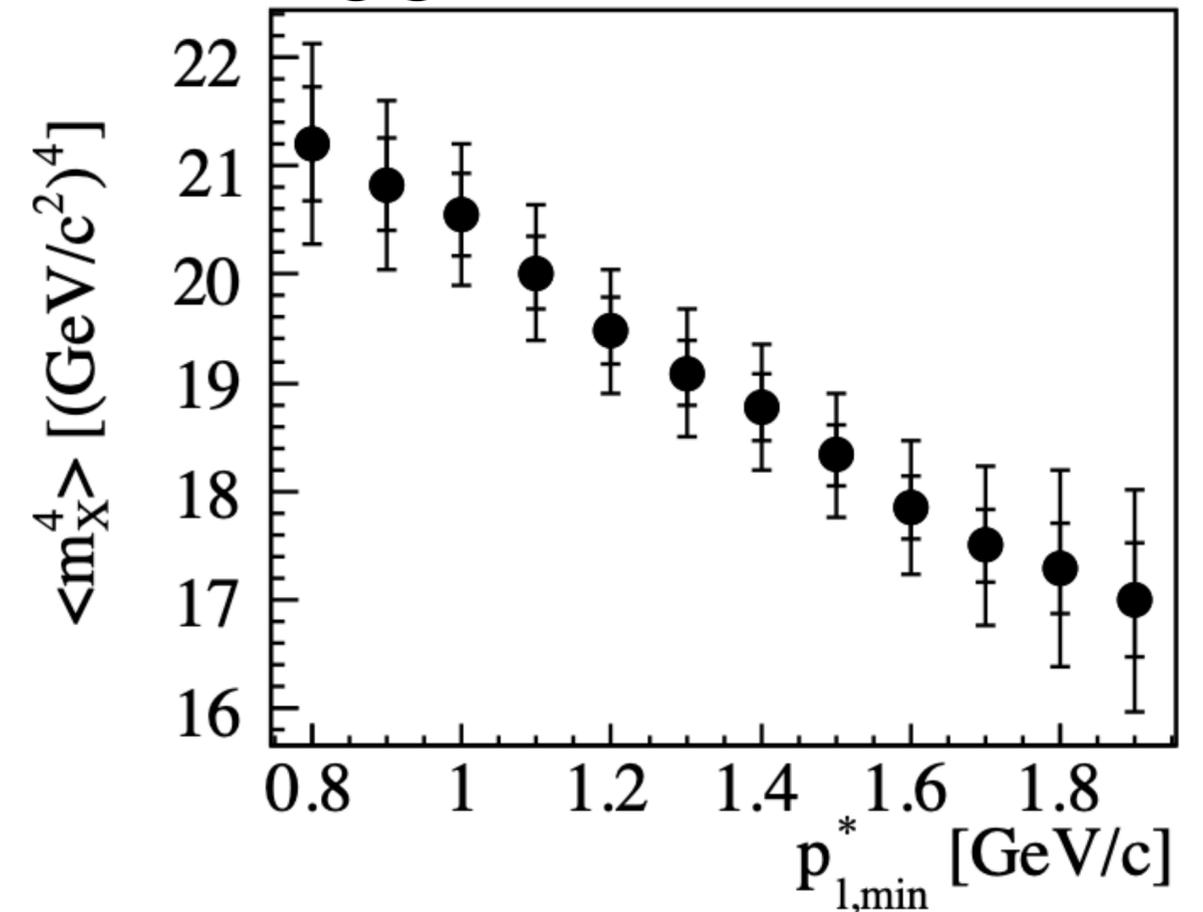
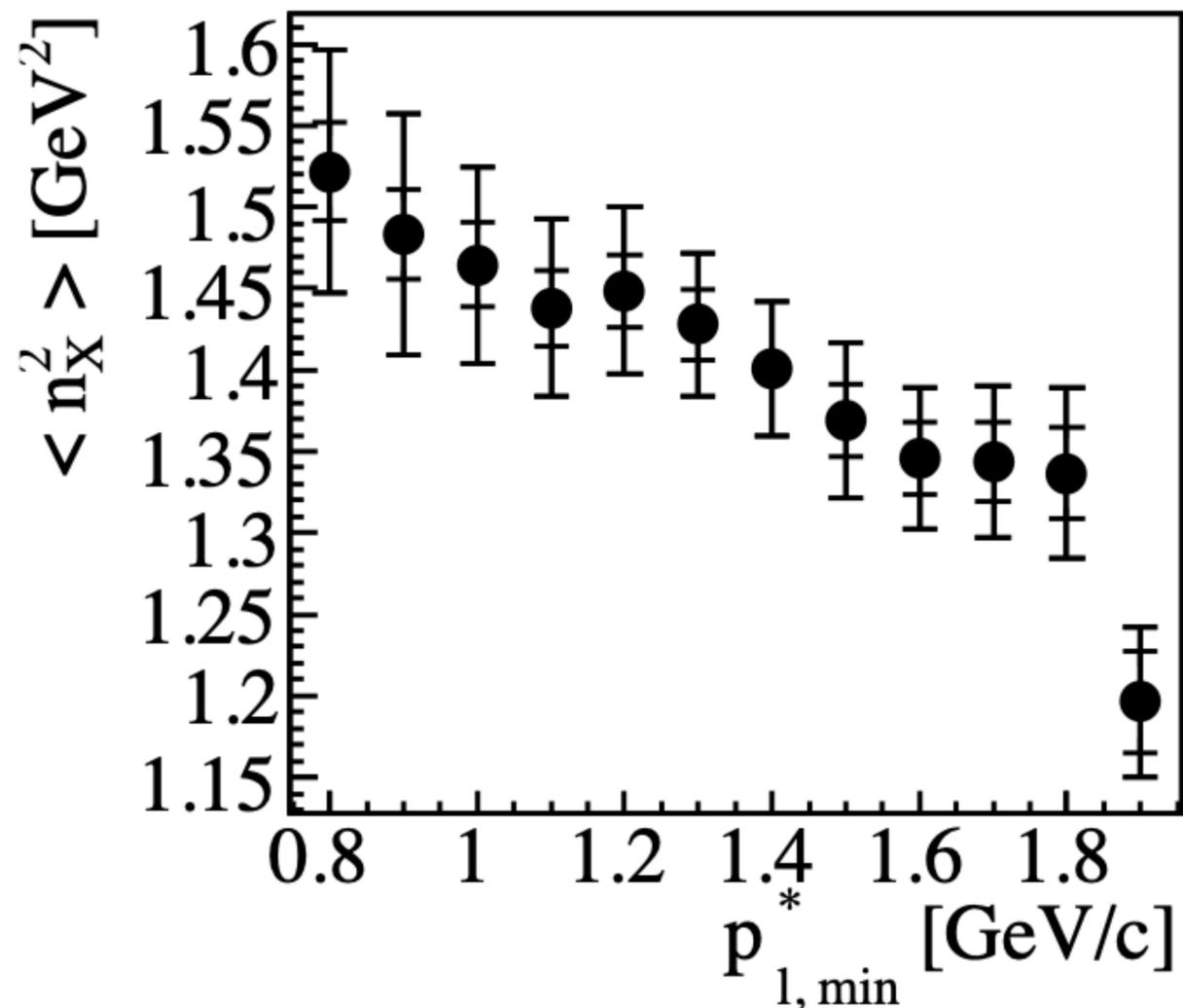
JHEP 1109 055 2011)

Phys Rev D 70, 094017 (2004)

BaBar Inclusive $|V_{cb}|$



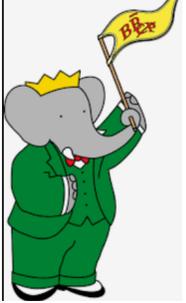
- Using 210 fb^{-1} of BaBar data, measure the m_X and lepton energy moments and the combined moments $n_X^2 = m_X^2 c^4 - 2\Lambda E_X + \Lambda$
- Use hadronic tagging and reconstruct X system from remaining tracks and clusters in the event.
- Use kinematic fitting to improve M_X resolution and derive calibration functions to correct for mis-reconstruction and detector effects



$$\langle m_X^k \rangle = \frac{\sum_{i=1}^{N_{ev}} w_i(m_X) m_{X,calib,i}^k}{\sum_i w_i} \times C_{cal}(p_\ell^*, k) \times C_{true}(p_\ell^*, k)$$

Calibration Bias \swarrow
 Reconstruction bias \nwarrow

- Main systematics: background subtraction, calibration functions, etc..



BaBar Inclusive $|V_{cb}|$

- Determine $|V_{cb}|$, \mathcal{B} , m_b , m_c , μ_π^2 , μ_g^2 , ρ_D^3 , and ρ_{LS}^3 using simultaneous χ^2 fit to the measured moments and partial branching fractions as functions of the minimum lepton momentum and minimum photon energy E.
- Consistent results between fits to m_X and n_X .

$$\frac{|V_{cb}|}{0.0417} = \sqrt{\frac{\mathcal{B}(\bar{B} \rightarrow X_c \ell^- \bar{\nu})}{0.1032} \frac{1.55}{\tau_B}}$$

$$\times [1 + 0.30(\alpha_s(m_b) - 0.22)]$$

$$\times [1 - 0.66(m_b - 4.60) + 0.39(m_c - 1.15)$$

$$+ 0.013(\mu_\pi^2 - 0.40) + 0.09(\rho_D^3 - 0.20)$$

$$+ 0.05(\mu_G^2 - 0.35) - 0.01(\rho_{LS}^3 + 0.15)].$$

	$ V_{cb} \times 10^3$	m_b [GeV/ c^2]	m_c [GeV/ c^2]	\mathcal{B} [%]	μ_π^2 [GeV 2]	μ_G^2 [GeV 2]	ρ_D^3 [GeV 3]	ρ_{LS}^3 [GeV 3]
Results	42.05	4.549	1.077	10.642	0.476	0.300	0.203	-0.144
Δ_{exp}	0.45	0.031	0.041	0.165	0.021	0.044	0.017	0.075
Δ_{theo}	0.37	0.038	0.062	0.063	0.059	0.038	0.027	0.056
$\Delta_{\Gamma_{SL}}$	0.59							
Δ_{tot}	0.83	0.049	0.074	0.176	0.063	0.058	0.032	0.094

$$|V_{cb}| = (42.05 \pm 0.45 \pm 0.70) \times 10^{-3}$$

Latest global fits in the kinetic scheme

$$|V_{cb}| = (42.19 \pm 0.78) \cdot 10^{-3}$$

$$\mathcal{B}(B \rightarrow X_c \ell \nu) = 10.65 \pm 0.16 \%$$

$$m_b^{kin} = 4.544 \pm 0.018 \text{ GeV}$$

$$\mu_\pi^2 = 0.464 \pm 0.076 \text{ GeV}^2$$

Gambino, Schwanda, Phys Rev D 89, 014022 (2014)

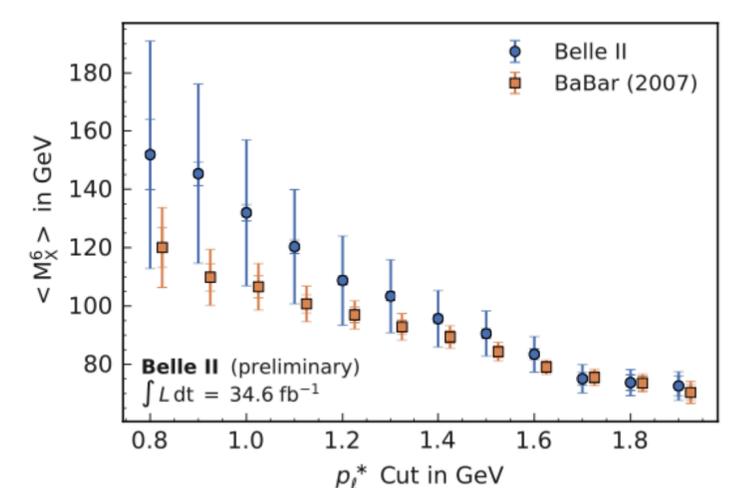
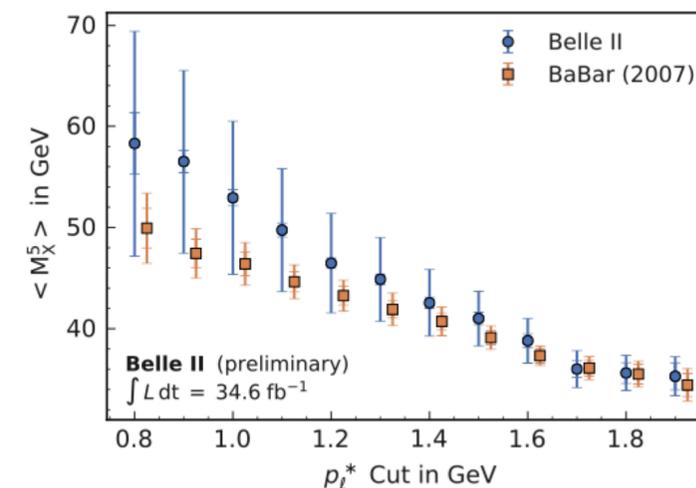
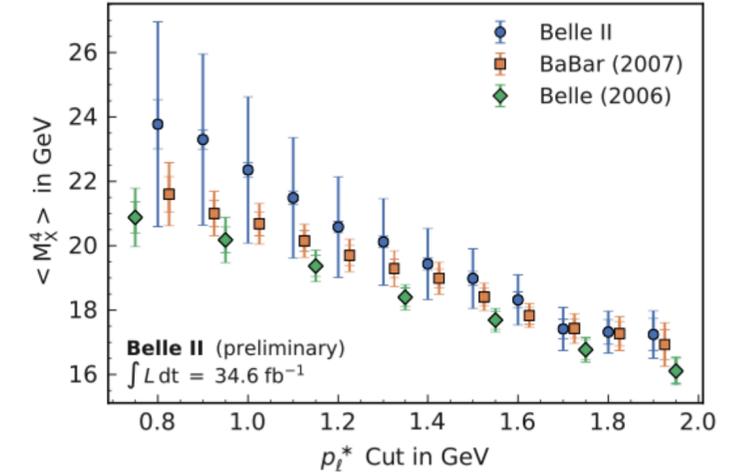
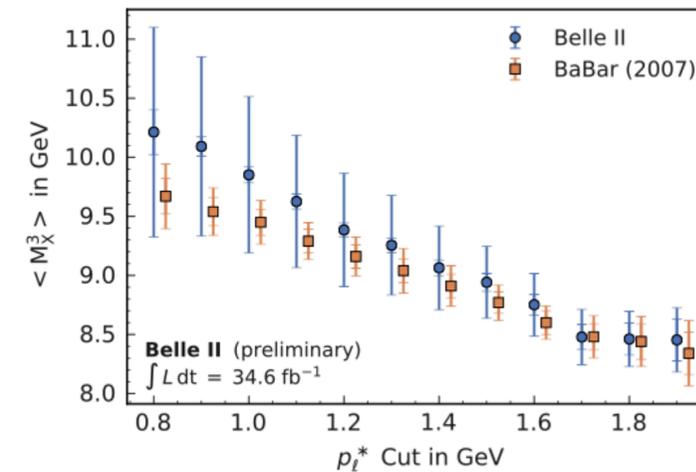
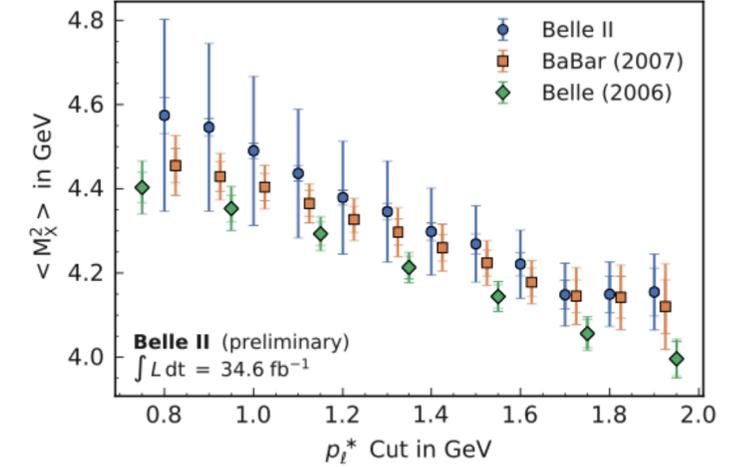
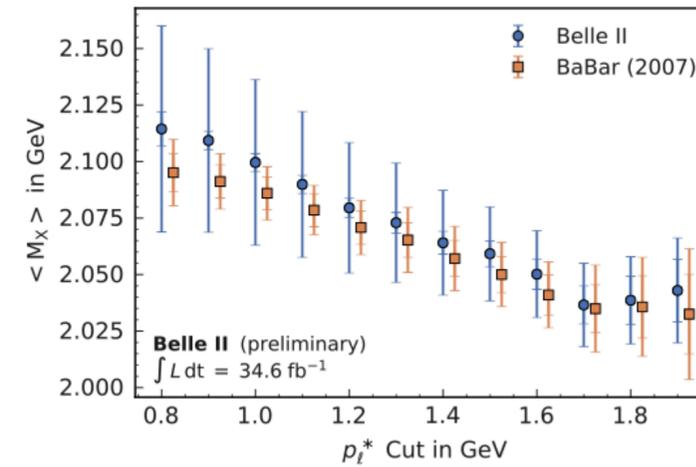
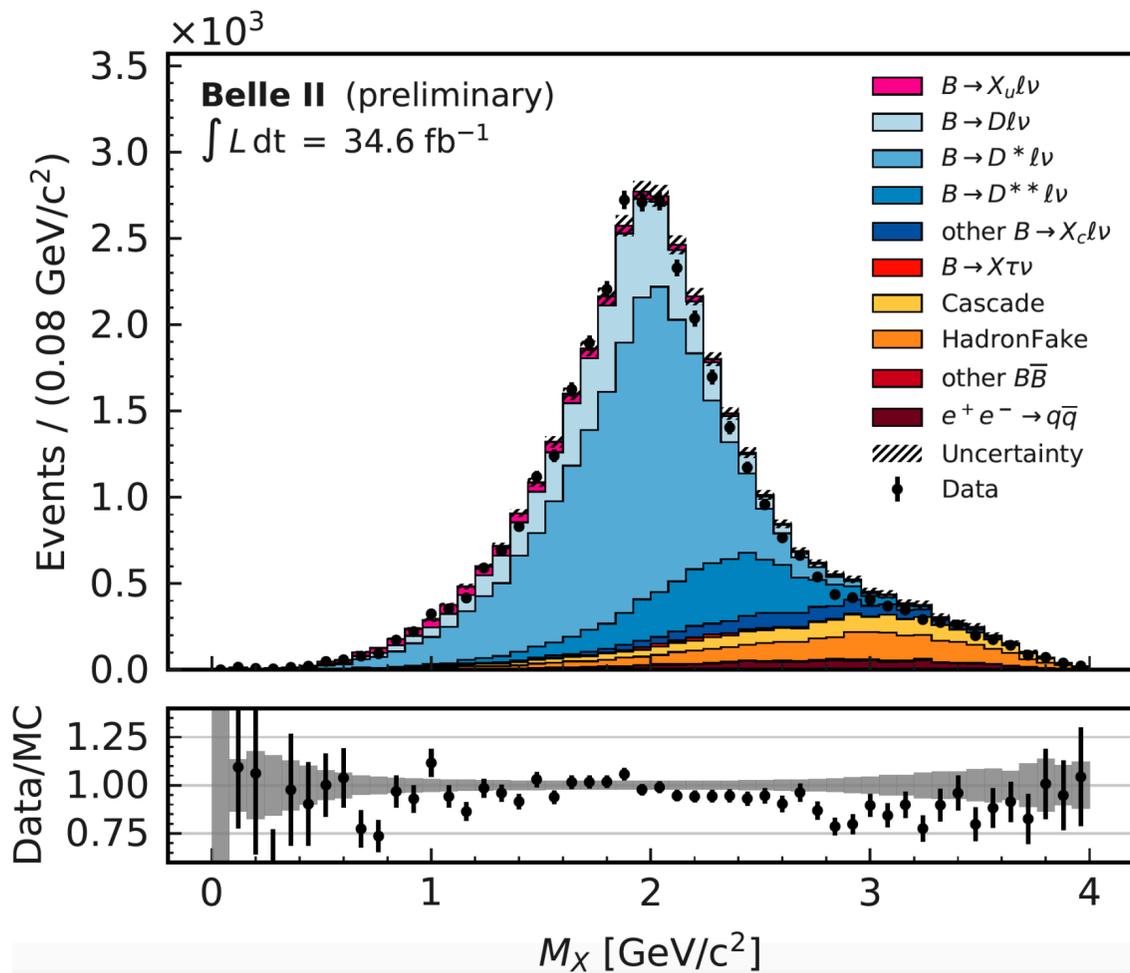
Alberti, Gambino, Healey, Nandi Phys. Rev. Lett 114,061802 (2015)

**No new experimental results
since 2010!**

Hadronic Mass Moments of $B \rightarrow X_c \ell \nu_\ell$

Phys. Rev. D 75, 032005, 2007

- Use hadronic FEI tagging and identify one lepton with $p_l^* > 0.8 \text{ GeV}/c$ and PID likelihood > 0.9 .
- 6 signal channels $B^0 \ell^\pm, B^+ \ell^-$ and two control $B^+ \ell^+$ to estimate N_{bkg}^i
- Identify X_c system using remaining tracks and clusters in the $\Upsilon(4S)$ rest of event.
- Suppress continuum and require E_{miss} and $p_{\text{miss}} > 0.5 \text{ GeV}$.



Alternative Inclusive V_{cb}

- Achieve more precision by including higher order:

$$\Gamma \propto |V_{cb}|^2 m_b^5 \left[\Gamma_0 + \Gamma_0^{(1)} \frac{\alpha_s}{\pi} + \Gamma_0^{(2)} \left(\frac{\alpha_s}{\pi} \right)^2 + \frac{\mu_\pi^2}{m_b^2} \left(\Gamma^{(\pi,0)} + \frac{\alpha_s}{\pi} \Gamma^{(\pi,1)} \right) \right. \\ \left. + \frac{\mu_G^2}{m_b^2} \left(\Gamma^{(G,0)} + \frac{\alpha_s}{\pi} \Gamma^{(G,1)} \right) + \frac{\rho_D^3}{m_b^3} \Gamma^{(D,0)} + \mathcal{O} \left(\frac{1}{m_b^4} \right) \dots \right]$$

NOVEL
APPROACH!!!

- Number of parameters: 4 up to $1/m_b^3$, 13 up to $1/m_b^4$ and 31 up to $1/m_b^5$
- Use reparametrization invariance to link different orders of $1/m_b$ and reduce the number of total parameters
- Requires RPI observables such as q^2

$$\begin{aligned} - 2M_{Br_G^4} &\equiv \frac{1}{2} \langle B | \bar{b}_v [iD_\mu, iD_\nu] [iD^\mu, iD^\nu] b_v | B \rangle \propto \langle \vec{E}^2 - \vec{B}^2 \rangle \\ - 2M_{Br_E^4} &\equiv \frac{1}{2} \langle B | \bar{b}_v [ivD, iD_\mu] [ivD, iD^\mu] b_v | B \rangle \propto \langle \vec{E}^2 \rangle \\ - 2M_{Bs_B^4} &\equiv \frac{1}{2} \langle B | \bar{b}_v [iD_\mu, iD_\alpha] [iD^\mu, iD_\beta] (-i\sigma^{\alpha\beta}) b_v | B \rangle \propto \langle \vec{\sigma} \cdot \vec{B} \times \vec{B} \rangle \\ - 2M_{Bs_E^4} &\equiv \frac{1}{2} \langle B | \bar{b}_v [ivD, iD_\alpha] [ivD, iD_\beta] (-i\sigma^{\alpha\beta}) b_v | B \rangle \propto \langle \vec{\sigma} \cdot \vec{E} \times \vec{E} \rangle \\ - 2M_{Bs_{qB}^4} &\equiv \frac{1}{2} \langle B | \bar{b}_v [iD_\mu, [iD^\mu, [iD_\alpha, iD_\beta]]] (-i\sigma^{\alpha\beta}) b_v | B \rangle \propto \langle \square \vec{\sigma} \cdot \vec{B} \rangle. \end{aligned}$$

$$\langle (q^2)^n \rangle_{\text{cut}} = \int_{q^2 > q_{\text{cut}}^2} dq^2 (q^2)^n \frac{d\Gamma}{dq^2} \Bigg/ \int_{q^2 > q_{\text{cut}}^2} dq^2 \frac{d\Gamma}{dq^2}$$

$$R^*(q_{\text{cut}}^2) = \int_{q^2 > q_{\text{cut}}^2} dq^2 \frac{d\Gamma}{dq^2} \Bigg/ \int_0 dq^2 \frac{d\Gamma}{dq^2}$$

Fael, Mannel, Vos, JHEP 02 (2019) 177

8 parameters instead of 13!

Alternative Inclusive $|V_{cb}|$

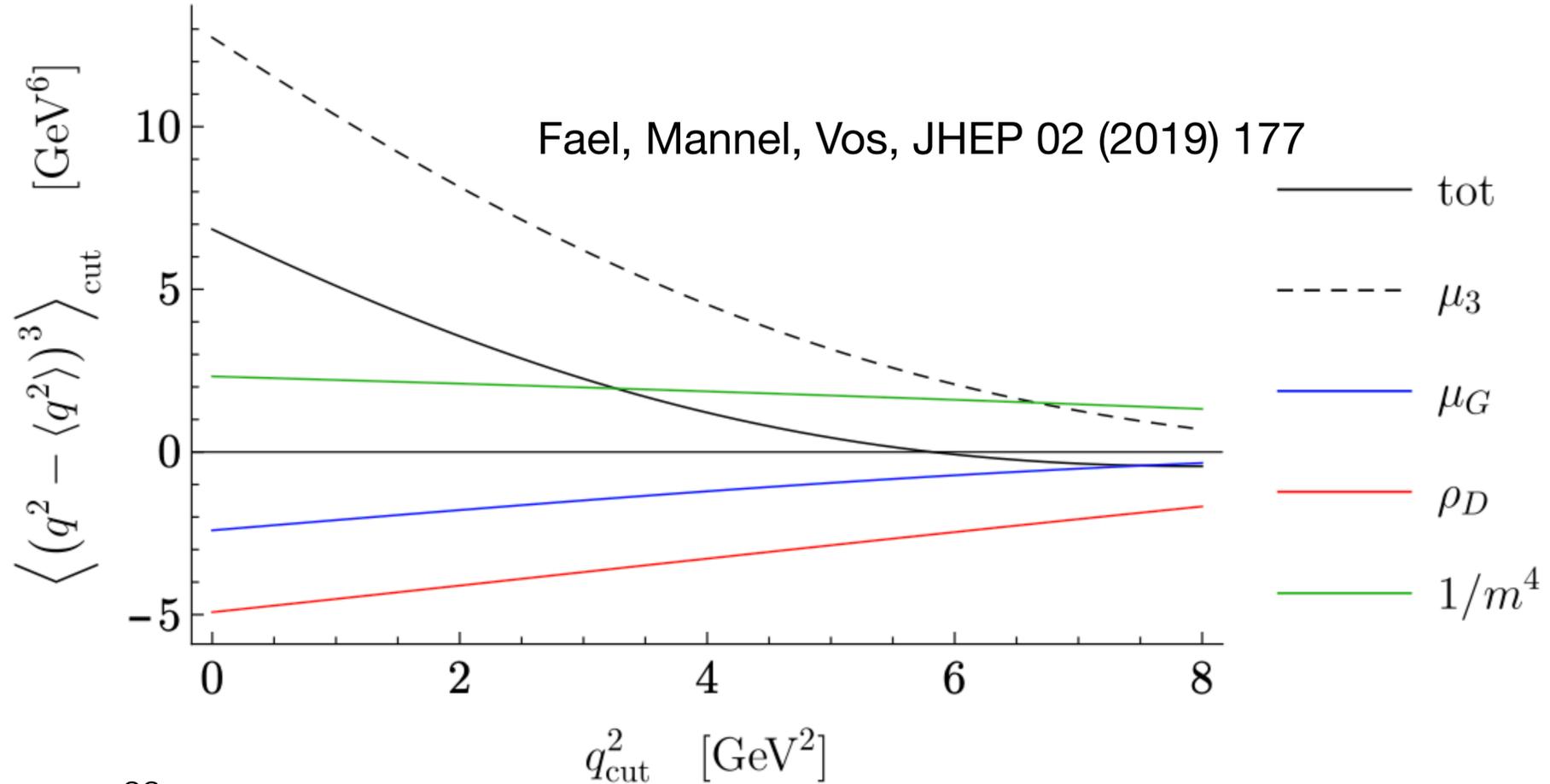
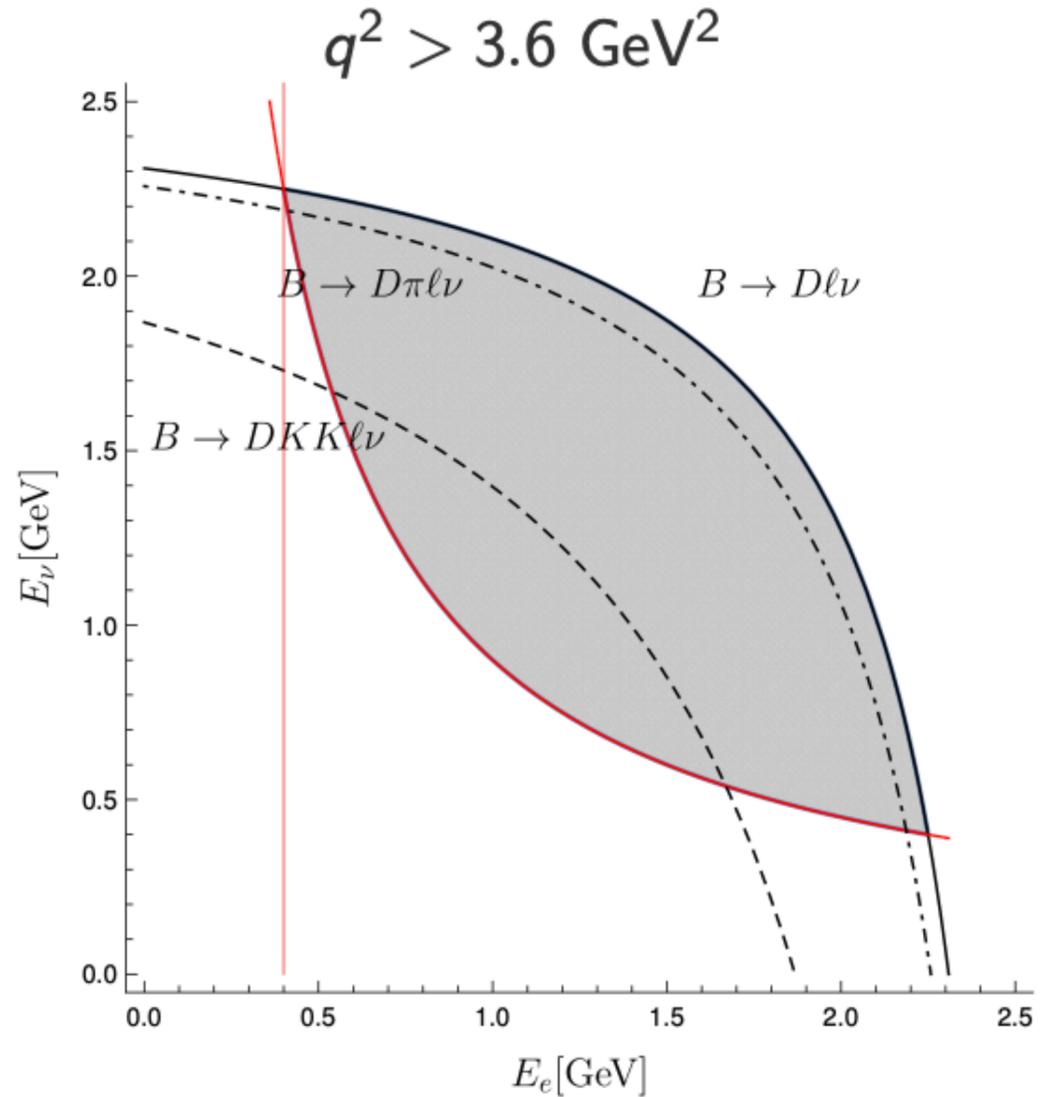
NOVEL
APPROACH!!!

- HQE expressed in higher order terms

$$\text{Br}(\bar{B} \rightarrow X_c l \bar{\nu}) \propto \frac{|V_{cb}|^2}{\tau_B} \left[\Gamma_{\mu_3} \mu_3 + \Gamma_{\mu_G} \frac{\mu_G^2}{m_b^2} + \Gamma_{\tilde{\rho}_D} \frac{\tilde{\rho}_D^3}{m_b^3} + \Gamma_{r_E} \frac{r_E^4}{m_b^4} + \Gamma_{r_G} \frac{r_G^4}{m_b^4} + \Gamma_{s_B} \frac{s_B^4}{m_b^4} + \Gamma_{s_E} \frac{s_E^4}{m_b^4} + \Gamma_{s_{qB}} \frac{s_{qB}^4}{m_b^4} \right]$$

$\mu_3, \mu_G, \tilde{\rho}_D, r_E, r_G, s_E, s_B, s_{qB}, m_b, m_c$

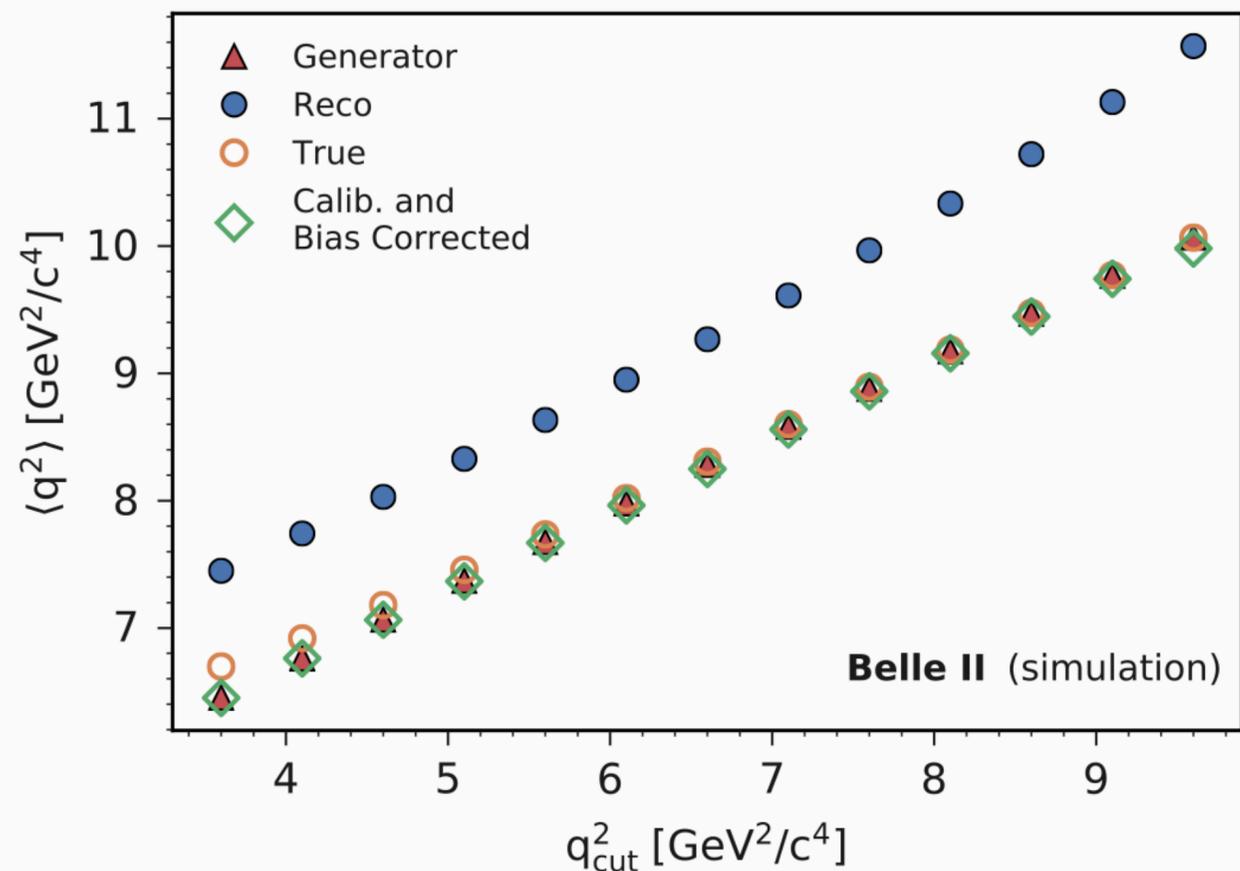
Determine moments and use it determine $|V_{cb}|$



q^2 moments at Belle and Belle II

Tagged approach:

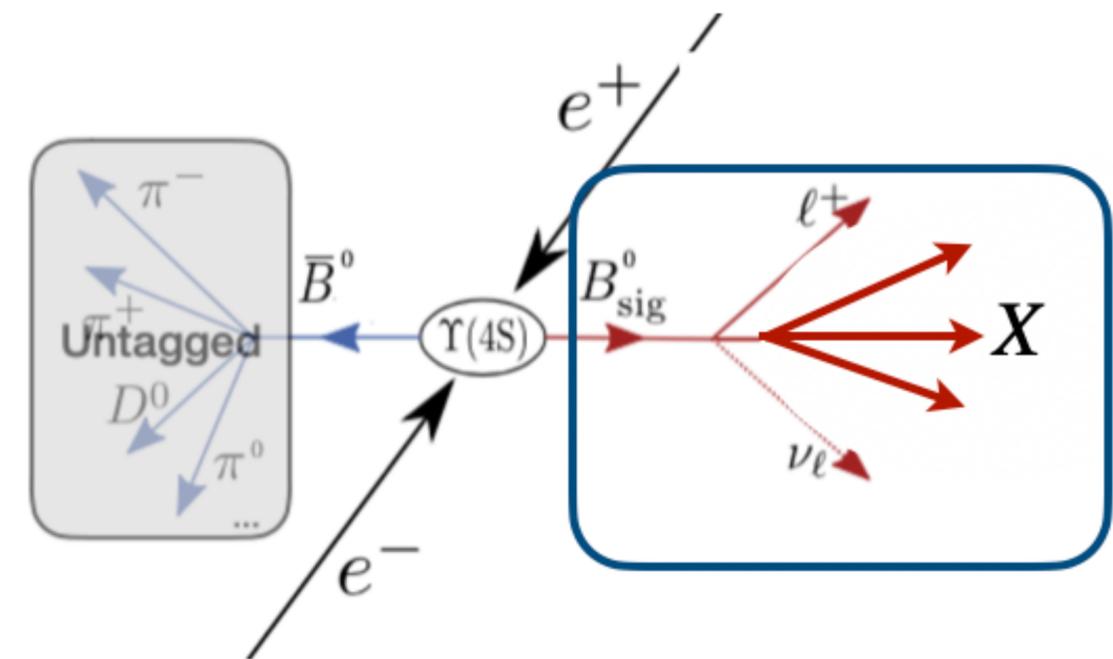
- Use similar strategy as m_X moments analysis.
- Apply $q^2 > 3.6 \text{ GeV}^2/c^4$ cut instead of lepton momentum cut.
- Determine background normalization using a fit to M_X spectrum
- Derive calibrations in bins of q^2



First results expected by Moriond 2021!

Untagged approach:

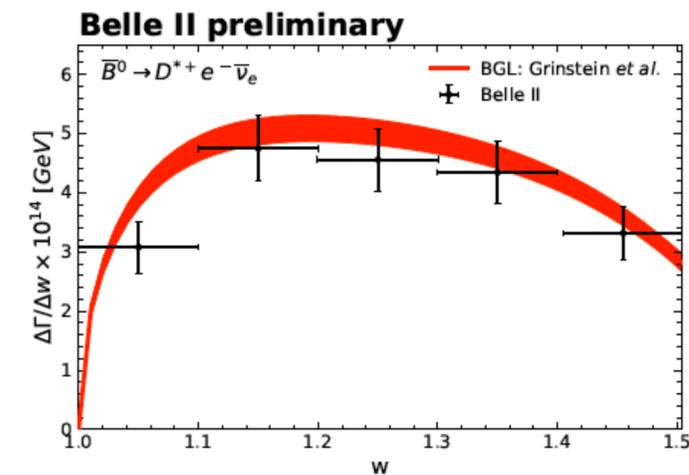
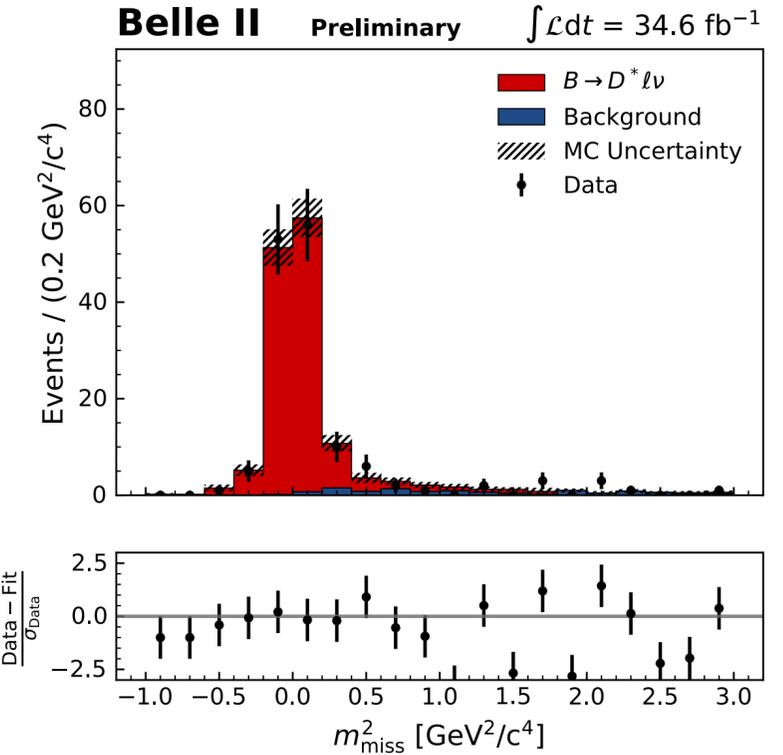
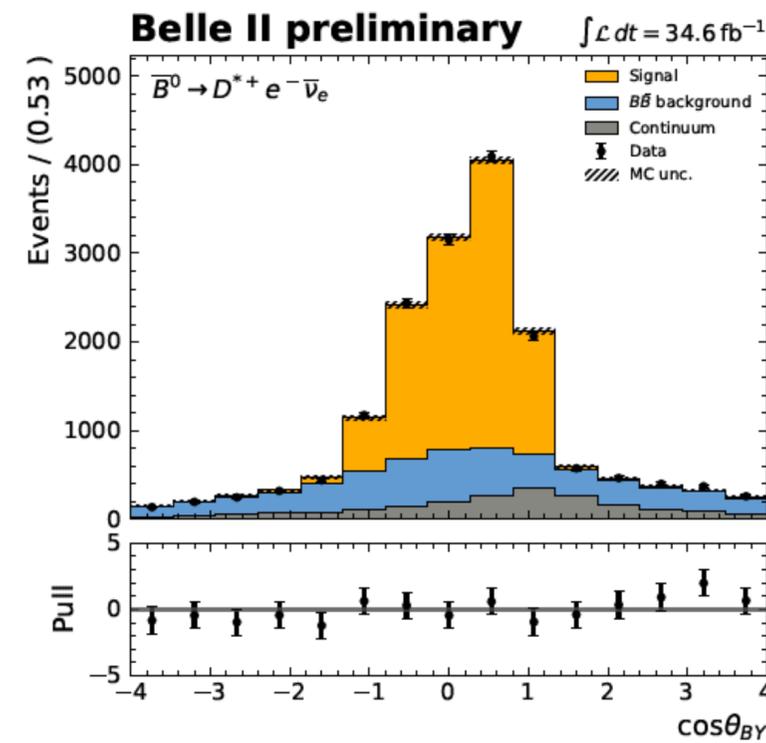
- Identify lepton and suppress continuum events using fox Wolfram R2 cut.
- use missing momentum in the events as an estimate of the neutrino momentum.
- Require $M_{\text{miss}}^2 \approx 0$
- Determine $q^2 = P_\ell + P_\nu$



Summer 2021 target: combine untagged and tagged measurements!

$|V_{cb}|$ Prospects at Belle II

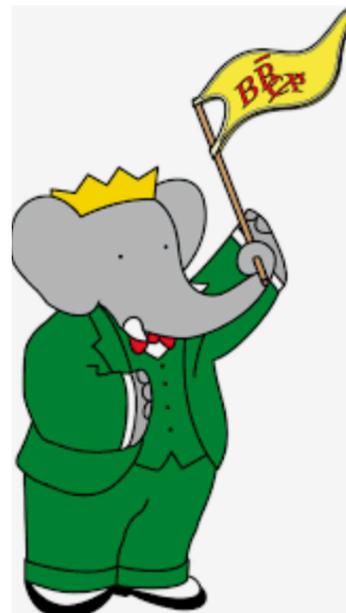
- With 1 ab^{-1} size dataset, the limitation will mainly be systematic.
 - Improved tracking, PID and vertexing tools.
- Reduce systematic uncertainties related to tagging efficiency.
 - Clean up low purity modes.
- Improved measurements for $N_{B\bar{B}}$ and f^{+0}
- Achieve higher precision in the measurements of the moments for inclusive $|V_{cb}|$.
 - Valuable input for theory!
- Provide complementary kinetic information by measuring other single differential spectra, such as the hadronic energy or q^2 .
 - Work already in progress.
- Improved measurements of $B \rightarrow D^{**}\ell\nu$ with 1 ab^{-1}



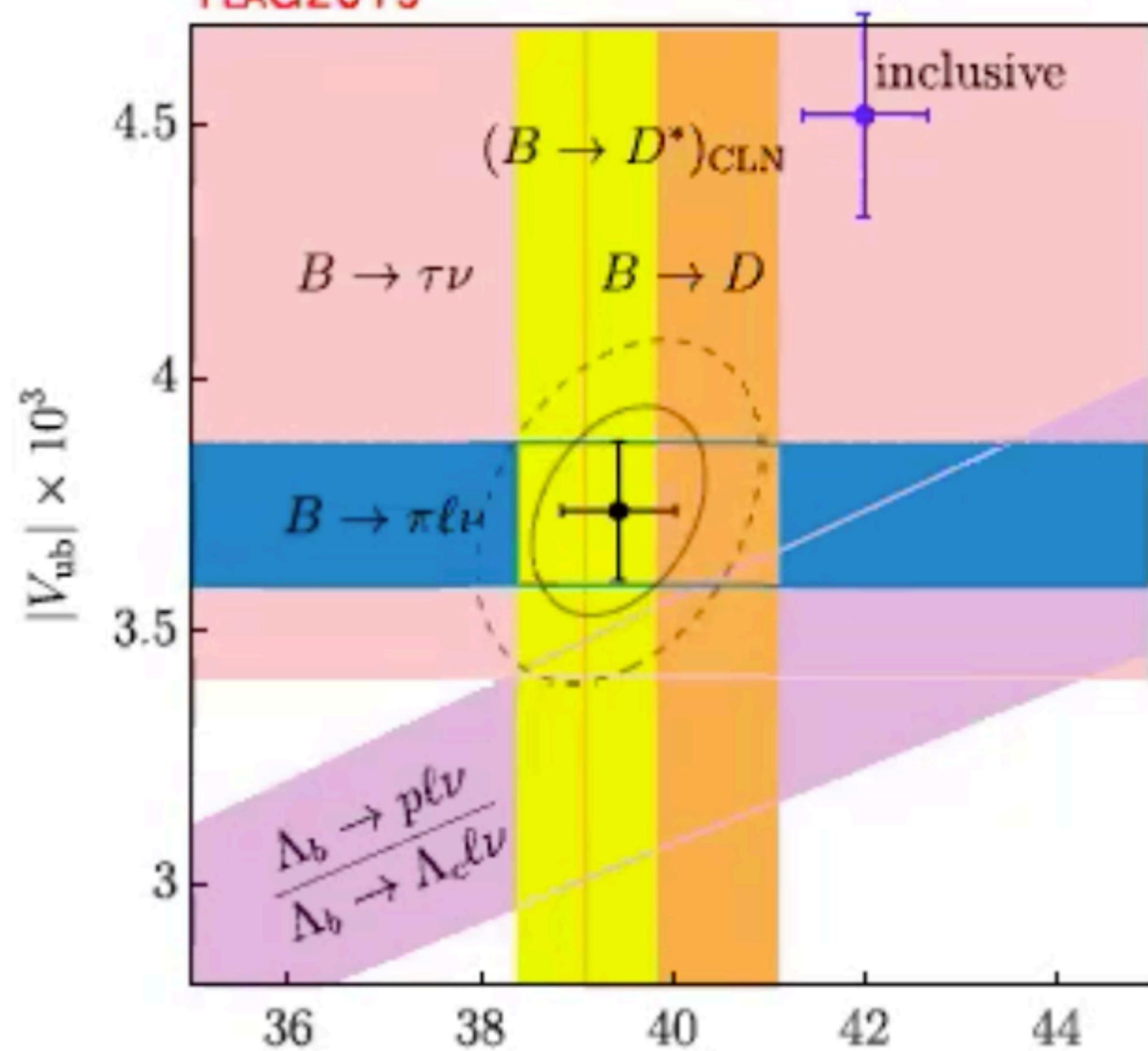
Measured branching fraction is compatible with current world average!

Conclusion

- Lots of work in progress in attempt to resolve $|V_{ub}|$ and $|V_{cb}|$ puzzle.
- Exclusive $|V_{cb}|$:
 - Upcoming model independent $B \rightarrow D\ell\nu$ result at BaBar and a combined D and D* HQET fit
 - Work in progress at Belle II for improved precision in $B \rightarrow D\ell\nu$ and $B \rightarrow D^*\ell\nu$ results.
- Inclusive $|V_{cb}|$:
 - Novel q^2 moments to be measured at Belle II using tagged and untagged approaches.
 - Updated m_X moments study at Belle II .
- Exclusive $|V_{ub}|$:
 - Upcoming analysis on $B \rightarrow \eta'\ell\nu$ for Winter 2021
 - Work in progress at Belle II for improved precision in $B \rightarrow \pi\ell\nu$ and $B \rightarrow \rho\ell\nu$ results.
- Inclusive $|V_{ub}|$:
 - Updated Belle analysis with reduced tension with exclusive modes, to be published soon.
 - Work in progress at Belle II for first result using lepton endpoint spectrum analysis.



FLAG2019



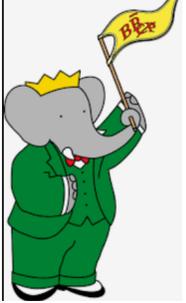
Back up

Leading uncertainties

TABLE IX. Systematic uncertainty breakdown for $\mathcal{F}(1)|V_{cb}|$, branching fraction and form factor parameters in the BGL parameterization.

Source	\tilde{a}_0^f [%]	\tilde{a}_1^f [%]	\tilde{a}_1^{F1} [%]	\tilde{a}_2^{F1} [%]	\tilde{a}_0^g [%]	$\eta_{EW}\mathcal{F}(1) V_{cb} $ [%]	$\mathcal{B}(B^0 \rightarrow D^{*-}\ell^+\nu_\ell)$ [%]
Slow pion efficiency	0.79	9.59	5.61	4.46	0.18	0.79	1.57
Lepton ID combined	0.67	5.45	1.35	0.73	0.38	0.67	1.33
$\mathcal{B}(B \rightarrow D^{**}\ell\nu)$	0.05	5.02	4.34	9.31	0.37	0.05	0.10
$B \rightarrow D^{**}\ell\nu$ form factors	0.08	2.08	3.56	6.78	0.12	0.08	0.16
f_{+-}/f_{00}	0.56	0.46	0.50	0.48	0.56	0.56	1.05
Fake e/μ	0.07	6.43	3.03	5.92	0.14	0.07	0.11
K/ π ID	0.39	0.39	0.39	0.39	0.39	0.39	0.77
Fast track efficiency	0.53	0.53	0.53	0.53	0.53	0.53	1.05
$N(\Upsilon(4S))$	0.69	0.69	0.69	0.69	0.69	0.69	1.37
B^0 lifetime	0.13	0.13	0.13	0.13	0.13	0.13	0.26
$\mathcal{B}(D^{*+} \rightarrow D^0\pi_s^+)$	0.37	0.37	0.37	0.37	0.37	0.37	0.74
$\mathcal{B}(D^0 \rightarrow K\pi)$	0.51	0.51	0.51	0.51	0.51	0.51	1.02
Total systematic error	1.65	13.93	8.69	13.77	1.40	1.65	3.26

Inclusive $|V_{ub}|$ at BaBar



- Extract $|V_{ub}|$ using:

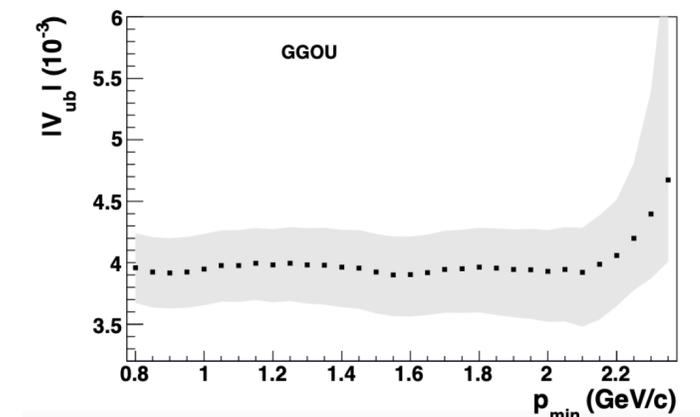
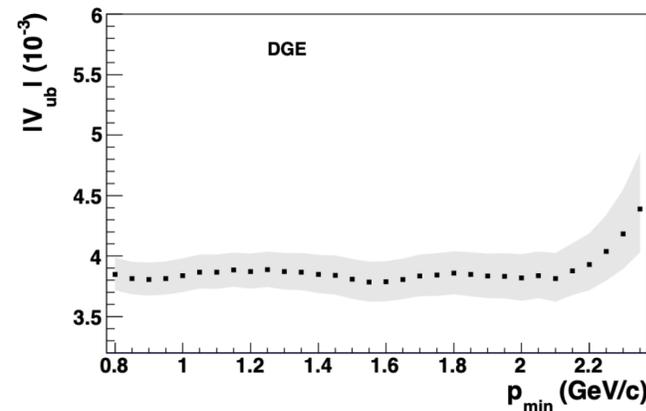
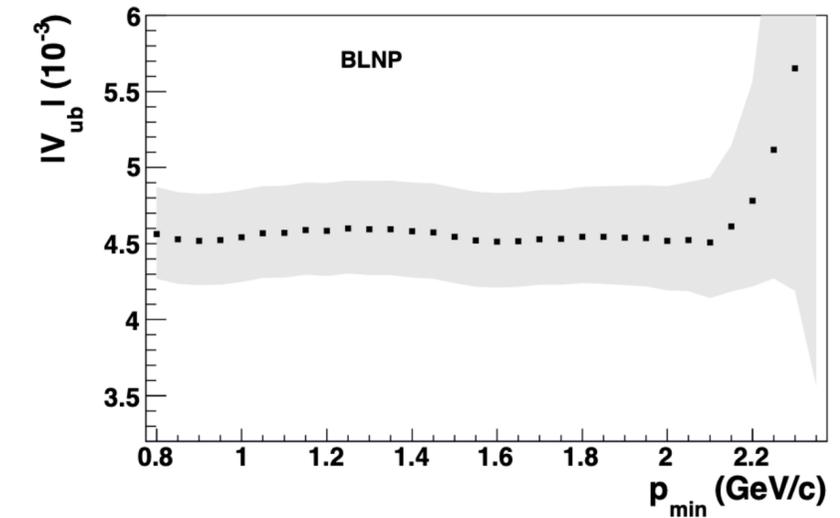
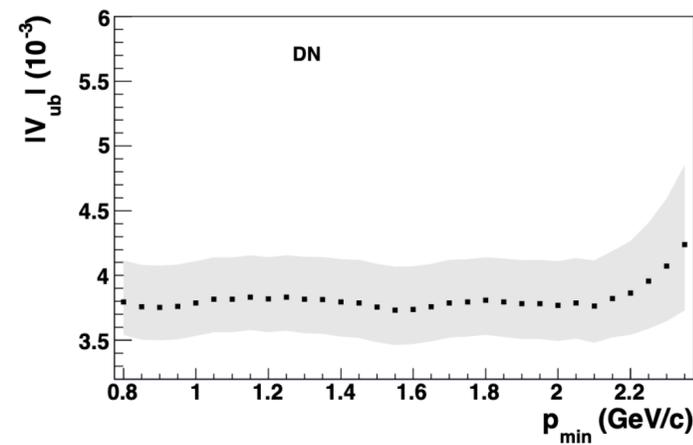
$$|V_{ub}| = \sqrt{\frac{\Delta\mathcal{B}(\Delta p)}{\tau_b \Delta\zeta(\Delta p)}}$$

PDG value of B-
lifetime

Input from 4
theoretical models

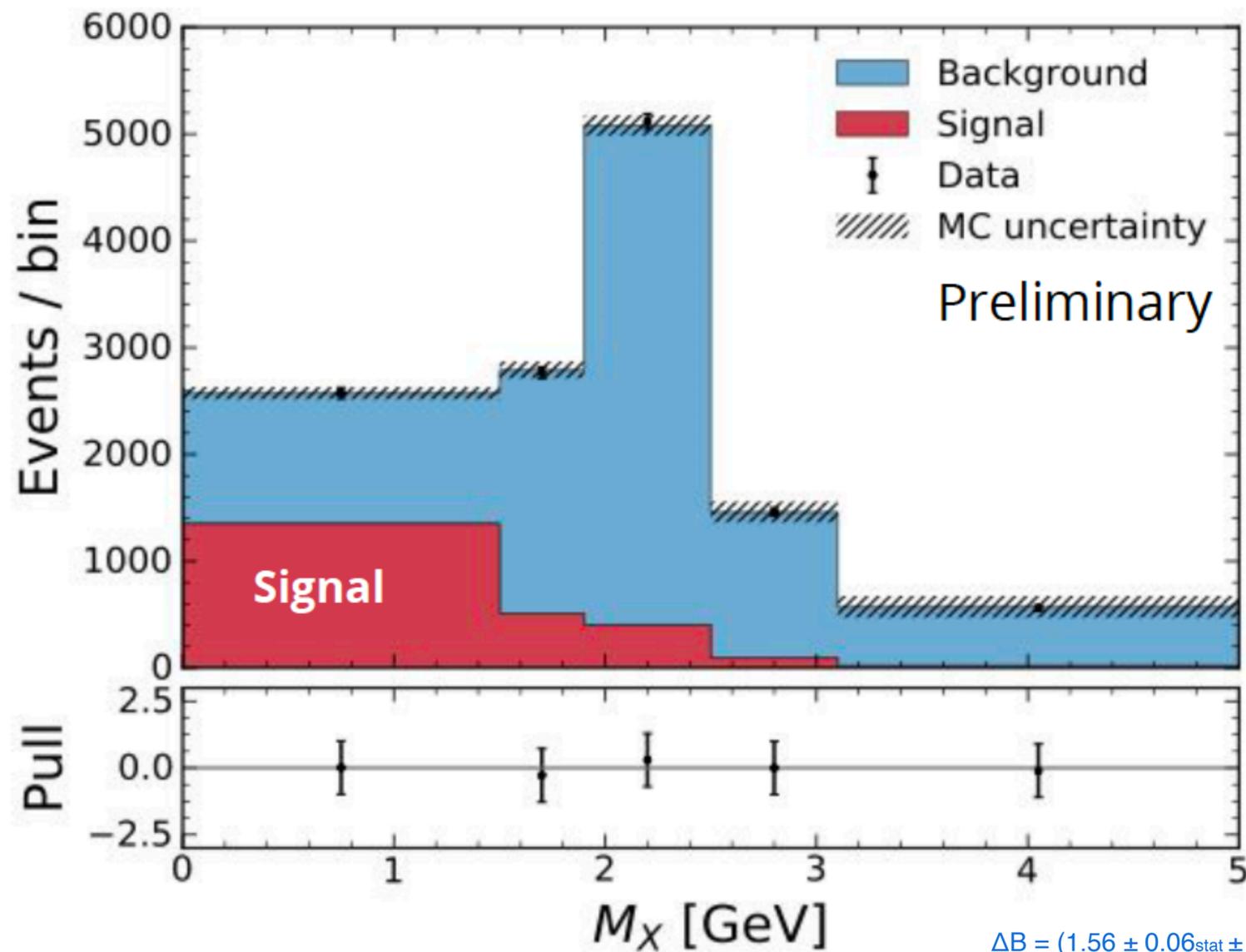
- Main uncertainties due to:

- Simulation of the electron signal spectrum
- Background subtraction
- uncertainty on the SF parameters





Inclusive $|V_{ub}|$ at Belle

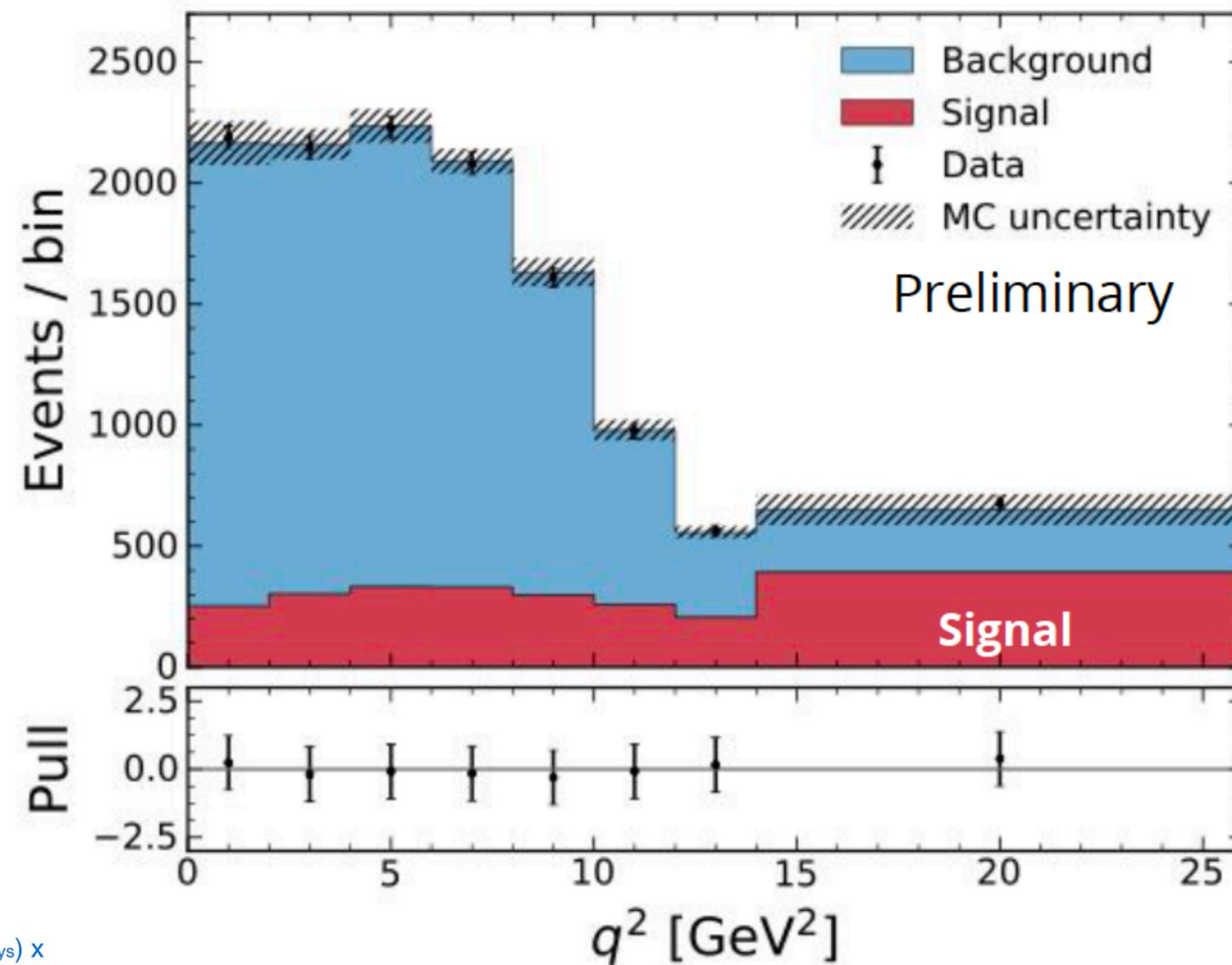


$$\Delta B = (1.56 \pm 0.06_{\text{stat}} \pm 0.12_{\text{sys}}) \times 10^{-3}$$

[BaBar \(2017\)](#): $\Delta B = (1.55 \pm 0.12) \times 10^{-3}$

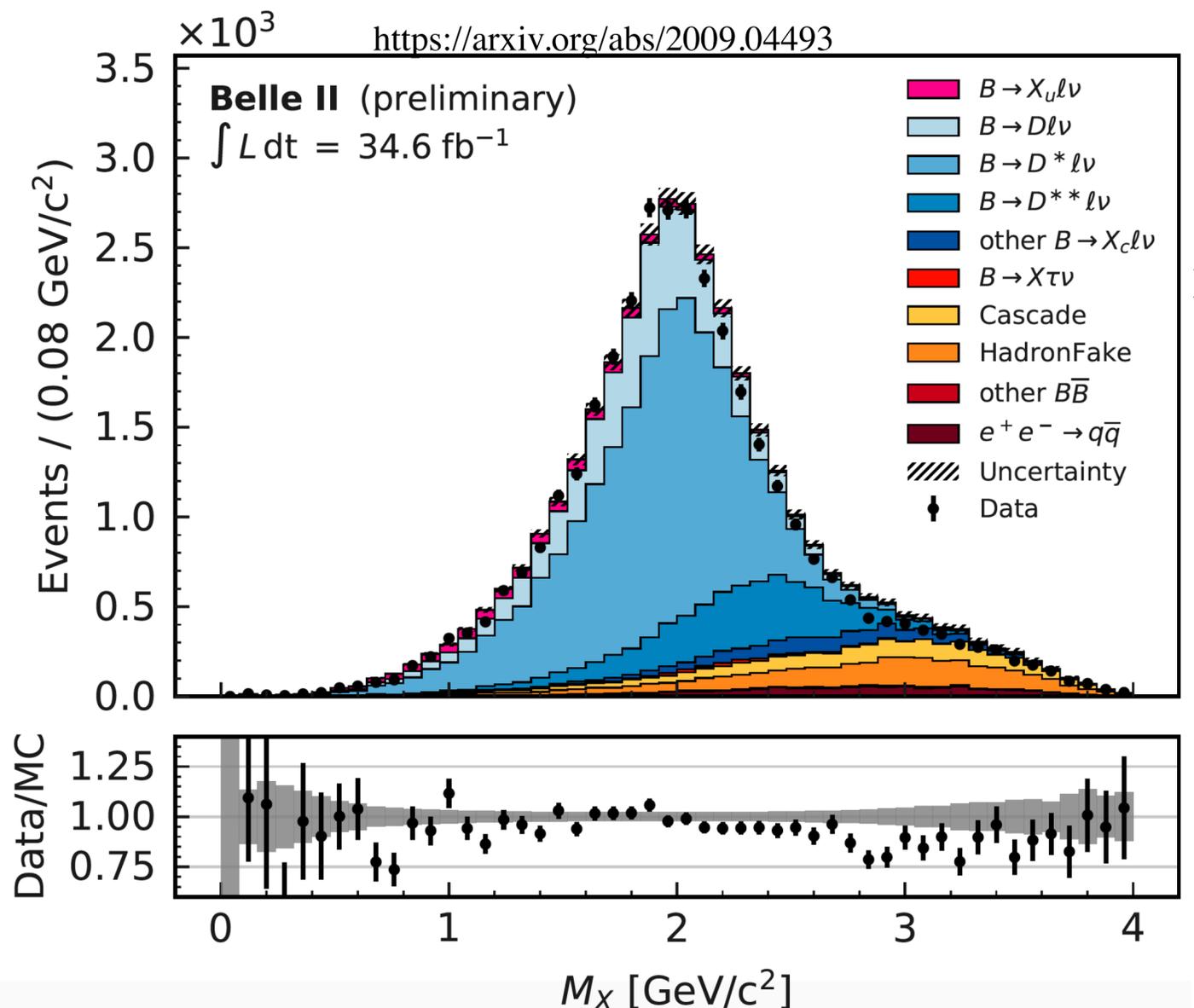
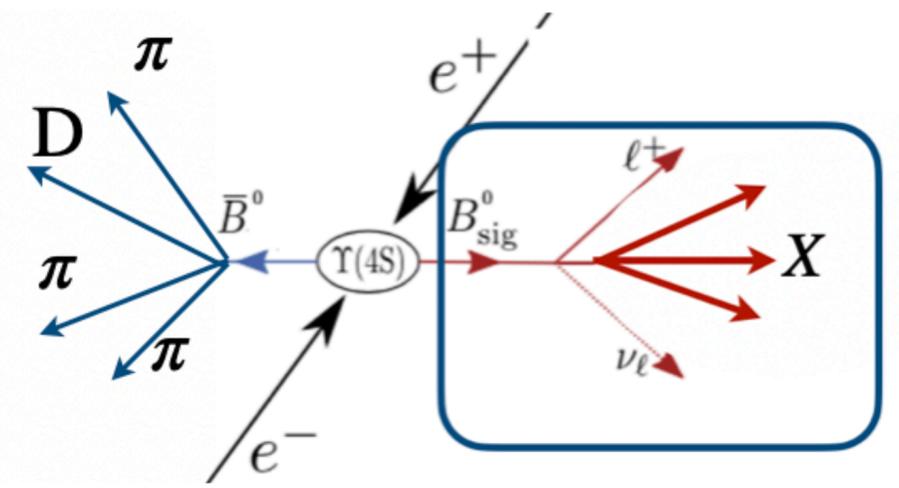
[BaBar \(2012\)](#): $\Delta B = (1.82 \pm 0.19) \times 10^{-3}$

[Belle \(2010\)](#): $\Delta B = (1.96 \pm 0.23) \times 10^{-3}$

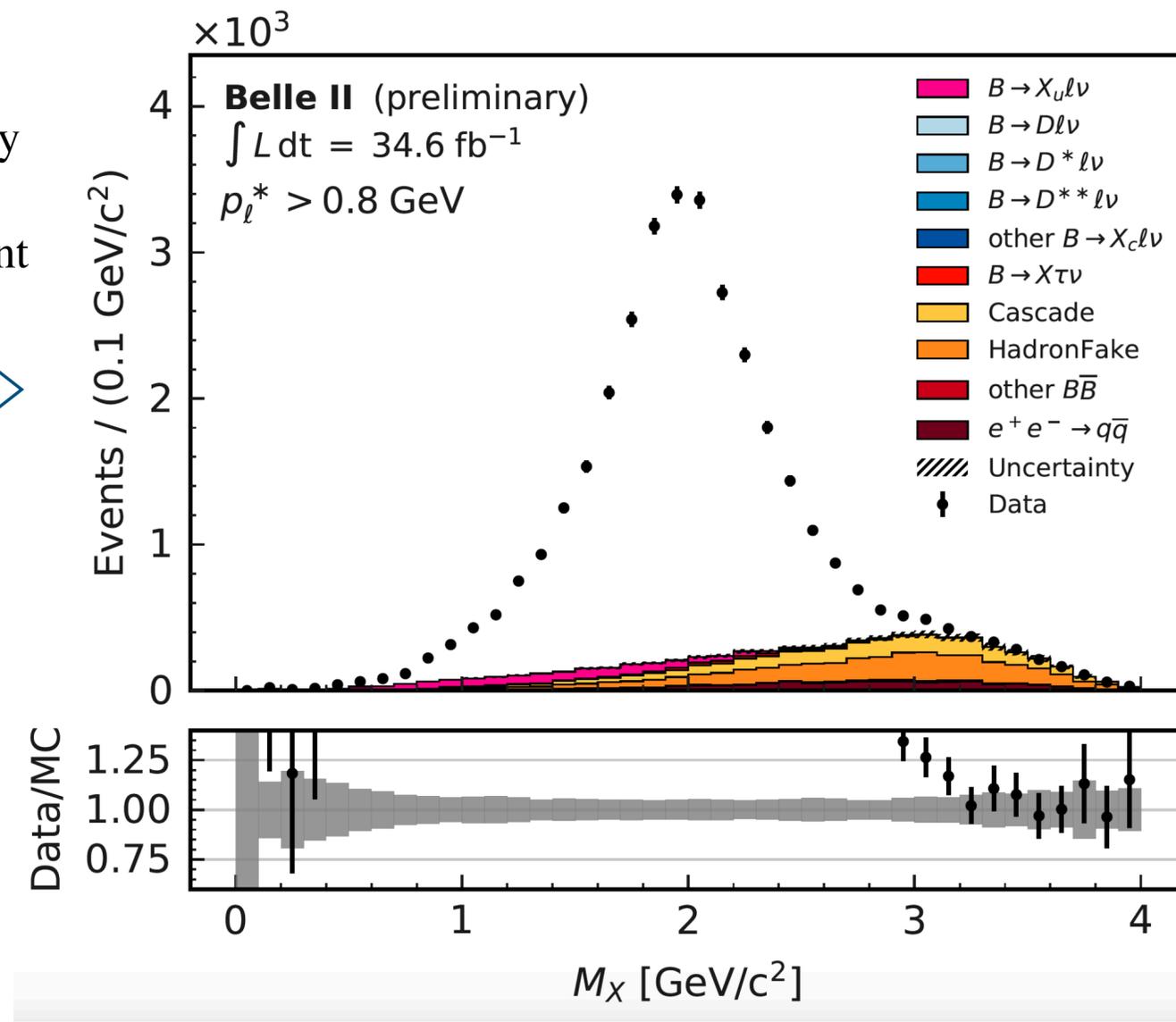


Hadronic Mass Moments of $B \rightarrow X_c \ell \nu_\ell$

- Use hadronic FEI tagging and identify one lepton with $p^*_l > 0.8 \text{ GeV}/c$ and PID likelihood > 0.9 .
- 6 signal channels $B^0 \ell^\pm, B^+ \ell^-$ and two control $B^+ \ell^+$ to estimate N_{bkg}^i
- Identify X_c system using remaining tracks and clusters in the $\Upsilon(4S)$ rest of event.
- Suppress continuum and require E_{miss} and $p_{\text{miss}} > 0.5 \text{ GeV}$.



Subtract background by assigning a signal probability to each event



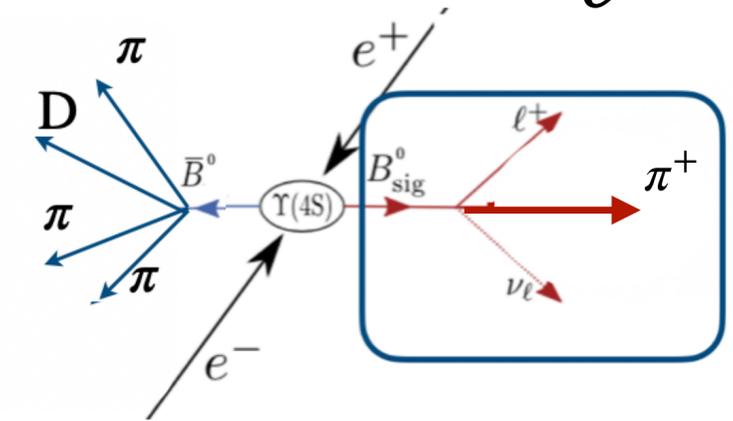


Main sources of systematics in exclusive $|Vub|$

Source	Error (Limit) [%]	
	Tagged [%]	Untagged
Tracking efficiency	0.4	2.0
Pion identification	–	1.3
Lepton identification	1.0	2.4
Kaon veto	0.9	–
Continuum description	1.0	1.8
Tag calibration and $N_{B\bar{B}}$	4.5 (2.0)	2.0 (1.0)
$X_{u\ell\nu}$ cross-feed	0.9	0.5 (0.5)
$X_{c\ell\nu}$ background	–	0.2 (0.2)
Form factor shapes	1.1	1.0 (1.0)
Form factor background	–	0.4 (0.4)
Total	5.0	4.5
(reducible, irreducible)	(4.6, 2.0)	(4.2, 1.6)

Belle II Tagged Exclusive $B^0 \rightarrow \pi^- \ell \nu_\ell$

- FEI hadronic tagging to measure $\mathcal{B}(B^0 \rightarrow \pi^- \ell \nu)$ with
- Identify oppositely charged lepton, $p_e > 0.3$ and $p_\mu > 0.6$ GeV/c, and pion using PID algorithms.
- Suppress continuum using Fox-Wolfram moment R2.
- Apply $E_{\text{miss}} > 0.3$ and $E_{\text{residual}} < 1.0$ GeV.



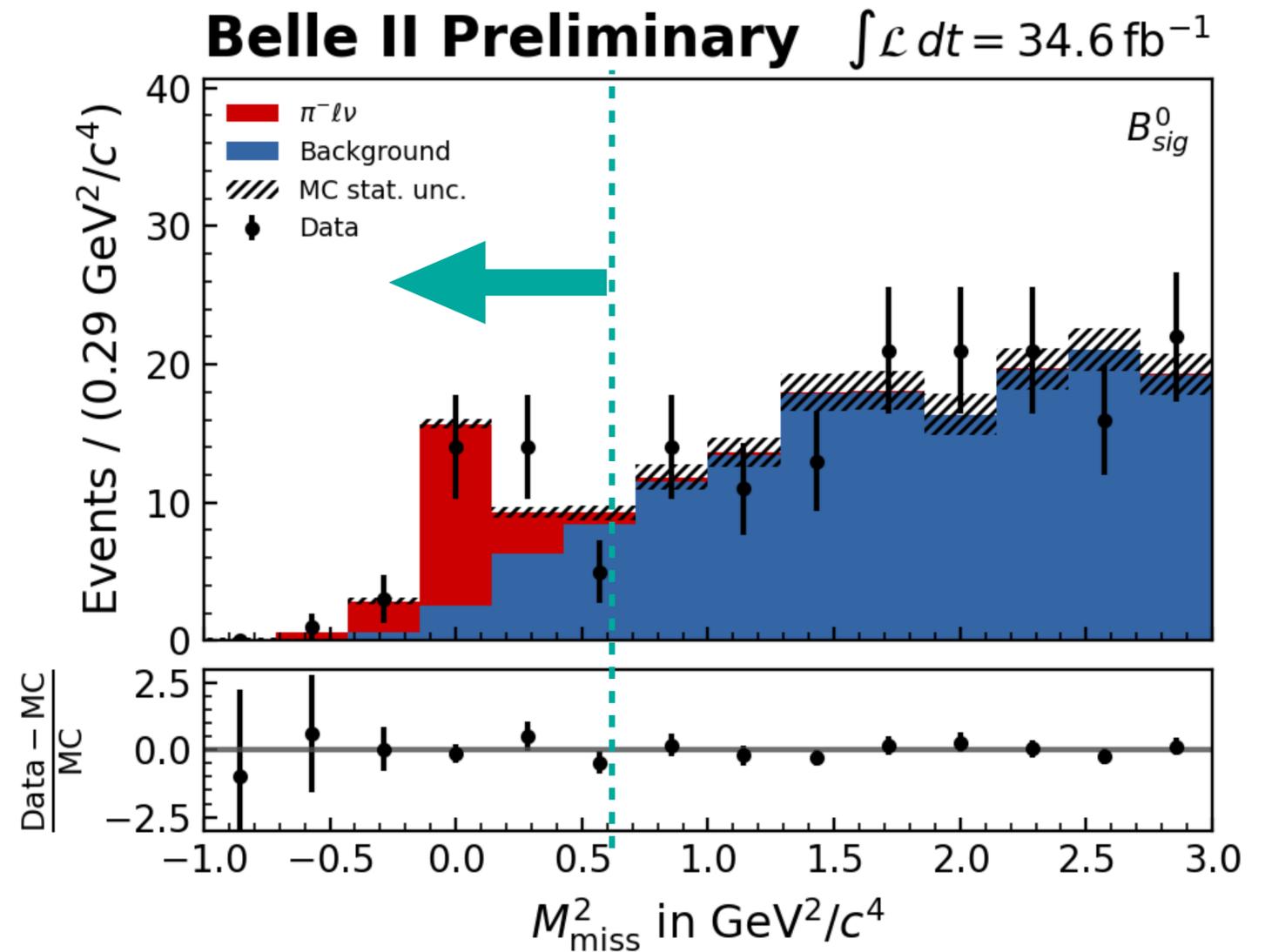
$$p_{\text{miss}} \equiv (E_{\text{miss}}, \vec{p}_{\text{miss}}) = p_{B_{\text{sig}}} - p_Y$$

- Analysis performed blinded in the signal region $M_{\text{miss}}^2 \leq 1$ GeV²/c⁴.

$N_{\text{sig}}^{\text{data}}$	20.79 ± 5.68
f_{+0}	1.058 ± 0.024
CF_{FEI}	0.8301 ± 0.0286
$N_{B\bar{B}}$	$(37.711 \pm 0.602) \times 10^6$
ϵ	$(0.216 \pm 0.001)\%$
$\mathcal{B}(B^0 \rightarrow \pi^- \ell \nu)$	$(1.58 \pm 0.43_{\text{stat}} \pm 0.07_{\text{sys}}) \times 10^{-4}$

In agreement with world average!

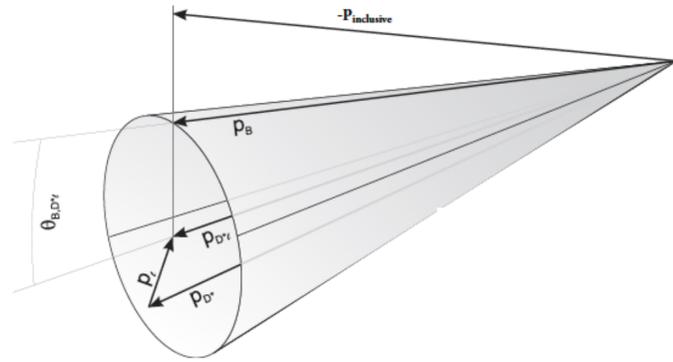
Observed signal significance: 5.69 σ



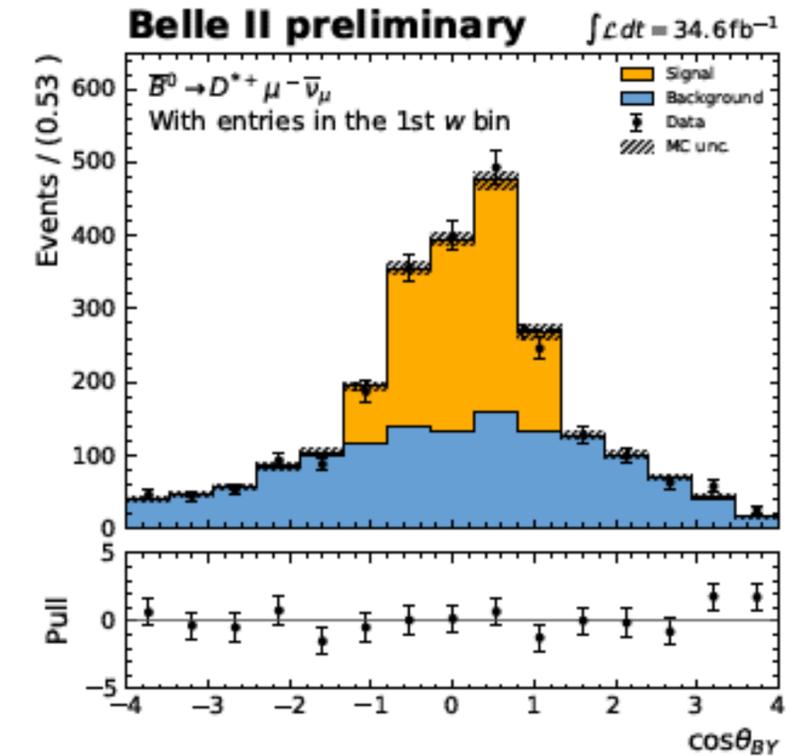
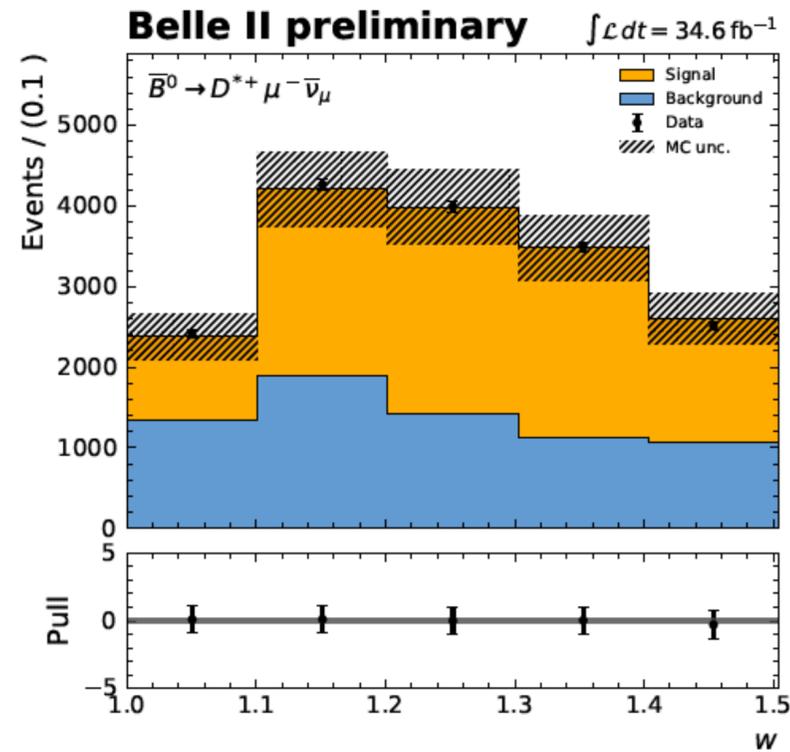


Examine hadronic recoil parameter spectrum

$$w = \frac{m_B^2 - m_{D^*}^2 - q^2}{2m_B m_{D^*}}$$

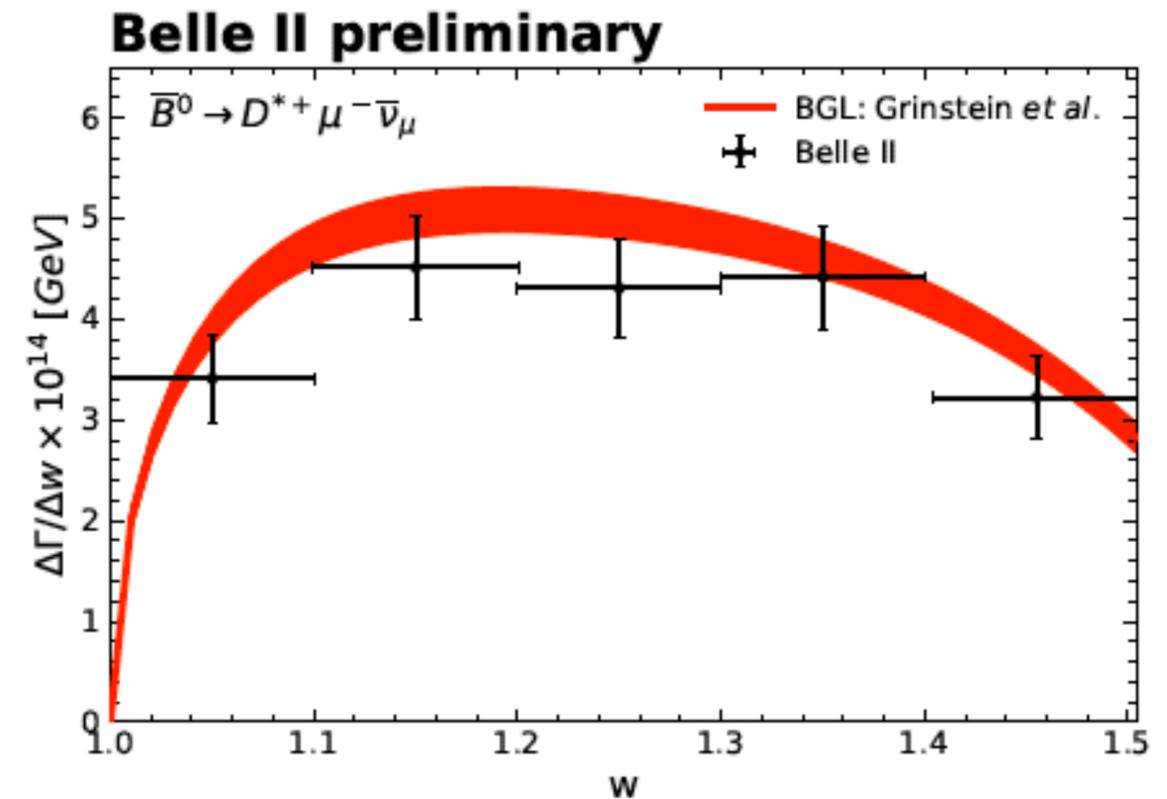
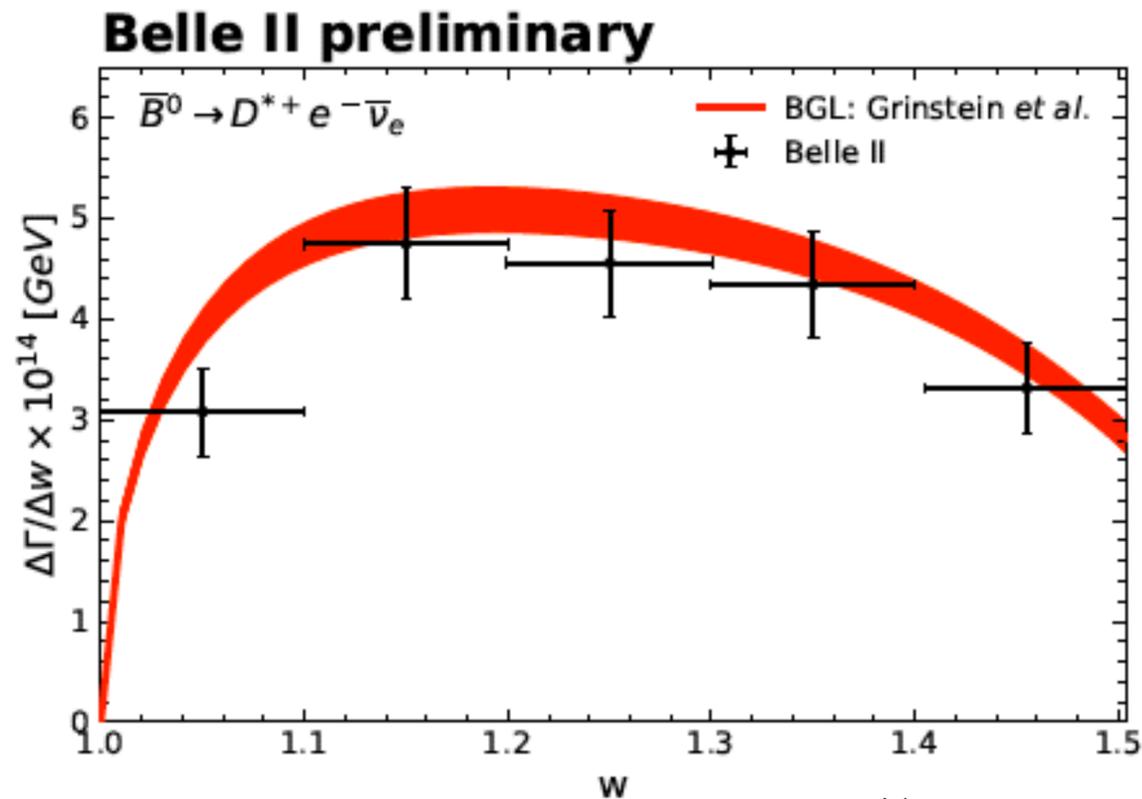


Divide spectrum into 5 equal bins of 0.1008 between $w=1$ and $w_{\max}=1.504$.



Unfold the w spectrum to compare with BGL.

Partial branching fractions in bins of w are a key step to determine V_{cb} .





Inclusive $|V_{ub}|$ at Belle

$$|V_{ub}| = \sqrt{\frac{\Delta\mathcal{B}(B \rightarrow X_u \ell^+ \nu_\ell)}{\tau_B \cdot \Delta\Gamma(B \rightarrow X_u \ell^+ \nu_\ell)}}$$

Preliminary

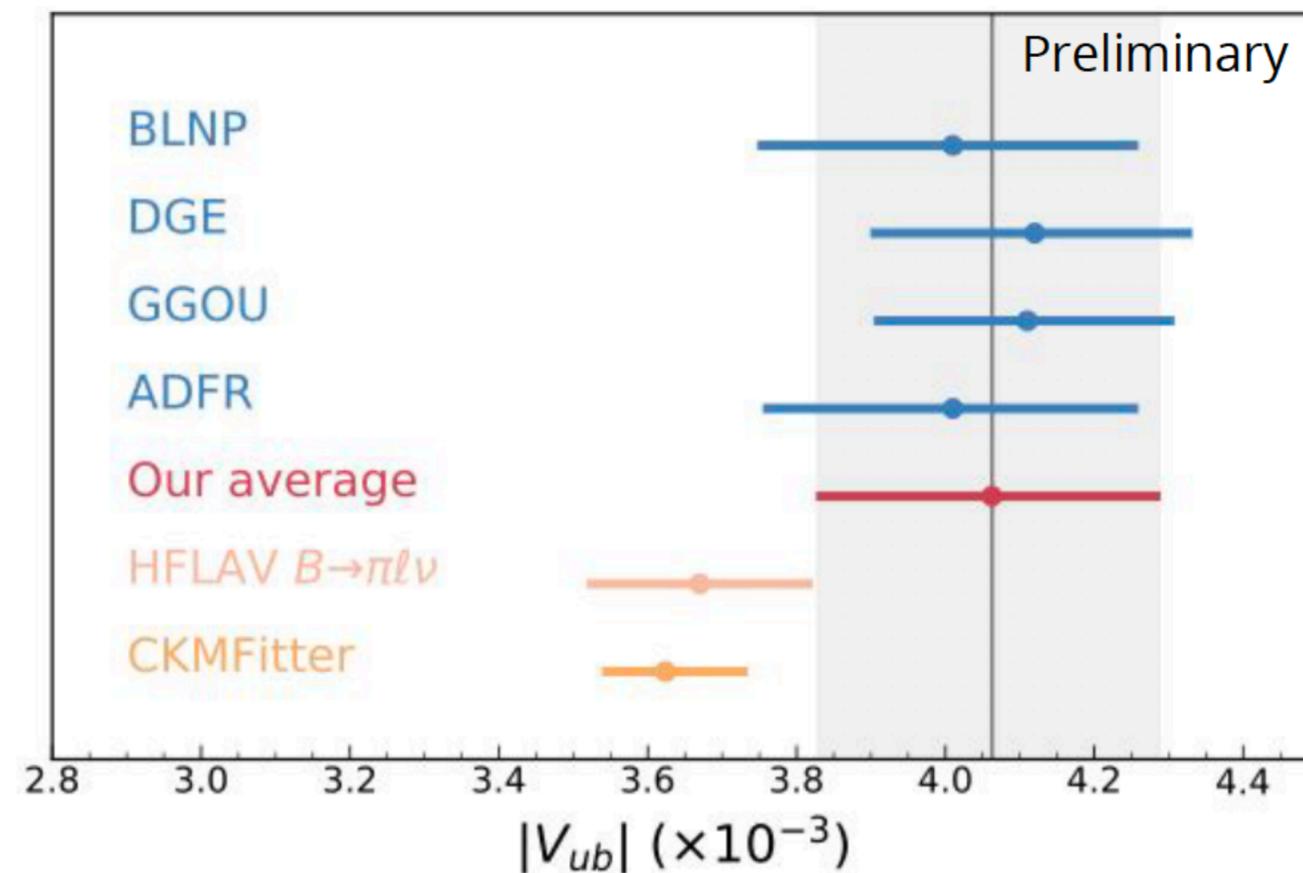
Fit	$ V_{ub} $ (\pm stat \pm sys \pm theo.)			
	BLNP	DGE	GGOU	ADFR
(a)	$3.81^{+0.08,+0.13,+0.21}_{-0.08,-0.13,-0.21}$	$3.99^{+0.08,+0.14,+0.20}_{-0.08,-0.14,-0.26}$	$3.88^{+0.08,+0.13,+0.15}_{-0.08,-0.14,-0.16}$	$3.55^{+0.07,+0.12,+0.17}_{-0.07,-0.12,-0.17}$
(b)	$4.35^{+0.18,+0.26,+0.26}_{-0.18,-0.28,-0.28}$	$4.27^{+0.17,+0.26,+0.18}_{-0.18,-0.28,-0.21}$	$4.36^{+0.18,+0.27,+0.24}_{-0.18,-0.28,-0.27}$	$3.77^{+0.15,+0.23,+0.17}_{-0.16,-0.24,-0.17}$
(c1)	$3.90^{+0.09,+0.17,+0.21}_{-0.10,-0.18,-0.21}$	$4.08^{+0.10,+0.18,+0.20}_{-0.10,-0.19,-0.26}$	$3.97^{+0.09,+0.18,+0.15}_{-0.10,-0.19,-0.16}$	$3.63^{+0.09,+0.16,+0.17}_{-0.09,-0.17,-0.17}$
(c2)	$4.14^{+0.10,+0.20,+0.18}_{-0.10,-0.22,-0.20}$	$4.25^{+0.10,+0.21,+0.11}_{-0.10,-0.22,-0.12}$	$4.24^{+0.10,+0.21,+0.09}_{-0.10,-0.22,-0.10}$	$4.14^{+0.10,+0.20,+0.18}_{-0.10,-0.22,-0.18}$
(d)	$4.01^{+0.08,+0.15,+0.18}_{-0.08,-0.16,-0.19}$	$4.12^{+0.08,+0.16,+0.11}_{-0.09,-0.16,-0.12}$	$4.11^{+0.08,+0.16,+0.08}_{-0.09,-0.16,-0.09}$	$4.01^{+0.08,+0.15,+0.18}_{-0.08,-0.16,-0.18}$

Most precise result from 2D fit

$$|V_{ub}| \text{ (avg)} = (4.06 \pm 0.09_{\text{stat}} \pm 0.16_{\text{sys}} \pm 0.15_{\text{theo}}) \times 10^{-3}$$

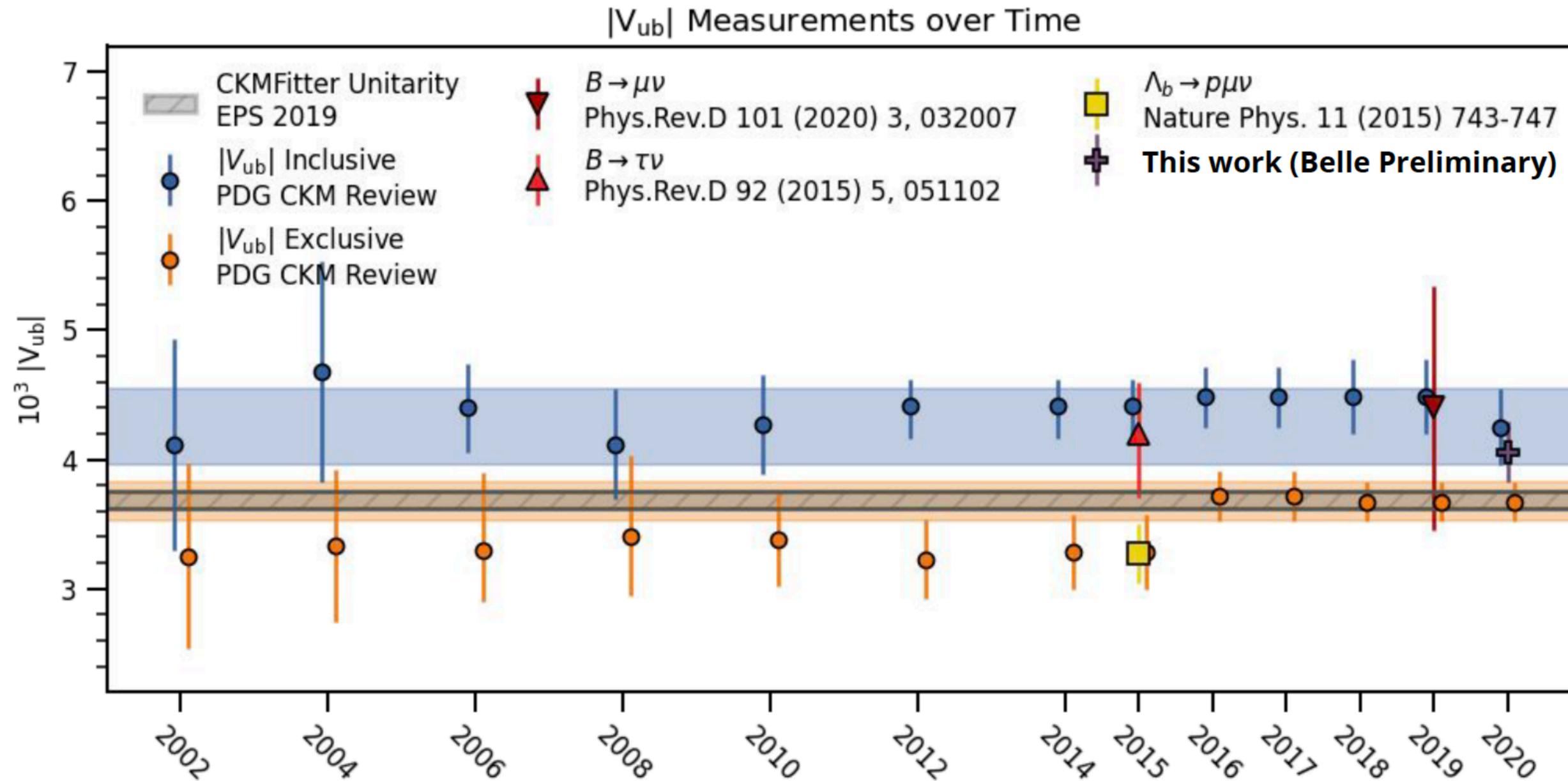
Phase-space region	BLNP	DGE	GGOU	ADFR
$M_X < 1.7 \text{ GeV}$	$45.2^{+5.4}_{-4.6}$	$42.3^{+5.8}_{-3.8}$	$43.7^{+3.9}_{-3.2}$	$52.3^{+5.4}_{-4.7}$
$M_X < 1.7 \text{ GeV},$ $q^2 > 8 \text{ GeV}^2$	$23.4^{+3.4}_{-2.6}$	$24.3^{+2.6}_{-1.9}$	$23.3^{+3.2}_{-2.4}$	$31.1^{+3.0}_{-2.6}$
$E_\ell^B > 1 \text{ GeV}$	$61.5^{+6.4}_{-5.1}$	$58.2^{+3.6}_{-3.0}$	$58.5^{+2.7}_{-2.3}$	$61.5^{+5.8}_{-5.1}$

[in unit of ps^{-1}]





Inclusive $|V_{ub}|$ at Belle





Exclusive $\bar{B}^0 \rightarrow D^{*+} \ell \nu_\ell$

Reconstruct $D^0 \rightarrow K^- \pi^+$ and $D^{*+} \rightarrow D^0 \pi_s$.

Identify lepton using PID algorithms.

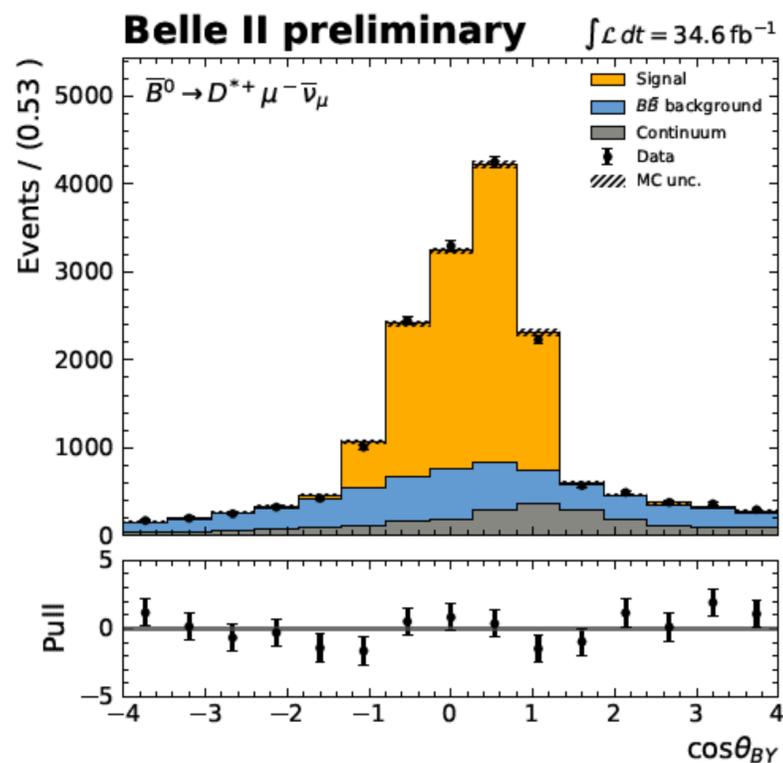
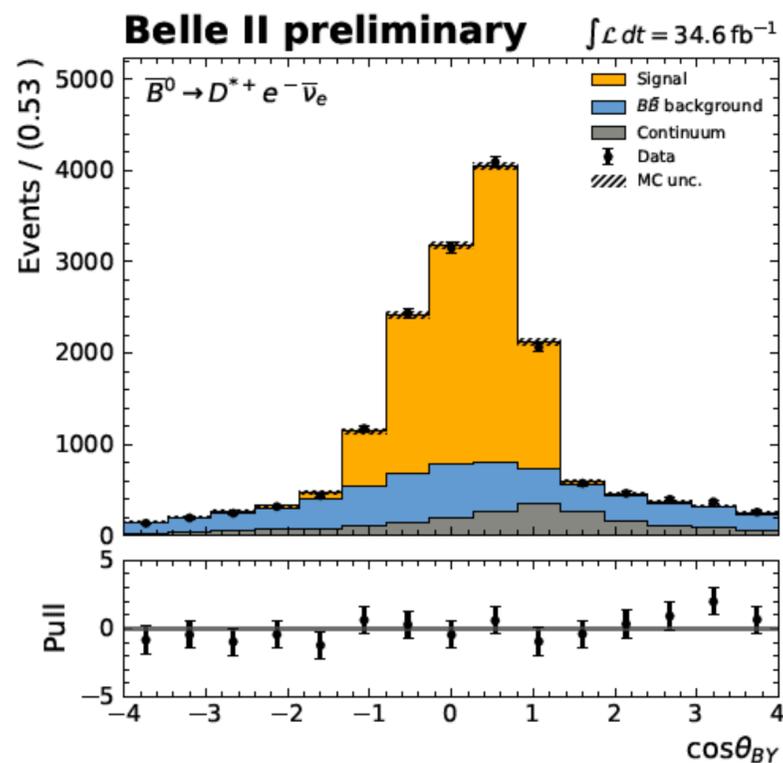
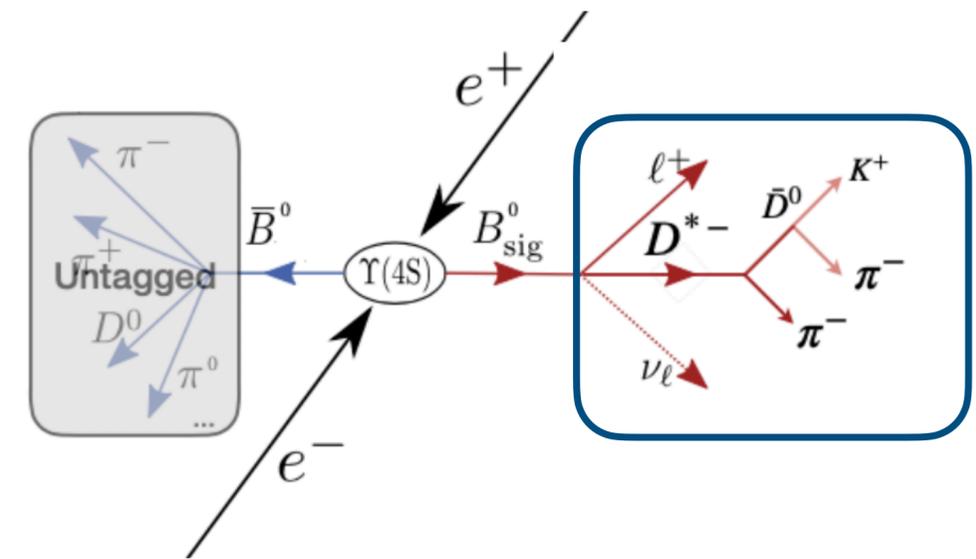
Suppress $e^+e^- \rightarrow q\bar{q}$ events using $p_{D^*} < 2.4 \text{ GeV}/c$ and $R_2 < 0.3$.

Extract signal yield with a fit to $\cos\theta_{BY}$.

$$\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \ell \bar{\nu}) = (4.60 \pm 0.05(\text{stat}) \pm 0.18(\text{sys}) \pm 0.45\pi_s) \%$$

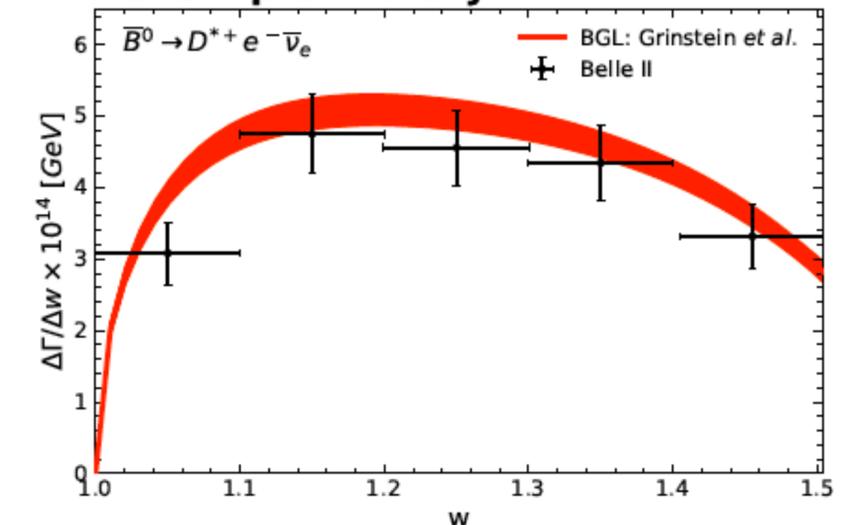
Compatible with current world average!

$$R_{e\mu} = \frac{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} e^- \bar{\nu}_e)}{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)} = 0.99 \pm 0.03,$$



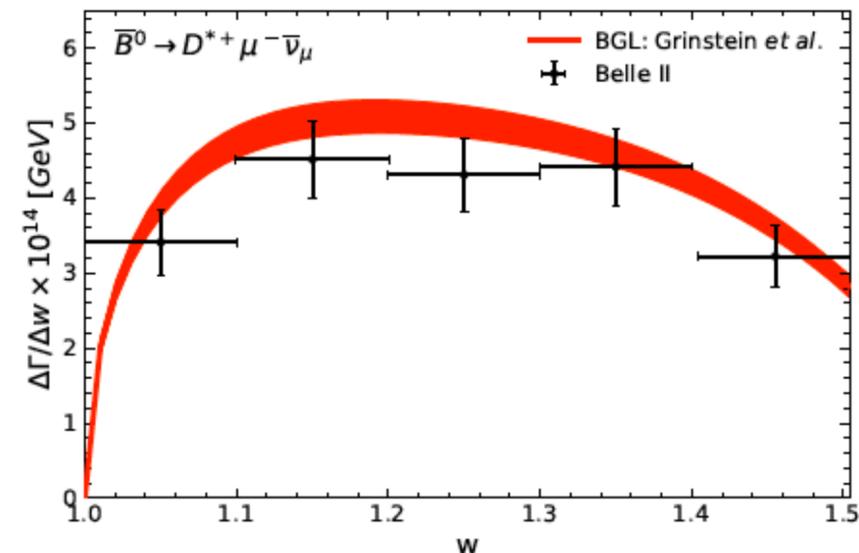
$$\cos\theta_{BY} = \frac{2E_B^* E_Y^* - m_B^2 - m_Y^2}{2|p_B^*||p_Y^*|}$$

Belle II preliminary



Unfold the w spectrum to compare with BGL.

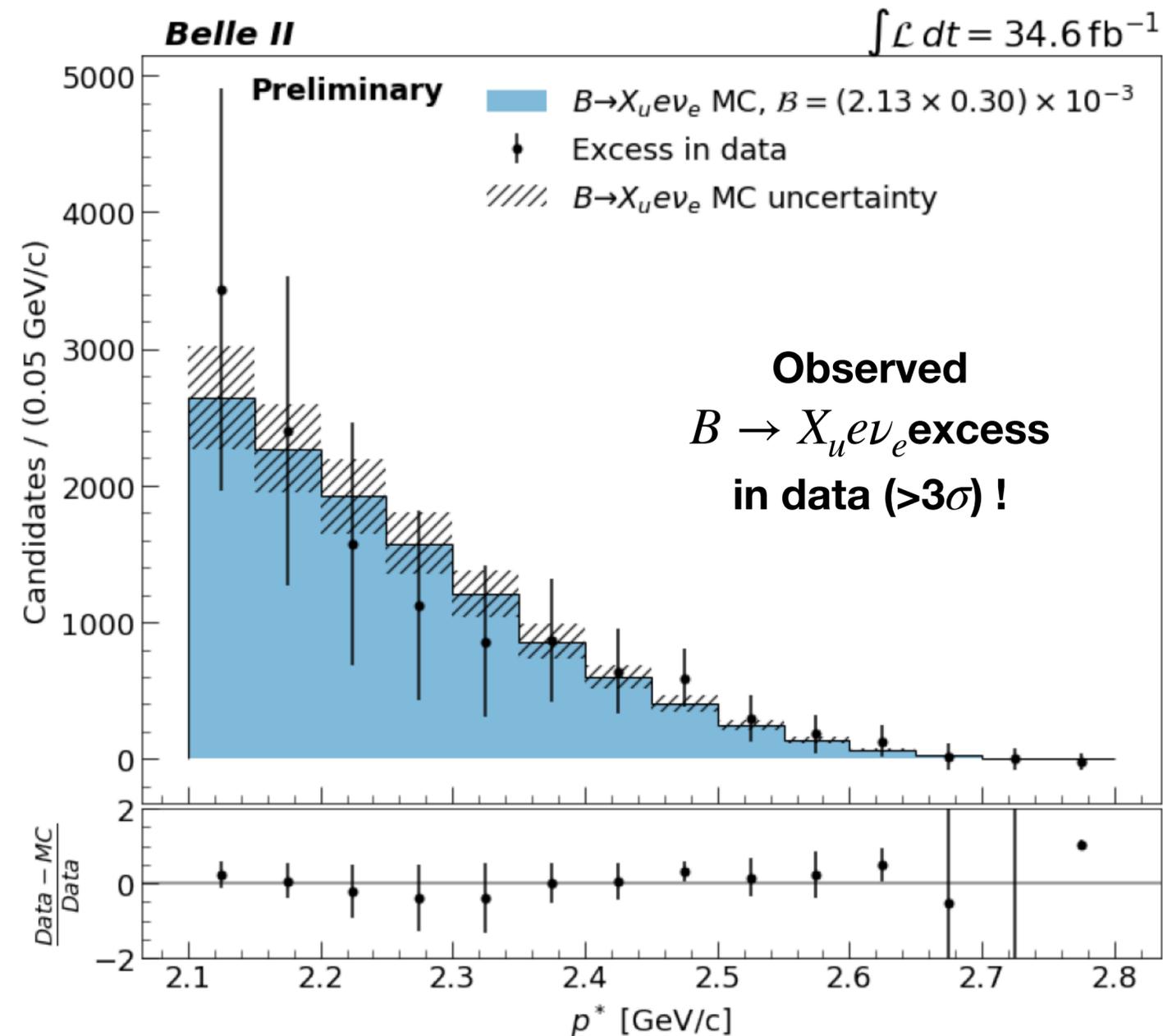
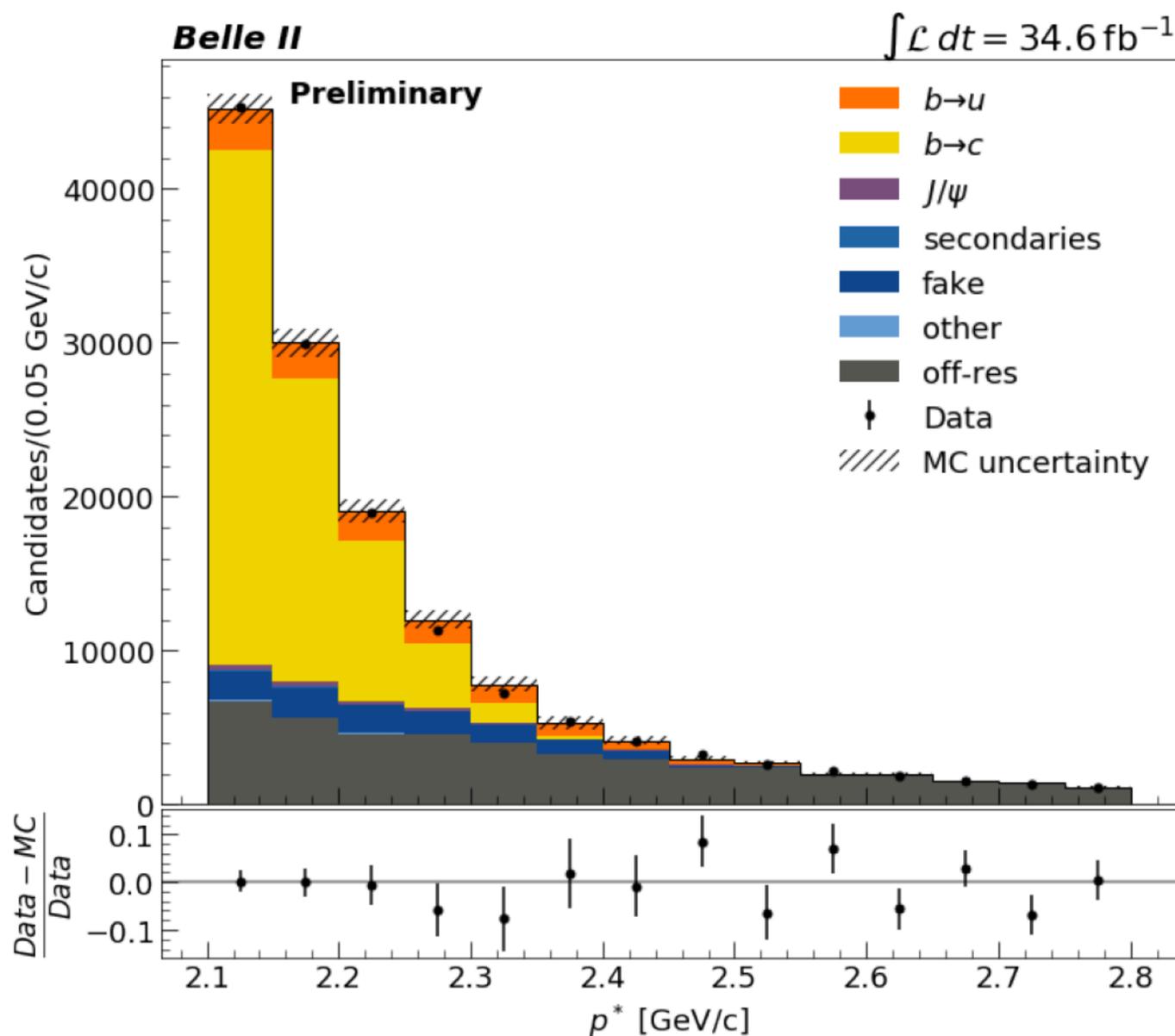
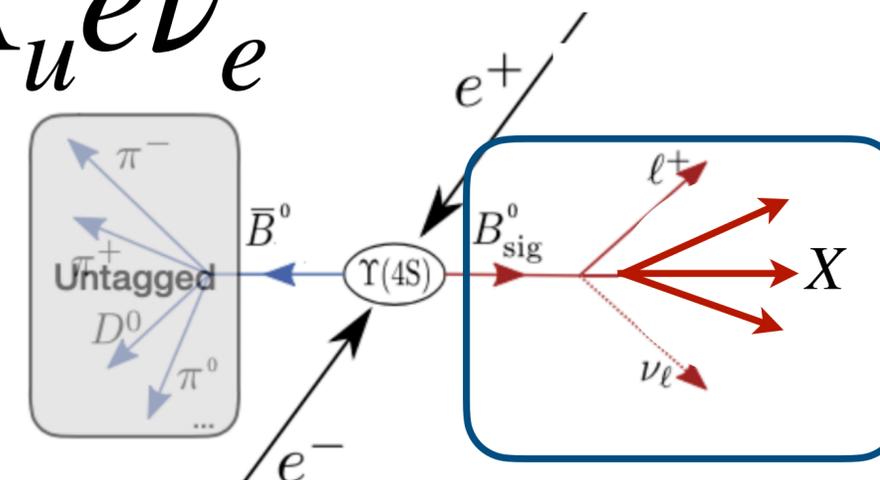
Partial branching fractions in bins of w are a key step to determine V_{cb} .





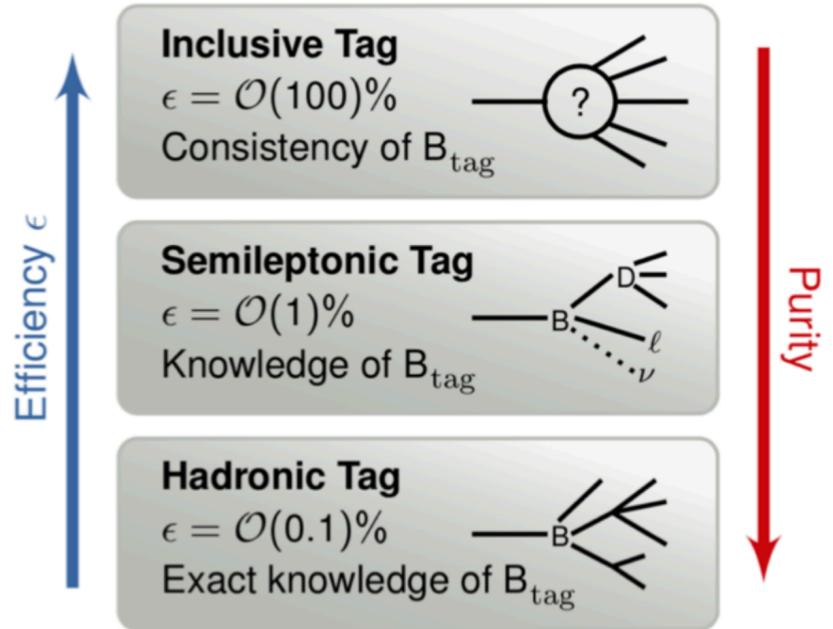
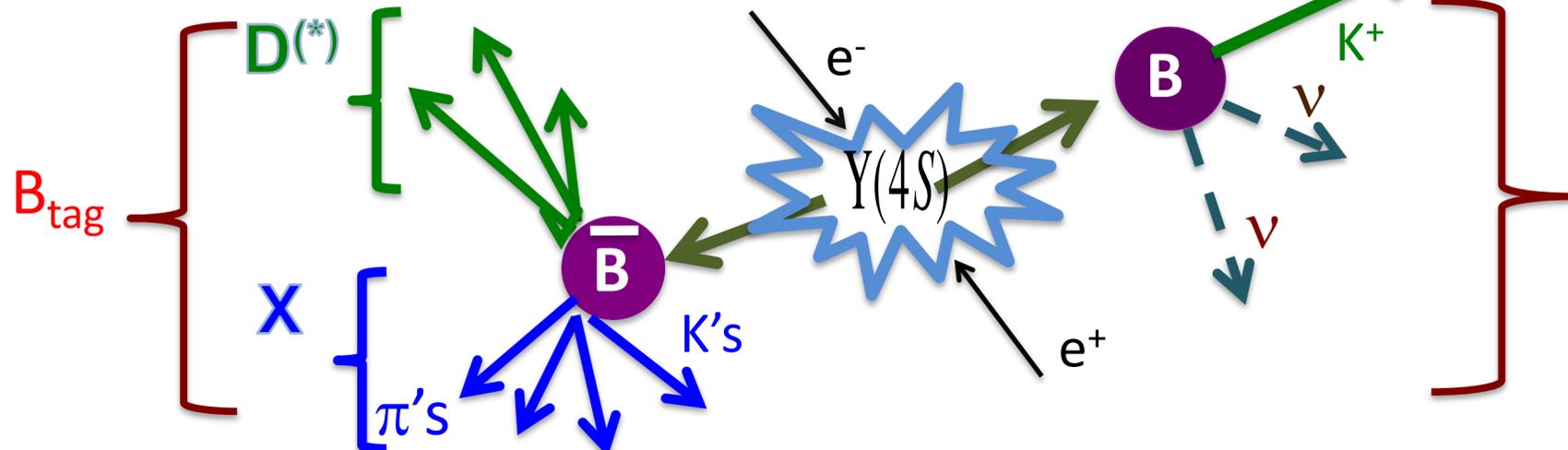
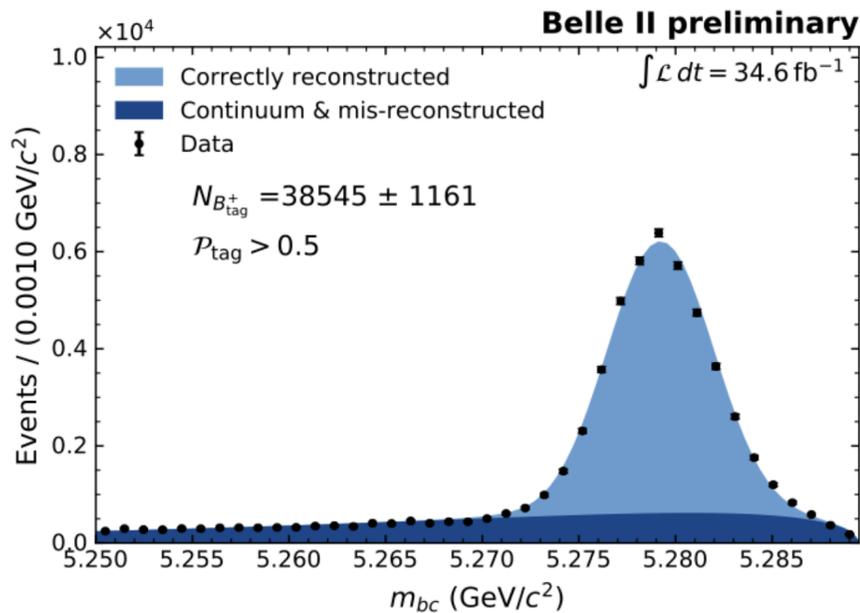
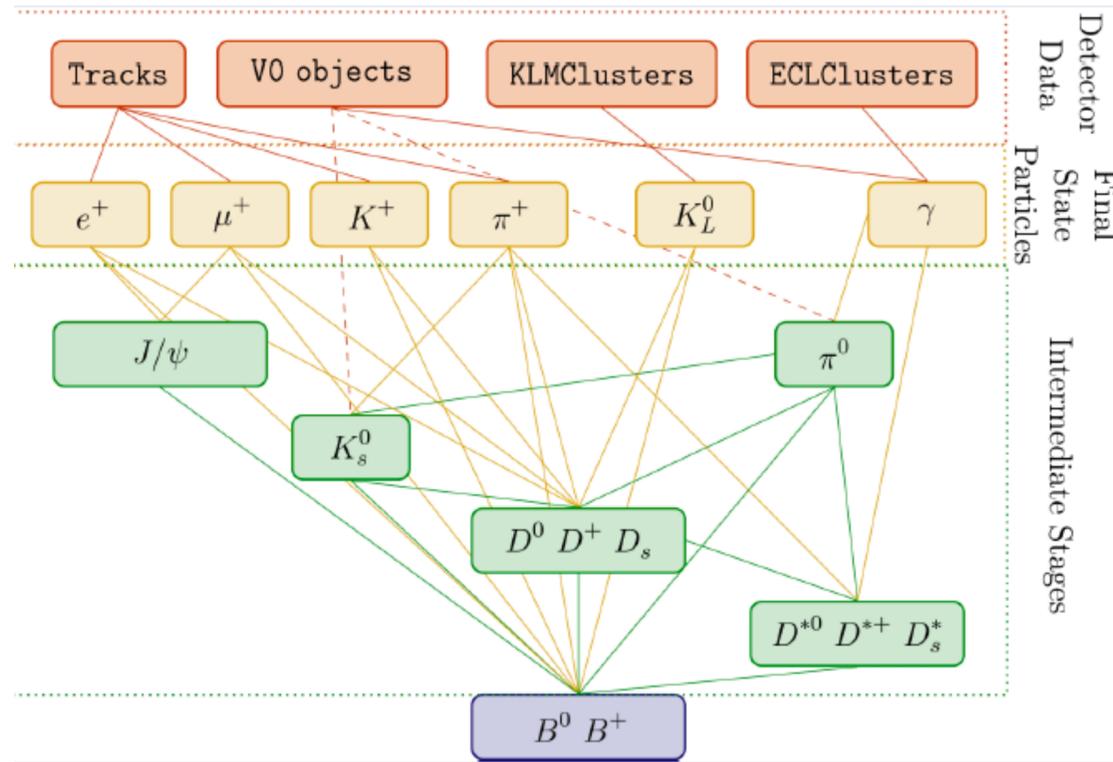
Belle II Inclusive $B \rightarrow X_u e \bar{\nu}_e$

- Measurement of $|V_{ub}|$ in the lepton endpoint momentum spectrum.
 - Identify one lepton in the event using PID algorithms.
 - Suppress continuum using MVA trained with event shape variables.
 - Subtract continuum and other $B\bar{B}$ contributions.



FEI reconstruction

- Exclusive reconstruction of hadronic B modes.
- Multivariate algorithm with hierarchal approach



Infer momentum and direction of signal B candidate:

$$p_{B_{\text{sig}}} \equiv (E_{B_{\text{sig}}}, \vec{p}_{B_{\text{sig}}}) = \left(\frac{m_{Y(4S)}}{2}, -\vec{p}_{B_{\text{tag}}} \right)$$

Ideal for decays with neutrinos, missing energy signatures!

Tagging Algorithm	Had B ⁺ /B ⁰	SL B ⁺ /B ⁰
Full Reconstruction Belle	0.28/0.18	0.67/0.63
FEI Belle	0.78/0.46	1.80/2.04

MC Tagging efficiency at 10% purity!